

EMAIL 1

RE: H79SM087730: Carry forward of Unused Funding at the End of a Budget Period (Carryovers)]

From Valladares, Troy (SAMHSA/OFR) Troy.Valladares@samhsa.hhs.gov

To Johnson, Areeba AREJOHNSON@broward.org

Cc Clark, Brian (SAMHSA/CMHS) <Brian.Clark@samhsa.hhs.gov>

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Good afternoon Areeba,

I am not too clear on your question. Here are my if then responses:

Scenario 1

If you are referring to whether you can carryover unobligated balances (UOB) from prior budget periods such as year 1 or year 2 into a subsequent budget period such as year 3, then the answer is YES. UOB is cumulative, so the total amount that you would be able to carryover would be based on the total UOB remaining at the end of the most recently concluded budget period.

Scenario 2

If for example your organization was approved to carryover UOB from year 1 for spending in year 2 but you did not get to expend all of the approved carryover in year 2

and your question is whether you can continue to spend the approved carryover UOB in a subsequent budget period (year 3 for example), then the answer would be NO. Carryover approval is budget period specific. Any unspent carryover UOB would be added back to your total cumulative UOB and a new carryover request would have to be made.

Scenario 3

A supply or service was provided in year 1 but the invoice for that service was not received by your organization until during year 2. In this case it would not be a carryover issue because carryover only applies to unobligated balances (UOB), not to when funds were already obligated. You would be dealing here instead with unliquidated obligations (ULO). ULO are obligations incurred (i.e. orders placed for services or supplies, as well as contracts and subawards made), but not yet paid (or not yet recorded if accounting basis is accrual) prior to submitting your FFR. Recipients are expected to liquidate all reporting period obligations/expenses within 90 days after the budget period ended. However, if there was a mitigating circumstance that prevented the obligations from being liquidated within the 90 days timeframe, you can still draw down funds from PMS to liquidate the obligation. A revised year 1 FFR would have to be submitted to report the liquidated expense/obligation as part of the federal share of expenditures if it wasn't already included in 10e. Federal share of expenditures and to also adjust your cash receipts and cash disbursement figures.

I hope one of these applied to your situation.

Please let me know if you have any additional questions.

Thank you,

Troy Valladares

Grants Management Specialist

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EMAIL 2

Tue 11/26/2024 2:24 PM

H79SM087730: [Webinar Materials - Carry forward of Unused Funding at the End of a Budget Period (Carryovers)]

From Valladares, Troy (SAMHSA/OFR) <Troy.Valladares@samhsa.hhs.gov>

To Johnson, Areeba AREJOHNSON@broward.org

Cc Cepero, Monica <MCEPERO@broward.org>; eracorrespondence@od.nih.gov;
Parrish, Sterling <SLPARRISH@broward.org>; tsmith@bbhcflorida.org

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Good afternoon Areeba,

1. For Intent to Carryover up to 35% of the current budget period award, Intent to Carryover UOB:
 - must be expended for reasonable allowable costs and activities that align with your approved goals and objectives and the required and allowable activities of the NOFO
 - cannot be expended on any cost or activity that would have otherwise required prior approval such as purchase of equipment or a change in scope.

2. The carryover webinar was held on November 19, 2024 (see attached webinar deck) and the notification was sent to grant contacts.