



Department of Health and Human Services
 Substance Abuse and Mental Health Services Administration
 Center for Mental Health Services

Notice of Award
 FAIN# H79SM082386
Federal Award Date
 08-24-2022

Recipient Information

1. Recipient Name

BROWARD, COUNTY OF
 115 S ANDREWS AVE RM 409
 FORT LAUDERDALE, 33301

2. Congressional District of Recipient

22

3. Payment System Identifier (ID)

1596000531A1

4. Employer Identification Number (EIN)

596000531

5. Data Universal Numbering System (DUNS)

066938358

6. Recipient's Unique Entity Identifier

P62KF2SJJ237

7. Project Director or Principal Investigator

Tiffany Lawrence
 tsmith@bbhcfloida.org
 954-560-1206

8. Authorized Official

LaToya Davenport
 ldavenport@broward.org
 954-357-7249

Federal Agency Information

9. Awarding Agency Contact Information

Troy Valladares

Center for Mental Health Services
 TROY.VALLADARES@SAMHSA.HHS.GOV
 240-276-1967

10. Program Official Contact Information

Emily Hassey

Center for Mental Health Services
 Emily.Hassey@samhsa.hhs.gov
 240-276-1403

Federal Award Information

11. Award Number

5H79SM082386-04

12. Unique Federal Award Identification Number (FAIN)

H79SM082386

13. Statutory Authority

Sect.561-565 (PHS Act) & Sect.10001 (21st Cent.Cures Act)

14. Federal Award Project Title

One Community Partnership 3

15. Assistance Listing Number

93.104

16. Assistance Listing Program Title

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

No

Summary Federal Award Financial Information

19. Budget Period Start Date 09-30-2022 – End Date 09-29-2023

20. Total Amount of Federal Funds Obligated by this Action	\$1,000,000
20a. Direct Cost Amount	\$1,000,000
20b. Indirect Cost Amount	\$0

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period

\$1,000,000

24. Total Approved Cost Sharing or Matching, where applicable

\$1,000,802

25. Total Federal and Non-Federal Approved this Budget Period

\$2,000,802

26. Project Period Start Date 09-30-2019 – End Date 09-29-2023

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$8,070,300
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28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Eileen Bermudez

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



SOC Implementation
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

Notice of Award

Issue Date: 08-24-2022

Award Number: 5H79SM082386-04

FAIN: H79SM082386

Program Director: Tiffany Lawrence

Project Title: One Community Partnership 3

Organization Name: BROWARD, COUNTY OF

Authorized Official: LaToya Davenport

Authorized Official e-mail address: ldavenport@broward.org

Budget Period: 09-30-2022 – 09-29-2023

Project Period: 09-30-2019 – 09-29-2023

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$1,000,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to BROWARD, COUNTY OF in support of the above referenced project. This award is pursuant to the authority of Sect.561-565 (PHS Act) & Sect.10001 (21st Cent.Cures Act) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 5H79SM082386-04**Award Calculation (U.S. Dollars)**

Personnel(non-research)	\$48,149
Fringe Benefits	\$17,931
Travel	\$1,178
Supplies	\$1,541
Contractual	\$930,820
Other	\$381
 Direct Cost	 \$1,000,000
Approved Budget	\$2,000,802
Federal Share	\$1,000,000
Non-Federal Share	\$1,000,802
Cumulative Prior Awards for this Budget Period	\$0
 AMOUNT OF THIS ACTION (FEDERAL SHARE)	 \$1,000,000

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
4	\$1,000,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.104
EIN: 1596000531A1
Document Number: 19SM82386A
Fiscal Year: 2022

IC	CAN	Amount
SM	C96J546	\$1,000,000

<u>IC</u>	<u>CAN</u>	<u>2022</u>
SM	C96J546	\$1,000,000

SM Administrative Data:

PCC: CMHI-19 / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 5H79SM082386-04

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 5H79SM082386-04

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 5H79SM082386-04

REMARKS

Continuation Award

1. This Notice of Award (NoA) is issued to inform your organization that the **SM-19-009: *Grant for Expansion and Sustainability of the Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (System of Care (SOC) Expansion and Sustainability Grant)* – CMHI-19** program is being continued.

This award reflects approval of the revised budget submitted *May 31, 2022* by your Organization, in response to the continuation application Request for Additional Materials (RAM) received on *May 24, 2022*.

Please note the following and reallocate the differences due to adjustments elsewhere in your revised budget for reasonable, allowable and necessary grant costs/activities:

- o **Flex Funds:** Flexible funds may be used to support the individualized needs of children, youth, and families that are not typically covered services and otherwise not reimbursable. Items or services should aim to decrease the need for other Medicaid services, promote inclusion in the community, or increase the participant's safety in the

home environment. Use of flexible funds shall be tied into an individual's plan of care (i.e., treatment plan), should be considered as a temporary solution to address a specific need, and should therefore not be a reoccurring cost for that individual. Organizations should establish a flexible funds policy in order to provide other services as may be appropriate in providing for the comprehensive mental health needs of children/youth and young adults with serious emotional disturbance. Your flex funds policy should show how flex funds are planned to be used, the process is for requesting and approving flex fund use, etc.

- **SOC Participatory Incentive Payment (\$4,300).** Per the NOFO [SM-19-009](#) of this grant, a recipient or treatment or prevention provider may provide up to \$30 non-cash incentive to individuals to participate in required data collection follow up. This amount may be paid for participation in each required follow-up interview. The use of incentives must be appropriate, judicious and conservative and that incentives do not provide an “undue inducement” that removes the voluntary nature of participation. Apart from data collection follow up, there is no provision for incentives for participation in grant activities. Your organization must use other sources of funds **other than the federal request and the non-federal match** for unallowable costs.

2. Key Staff

Key staff (or key staff positions, if staff has not been selected) are listed below:

- **Tiffany Lawrence, Project Director @ 100% level of effort**
- **Rachael Craig-Dunn, Lead Family Coordinator @ 100% level of effort**

Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Staff or otherwise) across all federal and non-federal funding sources.

Any changes to key staff involving separation from the project for more than three months or a 25 percent reduction in time (level of effort) dedicated to the project requires prior approval and must be submitted as a post-award amendment in eRA Commons.

The proposed PD must be registered in eRA Commons and the Commons ID of the proposed PD must be stated on Section 4 Applicant Identifier of the SF-424. In addition, the PD info must be entered in Section 8f of the SF-424. Please see [SAMHSA PD Account Creation Instructions \(PDF | 687 KB\)](#) for a quick step-by-step guide and [SAMHSA Grantee PD Account Creation Slides \(PDF | 987 KB\)](#) for additional information on the eRA Commons registration process for the PD.

3. All Post-Award Amendments must be submitted in eRA Commons for prior approval. Please refer to the SAMHSA website for specific SAMHSA guidance on how to submit a post award amendment in eRA Commons: <https://www.samhsa.gov/grants/grantsmanagement/post-award-changes>. Prior approval is required for but is not limited to: change in key personnel and level of effort, budget revision, change in scope, formal carryover request, and no cost extension. Reference the full prior approval term on the SAMHSA website under Standard Terms and Conditions at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standardterms-conditions>. Technical questions regarding the submission of a post-award amendment in eRA Commons should be directed to the eRA Service Desk: <http://grants.nih.gov/support/>.

4. All responses to award terms and conditions must be submitted as .pdf documents

via the **View Terms Tracking Details** page in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

5. Recipients are expected to plan their work and ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

STANDARD TERMS AND CONDITIONS

Annual Programmatic Performance Report (PPR)

By **December 28, 2023**, submit the Annual Programmatic Performance Report (PPR).

The PPR is required on an annual basis and **must be submitted as a .pdf document via the View Terms Tracking Details page in eRA Commons** no later than 90 days after the end of each 12-month budget period.

The PPR must at a minimum include the following information:

- Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- A summary of key program accomplishments to-date.
- Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the NOFO or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

The response to this term must be submitted as a .pdf document via the View Terms Tracking Details page in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**. Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 3 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also

be charged as a direct cost. *Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.).* If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart F](#), *Audit Requirements*.

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Closeout Requirements - Discretionary Grants

Recipients must complete all actions required for closeout to include:

- Liquidate all obligations incurred under the award. All payment requests must be submitted before the end of the **(90) days post-award reconciliation/liquidation period**.
- Reconcile financial expenditures to the reported total disbursements and charges in PMS.
- Return any funds due to PMS as a result of refunds, corrections, or audits. Refer the following link for additional guidance <https://pms.psc.gov/grant-recipients/returning-funds-interest.html>

Recipients must close the award in accordance with 2 CFR §200.344 Closeout and the terms and conditions listed in the grant notice of award. Recipients must liquidate all obligations incurred under an award not later than ninety (90) days after the end of awards obligation and project period. **After ninety (90) days, PMS account is automatically - locked. SAMHSA does not approve payment requests after ninety (90) days post-award reconciliation/liquidation period.** Therefore, recipients are expected to complete all expenditure requests within the approved project period and the aforementioned 90-day post-award reconciliation/liquidation period. **Recipients' late withdrawal requests occurring after the aforementioned periods will be denied.**

Final reports are due to SAMHSA no later than 120 days after the end of the project period.

Final reports include:

- Submit via eRA Commons and PMS the Final Federal Financial Report (Final FFR, SF-425) (PDF | 1.2 MB).
- Submit in eRA Commons the Final Progress Report (FPR) or other reports required by the terms and conditions of the award.
- Submit in eRA Commons a Tangible Personal Property Report (TPPR SF-428, SF428B & if needed additional forms from SF428 series) to account for any property acquired with

federal funds or indicate on the form that you have no property to report.

Failure to complete the closeout actions in 120 days after the project period end may result in a unilateral closeout of the grant by SAMHSA. This may affect future funding of federal programs and result in the reimbursement of funding to SAMHSA. **If the recipient does not submit all reports satisfactorily in accordance with 2 CFR 200.344 SAMHSA will report the recipient's material failure to comply with the terms and conditions of the award with the OMB-designated integrity and performance system (currently FAPIIS).** Federal awarding agencies may also pursue other enforcement actions per 2 CFR 200.339.

Refer to the following SAMHSA for Closeout Standard Terms and Conditions <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Additional information on closeout is available at <https://www.samhsa.gov/grants/grants-management/grant-closeout>.

Staff Contacts:

Emily Hassey, Program Official

Phone: 240-276-1403 **Email:** Emily.Hassey@samhsa.hhs.gov

Troy Valladares, Grants Specialist

Phone: 240-276-1967 **Email:** TROY.VALLADARES@SAMHSA.HHS.GOV