

PORT EVERGLADES FRANCHISE APPLICATION

An application will not be deemed complete and ready for processing until all required documents and fees are received.

A separate application must be filed for each type of franchise applied for.

FRANCHISE TYPE

CHECK ONE

☐

STEAMSHIP AGENT

☐

STEVEDORE

☐

CARGO HANDLER

☐

TUGBOAT & TOWING

☒

VESSEL BUNKERING

☐

VESSEL OILY WASTE REMOVAL

☐

VESSEL SANITARY WASTE WATER REMOVAL

☐

MARINE TERMINAL SECURITY

☐

MARINE TERMINAL SECURITY

FIREARMS CARRYING SECURITY PERSONNEL

NON-FIREARMS CARRYING SECURITY PERSONNEL

Note: Applicant is the legal entity applying for the franchise. If the Applicant is granted the franchise, it will be the named franchisee. All information contained in this application shall apply only to the Applicant, and not to any parent, affiliate, or subsidiary entities.

Applicant's

Name Kirby Inland Marine, LP

(Name as it appears on the certificate of incorporation, charter, or other legal documentation as applicable, evidencing the legal formation of the Applicant)

Applicant's Business Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Number /

Street

City/State/Zip

Phone # (713) 435-1000

E-mail address

@

Fax #: () _____

Name of the person authorized to bind the Applicant (Person's signature must appear on Page 13.)

Name Christian G. O'Neil

Title President and Chief Operating Officer

Business Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Number /

Street

City/State/Zip

Phone # (713) 435-1000

E-mail address

christian.oneil @ kirbycor

Fax #: () _____

Provide the Name and Contact Information of Applicant's Representative to whom questions about this application are to be directed (if different from the person authorized to bind the Applicant):

Representative's Name John Kennedy

Representative's Title Manager Operations

Representative's Business Address 1020 Port Blvd Ste 2, Miami, FL 33132

Number /

Street

City/State/Zip

Representative's Phone # (786) 577-6107

Representative's E-mail address john.kennedy @ kirbycorp.cc

Representative's Fax # () _____

PLEASE COMPLETE THIS APPLICATION AND LABEL ALL REQUIRED BACKUP DOCUMENTATION TO CLEARLY IDENTIFY THE SECTION OF THE APPLICATION TO WHICH THE DOCUMENTATION APPLIES (I.E., SECTION A, B, C, etc.).

Section A

1. List the name(s) of Applicant's officers, including, CEO, COO, CFO, director(s), member(s), partner(s), shareholder(s), principal(s), employee(s), agents, and local representative(s) active in the management of the Applicant.

Officers:

Title See attachment
First Name _____ Middle Name _____
Last Name _____
Business Street Address _____
City, State, Zip Code _____
Phone Number (____) _____ Fax Number (____) _____
Email Address _____@_____.

Title _____
First Name _____ Middle Name _____
Last Name _____
Business Street Address _____
City, State, Zip Code _____
Phone Number (____) _____ Fax Number (____) _____
Email Address _____@_____.

Title _____
First Name _____ Middle Name _____
Last Name _____
Business Street Address _____
City, State, Zip Code _____
Phone Number (____) _____ Fax Number (____) _____
Email Address _____@_____.

Title _____
First Name _____ Middle Name _____
Last Name _____
Business Street Address _____
City, State, Zip Code _____
Phone Number (____) _____ Fax Number (____) _____
Email Address _____@_____.

Attach additional sheets if necessary.

2. RESUMES: Provide a resume for each officer, director, member, partner, shareholder, principal, employee, agent, and local representative(s) active in the management of the Applicant, as listed above.

Section B

1. Place checkmark to describe the Applicant:
☐ Sole Proprietorship ☐ Corporation ☒ Partnership ☐ Joint Venture ☐ Limited Liability Company
2. Provide copies of the documents filed at the time the Applicant was formed including Articles of Incorporation (if a corporation); Articles of Organization (if an LLC); or Certificate of Limited Partnership or Limited Liability Limited Partnership (if a partnership). If the Applicant was not formed in the State of Florida, provide a copy of the documents demonstrating that the Applicant is authorized to conduct business in the State of Florida.

Section C

1. Has there been any change in the ownership of the Applicant within the last five (5) years? (e.g., any transfer of interest to another party)
Yes ___ No ☒ If "Yes," please provide details in the space provided. Attach additional sheets if necessary.
2. Has there been any name change of the Applicant or has the Applicant operated under a different name within the last five (5) years?
Yes ___ No ☒ If "Yes," please provide details in the space provided, including: Prior name(s) and Date of name change(s) filed with the State of Florida's Division of Corporations or other applicable state agency. Attach additional sheets if necessary.
3. Has there been any change in the officers, directors, executives, partners, shareholders, or members of the Applicant within the past five (5) years?
Yes ☒ No ___ If "Yes," please provide details in the space provided, including:
Prior officers, directors, executives, partners, shareholders, members
Name(s) See attached
New officers, directors, executives, partners, shareholders, members
Name(s) See attached
Also supply documentation evidencing the changes including resolution or minutes appointing new officers, list of new principals with titles and contact information, and effective date of changes. Attach additional sheets if necessary.

Section D

Provide copies of all fictitious name registrations filed by the Applicant with the State of Florida's Division of Corporations or other State agencies. If none, indicate "None" None.

Section E

1. Has the Applicant acquired another business entity within the last five (5) years?
Yes ___ No ☒ If "Yes," please provide the full legal name of any business entity which the Applicant acquired during the last five (5) years which engaged in a similar business activity as the business activity which is the subject of this Port Everglades Franchise Application.
If none, indicate "None" None.

2. Indicate in the space provided the date of the acquisition and whether the acquisition was by a stock purchase or asset purchase and whether the Applicant herein is relying on the background and history of the acquired firm's officers, managers, employees and/or the acquired firm's business reputation in the industry to describe the Applicant's experience or previous business history. Attach additional sheets if necessary.

3. Has the Applicant been acquired by another business entity within the last five (5) years? Yes ___ No ☒ If "Yes," provide the full legal name of any business entity which acquired the Applicant during the last five (5) years which engaged in a similar business activity as the business activity which is the subject of this Port Everglades Franchise Application.
If none, indicate "None" None.

4. Indicate in the space provided the date of the acquisition and whether the acquisition was by a stock purchase or asset purchase and whether the Applicant herein is relying on the background and history of the parent firm's officers, managers, employees and/or the parent firm's business reputation in the industry to describe the Applicant's experience or previous business history. Attach additional sheets if necessary.

Section F

Provide the Applicant's previous business history, including length of time in the same or similar business activities as planned at Port Everglades.

Section G

1. Provide a list of the Applicant's current managerial employees, including supervisors, superintendents, and forepersons.

2. List the previous work history/experience of the Applicant's current managerial employees, including their active involvement in seaports and length of time in the same or similar business activities as planned at Port Everglades.

Section H

List all seaports, including Port Everglades (if application is for renewal), where the Applicant is currently performing the services/operation which is the subject of this Franchise application. **Use this form for each seaport listed. Photocopy additional pages as needed (one page for each seaport listed).**

If none, state "None" _____.

Seaport See attached Number of Years Operating at this Seaport _____

List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client

Section I

1. Provide a description of all past (within the last five (5) years) and pending litigation and legal claims where the Applicant is a named party, whether in the State of Florida or in another jurisdiction, involving allegations that Applicant has violated or otherwise failed to comply with environmental laws, rules, or regulations or committed a public entity crime as defined by Chapter 287, Florida Statutes, or theft-related crime such as fraud, bribery, smuggling, embezzlement or misappropriation of funds or acts of moral turpitude, meaning conduct or acts that tend to degrade persons in society or ridicule public morals.

The description must include all of the following:

- a) The case title and docket number
- b) The name and location of the court before which it is pending or was heard
- c) The identification of all parties to the litigation
- d) General nature of all claims being made

If none, indicate "None" See attached .

2. Indicate whether in the last five (5) years the Applicant or an officer, director, executive, partner, or a shareholder, employee or agent who is or was (during the time period in which the illegal conduct or activity took place) active in the management of the Applicant was charged, indicted, found guilty or convicted of illegal conduct or activity (with or without an adjudication of guilt) as a result of a jury verdict, nonjury trial, entry of a plea of guilty or nolo contendere where the illegal conduct or activity (1) is considered to be a public entity crime as defined by Chapter 287, Florida Statutes, as amended from time to time, or (2) is customarily considered to be a white-collar crime or theft-related crime such as fraud, smuggling, bribery, embezzlement, or misappropriation of funds, etc. or (3) results in a felony conviction where the crime is directly related to the business activities for which the franchise is sought.

Yes ___ No ☒

If you responded "Yes," please provide all of the following information for each indictment, charge, or conviction:

- a) A description of the case style and docket number
- b) The nature of the charge or indictment
- c) Date of the charge or indictment
- d) Location of the court before which the proceeding is pending or was heard
- e) The disposition (e.g., convicted, acquitted, dismissed, etc.)
- f) Any sentence imposed
- g) Any evidence which the County (in its discretion) may determine that the Applicant and/or person found guilty or convicted of illegal conduct or activity has conducted itself, himself or herself in a manner as to warrant the granting or renewal of the franchise.

Section J

The Applicant must provide a current certificate(s) of insurance. Franchise insurance requirements are determined by Broward County's Risk Management Division and are contained in the Port Everglades Tariff No. 12 as amended, revised or reissued from time to time. The Port Everglades Tariff is contained in the Broward County Administrative Code, Chapter 42, and is available for inspection on line at: <http://www.porteverglades.net/development/tariff>.

Section K

1. The Applicant must provide its most recent audited or reviewed financial statements prepared in accordance with generally accepted accounting principles, or other documents and information which demonstrate the Applicant's creditworthiness, financial responsibility, and resources, which the Port will consider in evaluating the Applicant's financial responsibility.
2. Has the Applicant or entity acquired by Applicant (discussed in Section E herein) sought relief under any provision of the Federal Bankruptcy Code or under any state insolvency law filed by or against it within the last five (5) year period?
Yes ☐ No ☒
If "Yes," please provide the following information for each bankruptcy or insolvency proceeding:
 - a) Date petition was filed or relief sought
 - b) Title of case and docket number
 - c) Name and address of court or agency
 - d) Nature of judgment or relief
 - e) Date entered
3. Has any receiver, fiscal agent, trustee, reorganization trustee, or similar officer been appointed in the last five (5) year period by a court for the business or property of the Applicant?
Yes ☐ No ☒
If "Yes," please provide the following information for each appointment:
 - a) Name of person appointed
 - b) Date appointed
 - c) Name and address of court
 - d) Reason for appointment
4. Has any receiver, fiscal agent, trustee, reorganization trustee, or similar officer been appointed in the last five (5) year period by a court for any entity, business, or property acquired by the Applicant?
Yes ☐ No ☒
If "Yes," please provide the following information for each appointment:
 - a) Name of person appointed
 - b) Date appointed
 - c) Name and address of court
 - d) Reason for appointment

Section L

List four (4) credit references for the Applicant, one of which must be a bank. Use this format:

Name of Reference See attached Nature of Business _____
Contact Name _____ Title _____
Legal Business Street Address _____
City, State, Zip Code _____
Phone Number (____) _____

(Provide on a separate sheet.)

Section M

1. Security: Pursuant to Port Everglades Tariff 12, Item 960, all Franchisees are required to furnish an Indemnity and Payment Bond or Irrevocable Letter of Credit drawn on a U.S. bank in a format and an amount not less than \$20,000 as required by Broward County Port Everglades Department.
2. Has the Applicant been denied a bond or letter of credit within the past five (5) years?
Yes ___ No ☒
If "Yes," please provide a summary explanation in the space provided of why the Applicant was denied. Use additional sheets if necessary.

Section N

1. Provide a list and description of all equipment currently owned and/or leased by the Applicant and intended to be used by the Applicant for the type of service(s) intended to be performed at Port Everglades including the age, type of equipment and model number.
2. Identify the type of fuel used for each piece of equipment.
3. Indicate which equipment, if any, is to be domiciled at Port Everglades.
4. Will all equipment operators be employees of the Applicant, on the payroll of the Applicant, with wages, taxes, benefits, and insurance paid by the Applicant?
Yes ☒ No ___
If "No," please explain in the space provided who will operate the equipment and pay wages, taxes, benefits, and insurance, if the franchise is granted. Use additional sheets if necessary.

Section O

Provide a copy of the Applicant's current Broward County Business Tax Receipt (formerly Occupational License).

Section P

1. Provide a copy of Applicant's safety program.
2. Provide a copy of Applicant's substance abuse policy.
3. Provide a copy of Applicant's employee job training program/policy.
4. Provide information regarding frequency of training.
5. Include equipment operator certificates, if any.

Section Q

1. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or fines from any federal, state, or local environmental regulatory agencies?
Yes ☒ No ☐
2. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or civil penalties from the U.S. Coast Guard?
Yes ☒ No ☐
3. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or fines from the Occupational Safety and Health Administration?
Yes ☐ No ☒

If you responded "Yes" to any of this section's questions 1, 2, or 3 above, please provide a detailed summary for each question containing the following information:

- a) Name and address of the agency issuing the citation or notice
- b) Date of the notice
- c) Nature of the violation
- d) Copies of the infraction notice(s) from the agency
- e) Disposition of case
- f) Amount of fines, if any
- g) Corrective action taken

Attach copies of all citations, notices of violations, warning notices, civil penalties and fines issued by local, state, and federal regulatory agencies, all related correspondence, and proof of payment of fines.

4. Provide a statement (and/or documentation) which describes the Applicant's commitment to environmental protection, environmental maintenance, and environmental enhancement in the Port.

Section R

Provide written evidence of Applicant's ability to promote and develop growth in the business activities, projects or facilities of Port Everglades through its provision of the services (i.e., stevedore, cargo handler or steamship agent) it seeks to perform at Port Everglades. For first-time applicants (stevedore, cargo handler and steamship agent), the written evidence must demonstrate Applicant's ability to attract and retain new business such that, Broward County may determine in its discretion that the franchise is in the best interests of the operation and promotion of the port and harbor facilities. The term "new business" is defined in Chapter 32, Part II of the Broward County Administrative Code as may be amended from time to time.

If you have checked an Applicant box for VESSEL BUNKERING, VESSEL OILY WASTE REMOVAL, VESSEL SANITARY WASTE WATER REMOVAL, OR MARINE TERMINAL SECURITY, the following additional information is required:

☒ **VESSEL BUNKERING**

Section T- A Letter of Adequacy from the U.S. Coast Guard and a copy of the applicant's operations manual approved by the U.S. Coast Guard.

Section V- A copy of the applicant's Oil Spill Contingency Plan for Marine Transportation Related Facilities approved by the U.S. Coast Guard.

Section W- A Terminal Facility Discharge Prevention and Response Certificate with a copy of an approved Oil Spill Contingency Plan from the Florida Dept. of Environmental Protection.

Section Z- An approved Discharge Cleanup Organization Certificate from the Florida Dept. of Environmental Protection which has been issued to the applicant or to its cleanup contractor with a copy of the cleanup contract showing the expiration date.

☐ **VESSEL OILY WASTE REMOVAL**

Section S - Certificate of Adequacy in compliance with the Directives of MARPOL 73/75 and 33 CFR 158, if applicable.

Section T- A Letter of Adequacy from the U.S. Coast Guard and a copy of the Applicant's operations manual approved by the U.S. Coast Guard.

Section U- A Waste Transporter License from the Broward County Environmental Protection Department identifying the nature of the discarded hazardous (or non-hazardous) material to be transported.

Section V- A copy of the Applicant's Oil Spill Contingency Plan for Marine Transportation Related Facilities approved by the U.S. Coast Guard.

Section W- A Terminal Facility Discharge Prevention and Response Certificate with a copy of an approved Oil Spill Contingency Plan from the Florida Dept. of Environmental Protection.

Section X- A Used Oil Collector, Transporter, and Recycler Certificate from the Florida Dept. of Environmental Protection.

Section Y- An Identification Certificate from the U.S. Environmental Protection Agency.

Section Z- An approved Discharge Cleanup Organization Certificate from the Florida Dept. of Environmental Protection which has been issued to the Applicant or to its cleanup contractor with a copy of the cleanup contract showing the expiration date.

☐ **VESSEL SANITARY WASTE WATER REMOVAL**

Section U- A Waste Transporter License from the Broward County Environmental Protection Department identifying the nature of the discarded hazardous (or non-hazardous) material to be transported.

Section Z1- A copy of the Applicant's operations manual.

Section Z2- A Septage Receiving Facility Waste Hauler Discharge Permit from the Broward County Water and Wastewater Services Operations Division.

☐ **MARINE TERMINAL SECURITY**

Section N1- A list of all metal detection devices, walk-through and hand held, as well as all luggage and carryon x-ray machines owned or leased, to be used or domiciled at Port Everglades. Listing must include brand name and model.

Section N2- A copy of all manufacturers recommended service intervals and name of company contracted to provide such services on all aforementioned equipment.

Section N3- A description of current method employed to assure all equipment is properly calibrated and functioning.

Section N4- current training requirements and training syllabus for employees operating x-ray equipment. Highlight emphasis on weapon and contraband identification. Include equipment operator certificates, if any.

Section O1- Provide copies of all local, state and federal licenses, including:

- a. A copy of the Applicant's State of Florida Business License.
- b. A copy of security agency's Manager's "M" or "MB" License and a copy of the security agency's "B" or "BB" License issued by the Florida Department of Agriculture and Consumer Services.

Section P3- SECURITY GUARDS / SUPERVISORS

- a. Provide Applicant's background requirements, education, training etc., for personnel hired as security guards. Training requirements in 33 CFR 105.210 for marine facilities.
- b. Provide historic annual turnover ratio for security guards.
- c. Provide a copy of Applicant's job training program/policy including a copy of training curriculum and copies of all manuals and take-home materials made available to security guards. Include information regarding frequency of training.
- d. Provide background requirements, experience, licensing and any and all advanced training provided to supervisory personnel.
- e. Provide present policy for individual communication devices either required of security guards or supplied by the employer.
- f. Provide procurement criteria and source as well as Applicant's certification requirements for K-9 workforce.
- g. Provide information on the number of security guards / supervisors currently employed or expected to be employed to provide security services at Port Everglades.

Supervisors _____
Class D Guards _____
Class G Guards _____
K-9 Handlers _____

Port Everglades Tariff 12

References to the Port Everglades Tariff 12 as amended or reissued: <http://www.porteverglades.net/development/tariff>

Application Fees

The following fees have been established for franchised businesses at Port Everglades. Initial processing fees are nonrefundable. A franchise is required for each category of business.

Stevedore

Initial processing fee, assignment fee, or reinstatement fee \$ 11,550.00

Annual Fee

\$ 4,200.00

Cargo Handler

Initial processing fee, assignment fee, or reinstatement fee \$ 11,550.00

Annual Fee

\$ 4,200.00

Steamship Agent

Initial processing fee, assignment fee, or reinstatement fee \$ 4,200.00

Annual Fee

\$ 2,360.00

Tugboat and Towing

Initial processing fee, assignment fee, or reinstatement fee \$ 27,300.00

Annual Fee

By Contract

Vessel Bunkering, Vessel Oily Waste Removal, Vessel Sanitary Waste Water Removal, Marine Terminal Security Service

Initial processing fee, assignment fee, or reinstatement fee \$ 4,200.00

Annual Fee

\$ 2,360.00

For first-time franchise Applicants, both the initial application fee and the annual fee must be submitted at time of application. Thereafter, annual franchise fees are due and payable each year on the franchise anniversary date, which is defined as the effective date of the franchise.

Note: Check(s) should be made payable to:

BROWARD COUNTY BOARD OF COUNTY COMMISSIONERS and be mailed with this application to:

Port Everglades Business Development Division

1850 Eller Drive, Fort Lauderdale, FL 33316

Required Public Hearing

Staff review of this application will not commence until such time as all of the above requested information and documentation has been provided and the franchise application has been determined by staff to be complete. All of the above requested information and Sections are required to be completed prior to the scheduling of the public hearing. Staff will request that the Broward County Board of County Commissioners set a public hearing to consider the franchise application and hear comments from the public. The Applicant will be notified of the Public Hearing date and is welcome to attend the Public Hearing.

By signing and submitting this application, Applicant certifies that all information provided in this application is true and correct. Applicant understands that providing false or misleading information on this application may result in the franchise application being denied, or in instances of renewal, a franchise revoked. Applicant hereby waives any and all claims for any damages resulting to the Applicant from any disclosure or publication in any manner of any material or information acquired by Broward County during the franchise application process or during any inquiries, investigations, or public hearings.

Applicant further understands that if there are any changes to the information provided herein (subsequent to this application submission) or to its officers, directors, senior management personnel, or business operation as stated in this application, Applicant agrees to provide such updated information to the Port Everglades Department of Broward County, including the furnishing of the names, addresses (and other information as required above) with respect to persons becoming associated with Applicant after its franchise application is submitted, and any other required documentation requested by Port Everglades Department staff as relating to the changes in the business operation. This information must be submitted within ten (10) calendar days from the date of any change made by the Applicant.

Applicant certifies that all workers performing functions for Applicant who are subject to the Longshore and Harbor Workers' Act are covered by Longshore & Harbor Workers' Act, Jones Act Insurance, as required by federal law.

This application and all related records are subject to Chapter 119, F.S., the Florida Public Records Act.

By its execution of this application, Applicant acknowledges that it has read and understands the rules, regulations, terms and conditions of the franchise it is applying for as set forth in Chapter 32, Part II, of the Broward County Administrative Code as amended, and agrees, should the franchise be granted by Broward County, to be legally bound and governed by all such rules, regulations, terms and conditions of the franchise as set forth in Chapter 32, Part II, of the Broward County Administrative Code as amended.

The individual executing this application on behalf of the Applicant, personally warrants that s/he has the full legal authority to execute this application and legally bind the Applicant.

Signature of Applicant's Authorized

Representative  Date Signed 5/27/25

Signature name and title - typed or printed CHRISTIAN G O'NEIL, PRESIDENT & COO

Witness Signature (*Required*) 

Witness name-typed or printed PATTI L SHOWELL

Witness Signature (*Required*) 

Witness name-typed or printed Renato A. Castro

If a franchise is granted, all official notices/correspondence should be sent to:

Name John Kennedy Title Manager Operations

Address 020 Port Blvd Ste 2, Miami, FL 33132 Phone (785) 577-6107

Section A

1. Officers of the Applicant

Officers:

Title President and Chief Operating Officer

First Name Christian Middle Name G.

Last Name O'Neil

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address christian.oneil@kirbycorp.com

Title Executive Vice President

First Name David Middle Name W.

Last Name Grzebinski

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address dwg@kirbycorp.com

Title Executive Vice President and Chief Financial Officer

First Name Raj Middle Name

Last Name Kumar

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address raj.kumar@kirbycorp.com

Title Executive Vice President, General Counsel and Secretary

First Name Amy Middle Name D.

Last Name Husted

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address amy.husted@kirbycorp.com

Title Executive Vice President – Vessel Operations

First Name James Middle Name C.

Last Name Guidry

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address jim.guidry@kirbycorp.com

Title Senior Vice President – Operations

First Name Todd Middle Name M.

Last Name Behlke

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address todd.behlke@kirbycorp.com

Title Senior Vice President – Logistics

First Name Craig Middle Name

Last Name Foret

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address craig.foret@kirbycorp.com

Title Chief Commercial Officer

First Name John

Middle Name T.

Last Name Hallmark

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address john.hallmark@kirbycorp.com.

Title Assistant General Counsel – Marine Transportation

First Name Dana

Middle Name M.

Last Name LeDoux-Cortez

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address dana.ledoux-cortez@kirbycorp.com.

Title Vice President – Sales

First Name Patrick

Middle Name C.

Last Name Kelley

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address patrick.kelly@kirbycorp.com.

Title Senior Vice President – Sales

First Name Lyle

Middle Name D.

Last Name Marshall

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address lyle.marshall@kirbycorp.com.

Title Senior Vice President – Sales

First Name Richard

Middle Name C.

Last Name Northcutt

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address richard.northcutt@kirbycorp.com.

Title Senior Vice President – Sales

First Name Cliff

Middle Name R.

Last Name Stanich

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address cliff.stanich@kirbycorp.com.

Title Senior Vice President – Sales

First Name Cecil

Middle Name K.

Last Name Wattigney

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000

Fax Number ()

Email Address cecil.wattigney@kirbycorp.com.

Title Senior Vice President – Sales

First Name Thomas Middle Name H.

Last Name Whitehead

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address tohy.whitehead@kirbycorp.com.

Title Senior Vice President Inland Maintenance and Repair

First Name Bob Middle Name R.

Last Name Austin

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address bob.austin@kirbycorp.com.

Title Vice President – Sales

First Name Brian Middle Name P.

Last Name Benoit

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address brian.benoit@kirbycorp.com.

Title Vice President, Project Management Office

First Name Taylor Middle Name A.

Last Name Dickerson

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address taylor.dickerson@kirbycorp.com.

Title Vice President - Finance

First Name Renato Middle Name A.

Last Name Castro

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address renato.castro@kirbycorp.com.

Title Vice President – Sales

First Name Jesse Middle Name S.

Last Name Outlaw

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address jesse.outlaw@kirbycorp.com.

Title Vice President – Sales

First Name Leslie Middle Name M.

Last Name Koenning

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address leslie.koenning@kirbycorp.com.

Title Vice President – Operations

First Name James Middle Name

Last Name Reardon

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address james.reardon@kirbycorp.com

Title Vice President – Operations

First Name Tara Middle Name

Last Name Gilgore

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address tara.kilgore@kirbycorp.com

Title Vice President – Operations

First Name Bryce Middle Name D.

Last Name Goolsby

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address bryce.goolsby@kirbycorp.com

Title Vice President, Investor Relations and Treasurer

First Name Kurt Middle Name A.

Last Name Niemietz

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address kurt.niemietz@kirbycorp.com

Title Assistant Secretary

First Name Ronald Middle Name A.

Last Name Dragg

Business Street Address 55 Waugh Dr, Suite 1000, Houston, TX 77007

Phone Number (713) 435-1000 Fax Number ()

Email Address ron.dragg@kirbycorp.com

2. Resumes for the executive officers of the Applicant listed above

David W. Grzebinski is a Chartered Financial Analyst and holds a Master of Business Administration degree from Tulane University and a degree in chemical engineering from the University of South Florida. He has served as Chief Executive Officer since April 2024. He served as President and Chief Executive Officer from April 2014 to April 2024. He served as President and Chief Operating Officer from January 2014 to April 2014 and as Chief Financial Officer from March 2010 to April 2014. He served as Chairman of Kirby Offshore Marine from February 2012 to April 2013 and served as Executive Vice President from March 2010 to January 2014. Prior to joining the Company in February 2010, he served in various operational and financial positions since 1988 with FMC Technologies Inc. ("FMC"), including Controller, Energy Services, Treasurer, and Director of Global SAP and Industry Relations. Prior to joining FMC, he was employed by Dow Chemical Company in manufacturing, engineering and financial roles.

Christian G. O'Neil holds a Master of Business Administration degree from Rice University, a doctorate of jurisprudence from Tulane University and a bachelor of arts degree from Southern Methodist University. He has served as President and Chief Operating Officer since April 2024, as President of Kirby Inland Marine and Kirby Offshore Marine since January 2018, as President of San Jac Marine, LLC since October 2018, and President of Kirby Offshore Wind, LLC since March 2021. He served as Executive Vice President and Chief Operating Officer of Kirby Inland Marine and Kirby Offshore Marine from May 2016 to January 2018. He also served as Executive Vice President – Commercial Operations of Kirby Inland Marine and Kirby Offshore Marine from April 2014 to May 2016, Vice President – Human Resources of the Company from May 2012 to April 2014, Vice President – Sales for Kirby Inland Marine from 2009 to 2012 and President of Osprey from 2006 through 2008. He has also served in various sales and business development roles at the Company and Osprey. Prior to joining the Company, he served as Sales Manager and Fleet Manager at Hollywood Marine, Inc. ("Hollywood Marine") after joining Hollywood Marine in 1997 which was subsequently merged into the predecessor of Kirby Inland Marine.

Raj Kumar is a member of CPA Australia and holds a Master of Business Administration degree from Columbia University in New York City and a Bachelor of Business in Accounting degree from Deakin University in Australia. He has served as Executive Vice President and Chief Financial Officer since November 2021. Prior to joining the Company, Mr. Kumar served as Vice President and Chief Financial Officer of Dril-Quip, Inc. from 2020 to 2021, Vice President and Chief Accounting Officer from 2019 to 2020, and Vice President and Treasurer from 2017 to 2019. Prior to joining Dril-Quip, he served as Vice President Finance at Frank's International from 2015 to 2017. Prior to that, he served as a segment controller at LyondellBasell and in Division CFO, treasury, strategic planning and corporate development positions at FMC and Dell Inc.

Amy D. Husted holds a doctorate of jurisprudence from South Texas College of Law and a Bachelor of Science degree in political science from the University of Houston. She has served the Company as Executive Vice President, General Counsel and Secretary since July 2024. She also served as Vice President, General Counsel and Secretary from April 2019 to July 2024, Vice President and General Counsel from January 2017 to April 2019, Vice President – Legal from January 2008 to January 2017 and Corporate Counsel from November 1999 through December 2007. Prior to joining the Company, she served as Corporate Counsel of Hollywood Marine from 1996 to 1999 after joining Hollywood Marine in 1994.

Ronald A. Dragg is a Certified Public Accountant and holds a Master of Science in Accountancy degree from the University of Houston and a degree in finance from Texas A&M University. He has served the Company as Vice President, Controller and Assistant Secretary since April 2014. He also served as Vice President and Controller from January 2007 to April 2014, as Controller from November 2002 to January 2007, Controller – Financial Reporting from January 1999 to October 2002, and Assistant Controller – Financial Reporting from October 1996 to December 1998. Prior to joining the Company, he was employed by Baker Hughes Incorporated.

Kurt A. Niemietz holds a Master of Business Administration degree from St. Mary's University and a degree in accounting from the University of Texas at San Antonio. He has served the Company as Vice President - Investor Relations and Treasurer since July 2022. He also served as Vice President and Treasurer from April 2019 to July 2022. Prior to joining the Company, he was employed by Pacific Drilling from 2013 to 2019, serving in various roles of increasing responsibility, including Treasurer from 2017 to 2019, and in various financial positions with FMC, from 2006 to 2013. Prior to joining FMC, he was employed by Austin, Calvert & Flavin as a buy-side equity analyst.

See also Section G for information on Managerial Employees for Florida Bunker Group.

Section B. 2.

See attached.

Section C.3.

When the last Biennial Franchise Review (attached) was prepared for submission, the following individuals were the officers of the Applicant.

Christian G. O'Neil	President and Chief Operating Officer
David W. Grzebinski	Executive Vice President
Raj Kumar	Executive Vice President and Chief Financial Officer
James C. Guidry	Executive Vice President – Vessel Operations
Todd M. Behlke	Vice President – Operations
Craig Foret	Vice President – Logistics
John T. Hallmark	Chief Commercial Officer
Amy D. Husted	Vice President, General Counsel and Secretary
Dana LeDoux-Cortez	Assistant General Counsel – Marine Transportation
Patrick C. Kelly	Vice President – Sales
Lyle D. Marshall	Senior Vice President – Sales
Richard C. Northcutt	Senior Vice President – Sales
Cliff R. Stanich	Senior Vice President – Sales
Cecil K. Wattigney	Senior Vice President – Sales
Thomas H. Whitehead	Senior Vice President – Sales
Bob R. Austin	Vice President Inland Maintenance and Repair
Brian P. Benoit	Vice President – Sales
Taylor A. Dickerson	Vice President, Project Management Office
Renato A. Castro	Vice President and Controller
Kurt A. Niemietz	Vice President, Investor Relations and Treasurer
Ronald A. Dragg	Assistant Secretary

As of the date hereof, the individuals identified in Section 1.A. are the officers of the Applicant. See attached resolutions reflecting appointment of officers since the submission of the Biennial Franchise Review.

B03000000121

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

(Document Number)

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03 APR 04 AM 11:35
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

CT CORPORATION

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

April 4, 2003

Secretary of State, Florida
409 East Gaines Street
Tallahassee FL 32399

Re: Order #: 5777216 WO
Customer Reference 1: 13085
Customer Reference 2: 1

Dear Secretary of State, Florida:

Please file the attached:

Kirby Inland Marine, LP (DE)
Registration
Florida

FILE SECOND!!!

Enclosed please find a check for the requisite fees. Please return evidence of filing(s) to my attention.

If for any reason the enclosed cannot be filed upon receipt, please contact me immediately at (850) 222-1092. Thank you very much for your help.

Sincerely,

Katrina Forsman
Fulfillment Specialist
Katrina_Forsman@cch-lis.com

660 East Jefferson Street
Tallahassee, FL 32301
Tel. 850 222 1092
Fax 850 222 7615

**APPLICATION BY FOREIGN LIMITED PARTNERSHIP FOR
AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

03 APR -4 PM 2: 18

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

1. Kirby Inland Marine, LP
(Name of limited partnership as it is in the home state)
2. _____
(If name is unavailable, name under which the limited partnership proposes to register or transact business in Florida;
must contain the word "LIMITED" or "LTD.")
3. Delaware 4. 5-13-48
(State of Formation) (Date of Formation)
5. C T Corporation System
(Name of Registered Agent for Service of Process)
6. c/o C T Corporation System, 1200 South Pine Island Road
(Street Address of Registered Office)
Plantation Florida 33324
(City) (Zip Code)
7. Acceptance by the Registered Agent for Service of Process:
C T Corporation System
By: Connie Bray
(Agent must sign on this line)
8. 1209 Orange Street, Wilmington, DE 19801
(Address of registered office required in state of formation or, if not required, address of principal office.)
9. NAMES OF GENERAL PARTNERS STREET ADDRESS
KIM Holdings, Inc., 55 Waugh Drive, Suite 1000, Houston, Texas 77007

10. 55 Waugh Drive, Suite 1000, Houston, Texas 77007
(Office where Names, Addresses and Contributions of Limited Partners are kept.)
11. The limited partnership will undertake to keep the records listing the addresses and capital contributions of the limited partner or limited partners until the limited partnership's registration in Florida is canceled or withdrawn.

CONTINUED

12. 55 Waugh Drive, Suite 1000 Houston, TX 77007

FILED

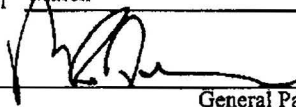
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(Mailing Address of Limited Partnership)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Under penalties of perjury I, being duly sworn, declare that I have read the foregoing and know the contents thereof and that the facts stated herein are true and correct.

Signed this 12th day of March, 2003



General Partner KIM Holdings, Inc., General Partner

STATE OF TEXAS

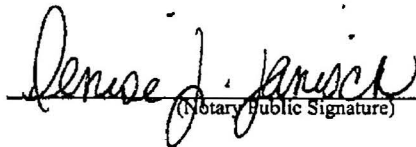
COUNTY OF HARRIS

On this 12th day of March, 2003

Mark R. Buese, personally appeared before me,

☒ who is personally known to me

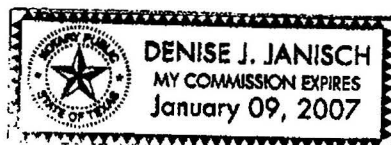
☐ whose identity I proved on the basis of


(Notary Public Signature)

Denise J. Janisch
(Notary's Printed Name)

Seal

My Commission Expires: January 9, 2007



**AFFIDAVIT OF CAPITAL CONTRIBUTIONS FOR A FOREIGN LIMITED
PARTNERSHIP**

FILED

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

BEFORE ME the undersigned personally appeared Mark R. Buese, Sr. Vice President of KIM Holdings, Inc.

a general partner of Kirby Inland Marine, LP, a (an) Delaware

limited partnership, hereinafter referred to as the "Partnership", who certifies as follows:

1. The amount of capital contributions of the limited partners is \$ 1,112,512.50.
2. The anticipated amount of the capital contributions of the limited partners that are allocated for the purposes of transacting business in Florida is \$ 1,891.27.

Under the penalties of perjury I, being duly sworn, declare that I have read the foregoing and know the contents thereof and that the facts stated herein are true and correct.

Signed this 12th day of March, 2003.



General Partner

Mark R. Buese, Sr. Vice President of KIM Holdings, Inc.

STATE OF TEXAS

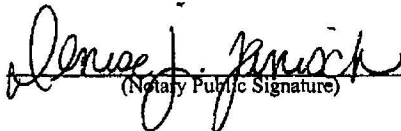
COUNTY OF HARRIS

On this 12th day of March, 2003,

Mark R. Buese, personally appeared before me,

☒ who is personally known to me

☐ whose identity I proved on the basis of _____



(Notary Public Signature)

Denise J. Janisch

(Notary's Printed Name)

Seal

My Commission Expires: Jan. 9, 2007



Delaware

The First State

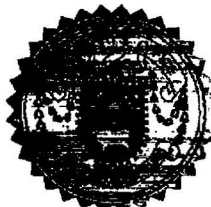
PAGE 1

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "KIRBY INLAND MARINE, LP" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIFTH DAY OF MARCH, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

0422508 8300

AUTHENTICATION: 2329989

030199218

DATE: 03-25-03

**UNANIMOUS WRITTEN CONSENT
IN LIEU OF A SPECIAL MEETING OF THE PARTNERS OF
KIRBY INLAND MARINE, LP**

July 1, 2024

The undersigned, being the duly elected General Partner and Limited Partner of Kirby Inland Marine, LP (the "Partnership"), a limited partnership duly organized and existing under the laws of the State of Delaware, do hereby consent that, when the General Partner and Limited Partner have signed this Unanimous Written Consent, the resolutions set forth below shall be deemed to have been adopted to the same extent, and have the same force and effect as if adopted at, and shall constitute a Special Meeting of the Partnership, duly called and held for the purpose of acting upon the proposal to adopt such resolutions:

Promotion of Officer

RESOLVED, Todd M. Behlke is hereby unanimously promoted to and elected as Senior Vice President - Operations of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

RESOLVED, Craig Foret is hereby unanimously promoted to and elected as Senior Vice President - Logistics of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

RESOLVED, Bob R. Austin is hereby unanimously promoted to and elected as Senior Vice President Inland Maintenance and Repair of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

Election of Officer

RESOLVED, Jesse S. Outlaw is hereby unanimously elected as Vice President - Sales of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

RESOLVED, Leslie M. Koenning is hereby unanimously elected as Vice President - Sales of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

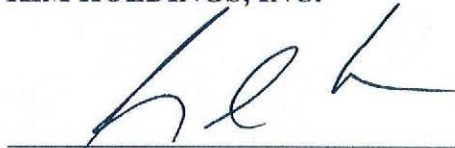
RESOLVED, James Reardon is hereby unanimously elected as Vice President - Operations of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

RESOLVED, Tara Kilgore is hereby unanimously elected as Vice President - Operations of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

RESOLVED, Bryce D. Goolsby is hereby unanimously elected as Vice President - Operations of the Partnership to serve during the ensuing year and until his successor has been duly elected and qualified.

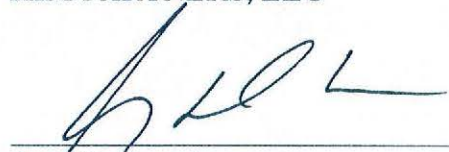
IN WITNESS WHEREOF, the undersigned General Partner and Limited Partner of Kirby Inland Marine, LP have signed this instrument in writing as of the 1st day of July 2024.

KIM HOLDINGS, INC.



Amy D. Husted
Vice President, General Counsel and Secretary

KIM PARTNERS, LLC



Amy D. Husted
Vice President, General Counsel and Secretary

**UNANIMOUS WRITTEN CONSENT
IN LIEU OF A SPECIAL MEETING OF THE PARTNERS OF
KIRBY INLAND MARINE, LP**

July 29, 2024


The undersigned, being the duly elected General Partner and Limited Partner of Kirby Inland Marine, LP (the "Partnership"), a limited partnership duly organized and existing under the laws of the State of Delaware, do hereby consent that, when the General Partner and Limited Partner have signed this Unanimous Written Consent, the resolutions set forth below shall be deemed to have been adopted to the same extent, and have the same force and effect as if adopted at, and shall constitute a Special Meeting of the Partnership, duly called and held for the purpose of acting upon the proposal to adopt such resolutions:

Promotion of Officer

RESOLVED, Amy D. Husted is hereby unanimously promoted to and elected as Executive Vice President, General Counsel and Secretary of the Partnership to serve during the ensuing year and until her successor has been duly elected and qualified.


IN WITNESS WHEREOF, the undersigned General Partner and Limited Partner of Kirby Inland Marine, LP have signed this instrument in writing as of the 29th day of July 2024.

KIM HOLDINGS, INC.



Amy D. Husted
Vice President, General Counsel and Secretary

KIM PARTNERS, LLC



Amy D. Husted
Vice President, General Counsel and Secretary

**UNANIMOUS WRITTEN CONSENT
IN LIEU OF AN ANNUAL MEETING OF THE PARTNERS OF
KIRBY INLAND MARINE, LP**

December 31, 2024

The undersigned, being the duly elected General Partner and Limited Partner of Kirby Inland Marine, LP (the "Partnership"), a limited partnership duly organized and existing under the laws of the State of Delaware, do hereby consent that, when the General Partner and Limited Partner have signed this Unanimous Written Consent, the resolutions set forth below shall be deemed to have been adopted to the same extent, and have the same force and effect as if adopted at, and shall constitute the formal Annual Meeting of the Partnership, duly called and held for the purpose of acting upon the proposal to adopt such resolutions:

Election of Officers

RESOLVED, that the following individuals are hereby unanimously elected Officers of the Partnership to serve during the ensuing year and until their successors have been duly elected and qualified:

Christian G. O'Neil	President and Chief Operating Officer
David W. Grzebinski	Executive Vice President
Raj Kumar	Executive Vice President and Chief Financial Officer
Amy D. Husted	Executive Vice President, General Counsel and Secretary
James C. Guidry	Executive Vice President – Vessel Operations
Todd M. Behlke	Senior Vice President – Operations
Craig Foret	Senior Vice President – Logistics
John T. Hallmark	Chief Commercial Officer
Dana LeDoux-Cortez	Assistant General Counsel – Marine Transportation
Patrick C. Kelly	Vice President – Sales
Lyle D. Marshall	Senior Vice President – Sales
Richard C. Northcutt	Senior Vice President – Sales
Cliff R. Stanich	Senior Vice President – Sales
Cecil K. Wattigney	Senior Vice President – Sales
Thomas H. Whitehead	Senior Vice President – Sales
Bob R. Austin	Senior Vice President Inland Maintenance and Repair
Brian P. Benoit	Vice President – Sales
Taylor A. Dickerson	Vice President, Project Management Office
Renato A. Castro	Vice President – Finance
Jesse S. Outlaw	Vice President – Sales
Leslie M. Koenning	Vice President – Sales
James Reardon	Vice President – Operations
Tara Kilgore	Vice President – Operations
Bryce D. Goolsby	Vice President – Operations
Kurt A. Niemietz	Vice President, Investor Relations and Treasurer
Ronald A. Dragg	Assistant Secretary

IN WITNESS WHEREOF, the undersigned General Partner and Limited Partner of Kirby Inland Marine, LP have signed this instrument in writing as of the 31st day of December 2024.

KIM HOLDINGS, INC.



Amy D. Husted
Executive Vice President, General Counsel and Secretary

KIM PARTNERS, LLC



Amy D. Husted
Executive Vice President, General Counsel and Secretary

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

Form 10-Q

- ☒ Quarterly report pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934
 For the quarterly period ended **March 31, 2025**
- or
- ☐ Transition report pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934
 For the transition period from to
 Commission File Number: **1-7615**

KIRBY CORPORATION

(Exact name of registrant as specified in its charter)

Nevada
 (State or other jurisdiction of incorporation or organization)

74-1884980
 (I.R.S. Employer Identification No.)

55 Waugh Drive, Suite 1000
Houston, TX
 (Address of principal executive offices)

77007
 (Zip Code)

713-435-1000
 (Registrant's telephone number, including area code)

No Change
 (Former name, former address and former fiscal year, if changed since last report)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock	KEX	New York Stock Exchange

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes ☒ No ☐

Indicate by check mark whether the registrant has submitted electronically every Interactive Data File required to be submitted pursuant to Rule 405 of Regulations S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes ☒ No ☐

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, a smaller reporting company, or an emerging growth company. See the definitions of "large accelerated filer," "accelerated filer," "smaller reporting company," and "emerging growth company" in Rule 12b-2 of the Exchange Act.

Large accelerated filer	<input checked="" type="checkbox"/>	Accelerated filer	<input type="checkbox"/>
Non-accelerated filer	<input type="checkbox"/>	Smaller reporting company	<input type="checkbox"/>
		Emerging growth company	<input type="checkbox"/>

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yes ☐ No ☒

As of May 9, 2025, 56.1 million shares of the Registrant's \$0.10 par value per share common stock were outstanding.

Section F

The Applicant, Kirby Inland Marine, LP, ("Kirby"), is the principal marine transportation subsidiary of Kirby Corporation, a publicly traded company listed on the New York Stock Exchange (Symbol: KEX). As of March 31, 2025, Kirby operates 291 towing vessels and 1111 tank barges, with a combined cargo capacity of nearly 24.6 million barrels, on U.S. waters. Kirby has been providing vessel bunkering services for more than twenty years in other U.S. ports, including Houston and Corpus Christi, Texas.

In February 2011, Kirby acquired certain assets of Enterprise Marine Services, LLC - assets which have been employed to provide vessel bunkering services in Florida ports, including Port Everglades, for many years. Kirby retained the same mariners and shore-based support employees who operated those assets for many years, an operation that originated when Belcher Oil Company began operating a fleet of tugs and barges to provide vessel bunkering services in Florida in 1930. The Coastal Corporation acquired all the Belcher assets in 1977 and changed the name of the company to Coastal Tug and Barge in 1989. The Coastal assets were then acquired by El Paso Corporation in 2000 and changed hands again in 2003 when they were purchased by TransMontaigne until they were acquired by TEPPCO Marine Services, LLC on June 5, 2009. TEPPCO Marine Services, LLC changed its name to Enterprise Marine Services, LLC in November 2009.

Predecessors in interest to the Applicant have been delivering bunker fuels by barge in the ports of Canaveral, Port Everglades, Miami, Tampa and Port Manatee since the 1970's. While bunker delivery tugs and barges were continuously domiciled in Canaveral, Miami, Tampa and Port Manatee, that was not always the case in Port Everglades. Prior to 2001, the tugs and barges servicing Port Everglades were domiciled in the port of Miami, transiting to Port Everglades to deliver bunkers as needed.

Operating in this fashion was very weather dependent, resulting in a less as reliable source of bunkers for ships calling Port Everglades. Since 2001, a tug and barge have been stationed in Port Everglades for the sole purpose of providing vessel bunkering services, which has greatly enhanced service capabilities and reliability. Kirby expects to continue operating its bunkering service to vessels in Port Everglades on the same basis as it has done since the inception of this bunker franchise in 2011.

Section G

Managerial Employees for Florida Bunker Group:

James C. Guidry: Executive Vice President-Vessel Operations for the Kirby Marine Transportation Group. Mr. Guidry has worked in the marine transportation industry for over 30 years. He began his career as a very young child working with his father on towboats the family owned in Texas and Louisiana. Mr. Guidry worked his way up from deckhand to Towing Vessel Master, then shoreside in Dispatching and promotions to management and finally his current position overseeing vessel operations for both Kirby Inland Marine and Kirby Offshore Marine Fleets. He is also the Incident Commander for the Kirby Inland Marine Emergency response team which is responsible for responding to natural disasters such as hurricanes and floods as well as manmade issues such as collisions, spills, fires etc. when they occur near or on Kirby equipment.

Kevin Fogelsanger: Vice President-Operations. Mr. Fogelsanger is the Vice President for the division of Kirby that operates bunker barges in Port Everglades and Port Miami. He is a graduate of Massachusetts Maritime Academy and holds USCG credential as Third Mate Unlimited Tonnage and Master of Towing Oceans. Mr. Fogelsanger began his career on Florida-based tugs as AB-Tankerman and quickly rose through the ranks to Master of Towing vessels, operating tugs towing inland and offshore bunker barges.

He transitioned to shoreside office positions that included managing shoreside tankerman group, Manager of Operations, transferred to Houston and was promoted to General Manager in 2014 and to Vice President-Operations in 2020.

John Kennedy: Operations Manager, Florida Bunker Group. Mr. Kennedy was a member of the USCG from 1980 until 1992, and is a graduate of SUNY Maritime College. He holds mariner's credential as Third Mate Unlimited and Master of Towing, Oceans. Mr. Kennedy spent many years operating vessels in both wheelhouse and shoreside positions and was Vice President of Marine Operations for Bayfront Ventures, which operated several casino boats in the Miami area. Mr. Kennedy joined Kirby's Florida Bunker Group in 2007 as Captain on tugs operating inland and offshore. He accepted a shoreside position as Port Captain and Safety Manager in August 2014 and was promoted to Operations Manager in January of 2019.

Section H

Seaport Port Everglades, FL Number of Years Operating at this Seaport 11+
List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client
Laurel Shipping LLC	6

Seaport Corpus Christi, TX Number of Years Operating at this Seaport 35+
List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client
Valero	13+

Seaport Mobile, AL Number of Years Operating at this Seaport 1<
List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client
Bunker One	1<

Seaport New Orleans, LA Number of Years Operating at this Seaport 5
List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client
Shell	10+
Valero	2
Vitol	10+
Bunker One	5+
Chevron	10+

Seaport Houston, TX Number of Years Operating at this Seaport 40+
List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company)	Number of Years Applicant has Provided Services to this Client
Valero	5+
Bunker One	5+
GCC	5+

Section I.1

See Note 13 Contingencies and Commitments in attachment.

Section J

See attached.

Section K.1

See financial statements in attachment.

Section L

Name of Reference J.P. Morgan Nature of Business Banking
Contact Name J. Stirling Bomar, CFA Title Managing Director
Legal Business Street Address 600 Travis Street, 20th Floor
City, State, Zip Code Houston, TX 77002
Phone Number (713) 216 4732

Name of Reference Southwest Shipyard Nature of Business Shipyard
Contact Name _____ Title _____
Legal Business Street Address 18310 Market St.
City, State, Zip Code Channelview, TX 77530
Phone Number (281) 860-3200

Name of Reference Gulf Marine Repair Nature of Business Shipyard
Contact Name _____ Title _____
Legal Business Street Address 1800 Grant St.
City, State, Zip Code Tampa, FL 33605
Phone Number (813) 247-3153

Name of Reference International Paint (an Akzo Nobel Company)
Nature of Business Coatings
Contact Name _____ Title _____
Legal Business Street Address 6001 Antoine Drive
City, State, Zip Code Houston, TX 77091
Phone Number (985) 718-3358

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 6
1201 Elm Street, Suite 500
Dallas, Texas 75270**

In the Matter of	§	
	§	
Kirby Inland Marine	§	Docket No. RCRA-06-2025-0915
	§	
Respondent.	§	

EXPEDITED SETTLEMENT AGREEMENT AND FINAL ORDER

1. The U.S. Environmental Protection Agency, Region 6 ("EPA") is authorized to enter into this Expedited Settlement Agreement ("Agreement") pursuant to Section 3008 of the Resource Conservation and Recovery Act ("RCRA"), 42 U.S.C. § 6928 and 40 C.F.R. § 22.13(b).

2. Pursuant to Section 3008(a)(2) of RCRA, 42 U.S.C. § 6928(a)(2), EPA has provided notice to the state of Texas of this action.

3. Kirby Inland Marine ("Respondent") is the owner or operator of the facility located at 3105 East Navigation Blvd, Corpus Christi, Texas 78402 (the "Facility").

4. The EPA alleges that Respondent violated the following requirements of RCRA and the EPA approved and authorized Texas hazardous waste management program:

a Failure to Meet the Standards for the Management of Used Oil

30 TEX. ADMIN. CODE § 324.1 [40 C.F.R. § 279.22] a person(s) managing used oil must comply with the requirements of 30 TEX.ADMIN.CODE Chapter 324 and the requirements in 40 C.F.R. Part 279, Standards for the Management of Used Oil.

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

The facility failed to label or clearly mark drums of used oil with the words

"Used Oil" in violation of 30 TEX.ADMIN. CODE § 324.1 [40 C.F.R. § 279.22]

5. The EPA and Respondent agree that settlement of this matter for a civil penalty of One thousand, two hundred fifty (\$1,250) dollars is in the public interest.

6. Respondent certifies that it will provide payment for the full civil penalty amount within 30 calendar days from the effective date of this Agreement, that such payment identifies Respondent by name and Docket Number RCRA-06-2025-0915, is made by certified or cashier's check made payable to the "United States Treasury", and sent to:

U.S. Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
PO Box 979078
St. Louis, Missouri 63197-9000

or by alternate payment method described at <http://www.epa.gov/financial/makepayment>.

7. Respondent certifies that the original Agreement and a true and accurate copy of the deposit for payment is submitted to:

U.S. EPA, Region 6
Enforcement and Compliance Assurance Division (ECDSR)
1201 Elm Street, Suite 500
Dallas, Texas 75270-2102
ATTN: Tripti Thapa
Thapa.Tripti@epa.gov

8. In signing this Agreement, Respondent: (a) admits that Respondent is subject to RCRA and the implementing regulations; (b) admits that the EPA has jurisdiction over Respondent and Respondent's conduct as alleged herein; (c) neither admits nor denies the factual allegations contained herein; (d) consents to the assessment of this penalty; and (e) waives the opportunity for a hearing to contest any issue of fact or law set forth herein; (f)

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

waives its right to appeal the Final Order accompanying this Agreement pursuant to Section 3008(b) of RCRA, 42 U.S.C. § 6928(b); and (g) consents to electronic service of the filed ESA.

9. By signature below, Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that Respondent: (a) has corrected the alleged violations, as applicable, and has submitted true and accurate documentation of such correction along with this Agreement; (b) will submit payment of the civil penalty as set forth below; and (c) will submit a true and accurate proof of payment of the civil penalty along with this Agreement.

10. The undersigned representative of Respondent certifies that he or she is fully authorized to enter the terms and conditions of this Expedited Settlement Agreement and Final Order and to execute and legally bind Respondent to it. Upon the effective date of this Agreement, payment of the civil penalty shall constitute full settlement of the civil claims alleged herein.

11. Full payment of the civil penalty shall only resolve Respondent's liability for federal civil penalties for the violations alleged herein. EPA reserves its rights to take enforcement action for any other past, present, or future violations of RCRA, any other federal statute or regulation, or this Agreement.

12. Each party shall bear its own costs and fees, if any.

13. The Agreement is binding on the parties signing below, and in accordance with 40 C.F.R. § 22.31(b), is effective upon filing.

14. This Agreement authorized by the EPA's execution of the Final Order attached hereto constitutes a final order under 40 C.F.R. Part 22.

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

15. The penalty specified herein shall represent civil penalties assessed by EPA and shall not be deductible for purposes of Federal, State and local taxes.

16. The EPA and Respondent agree to the use of electronic signatures for this matter pursuant to 40 C.F.R. § 22.6. The EPA and Respondent further agree to electronic service of this Agreement by email to the following:

To EPA: Murdock.Russell@epa.gov and

Thapa.Tripti@epa.gov

To Respondent: Kenny.Swango@kirbycorp.com

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

RESPONDENT:

Kirby Inland Marine

1/10/25
Date

Craig Ford
Signature

Craig Ford
Name

Sr. Vice President
Title

COMPLAINANT:

U.S. ENVIRONMENTAL PROTECTION AGENCY

Date

Cheryl T. Seager
Director
Enforcement
and Compliance Assurance Division
U.S. EPA, Region 6

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

FINAL ORDER

Pursuant to Section 3008(a) of RCRA, 42 U.S.C. § 6928(a), and the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits, 40 C.F.R. Part 22, the foregoing Expedited Settlement Agreement resolving this matter is hereby ratified and incorporated by reference into this Final Order.

Respondent is ORDERED to comply with all of the terms of the Expedited Settlement Agreement. In accordance with 40 C.F.R. § 22.31(b), the effective date of the foregoing Expedited Settlement Agreement and this Final Order is the date on which this Final Order is filed with the Regional Hearing Clerk.

This Final Order shall resolve only those causes of action alleged in the Expedited Settlement Agreement. Nothing in this Final Order shall be construed to waive, extinguish, or otherwise affect Respondents' (or its officers, agents, servants, employees, successors, or assigns) obligation to comply with all applicable federal, state, and local statutes and regulations, including the regulations that were the subject of this action.

IT IS SO ORDERED.

Thomas Rucki
Regional Judicial Officer

Date

*In the Matter of Kirby Inland Marine
Docket No. RCRA-06-2025-0915*

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Expedited Settlement Agreement and Final Order was filed with me, the Regional Hearing Clerk, U.S. EPA, Region 6, 1201 Elm Street, Suite 500, Dallas, Texas 75270-2102, and that I sent a true and correct copy on this day in the following manner to the addressees:

Copy via Email to Complainant, EPA:

Murdock.Russell@epa.gov and
Thapa.Tripti@epa.gov

Copy via Email to Respondent:

Kenny.Swango@kirbycorp.com
Kirby Inland Marine
3105 East Navigation Blvd
Corpus Christi, Texas 78402

Regional Hearing Clerk
U.S. EPA, Region 6



CERTIFICATE OF MARINE / ENERGY INSURANCE

Section J Exhibit 1

Page 42 of 155

DATE (MM/DD/YYYY)

5/27/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Aon Risk Services, Northeast, Inc. New York NY Office 199 Water Street New York, NY 10338-3551	CONTACT NAME:	
	PHONE (A/C, No, Ext): (866) 283-7122 FAX (A/C, No): (800) 363-0105	
	E-MAIL ADDRESS:	
	PRODUCER CUSTOMER ID #:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
INSURED Kirby Inland Marine LP P O Box 1745 Houston, TX 77251	INSURER A: Lloyd's Syndicate No. 3000	AA1129000
	INSURER B: U K Mutual Steam Ship Assoc (Europe) Ltd	AA1120138
	INSURER C: NorthStandard Ltd	AA1120006
	INSURER D: Zurich American Ins Co	16535
	INSURER E: Lloyd's Syndicate No. 1414	AA1127414
	INSURER F:	

COVERAGES**CERTIFICATE NUMBER:** 310112534**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	Limits shown are as requested	
A	HULL AND MACHINERY			MALIA2300285	6/30/2024	6/30/2025	<input checked="" type="checkbox"/>	PER SCHEDULE ON FILE
	<input checked="" type="checkbox"/> COLLISION LIABILITY							INSURED VALUE \$
	<input checked="" type="checkbox"/> TOWERS LIABILITY							COLLISION (Ea occurrence) \$
								TOWERS (Ea occurrence) \$
								\$
B	PROTECTION AND INDEMNITY			533163	2/20/2025	2/20/2026	<input checked="" type="checkbox"/>	PER CLUB RULES
	<input checked="" type="checkbox"/> CREW LIABILITY <input checked="" type="checkbox"/> JONES ACT							EA OCCURRENCE PER VESSEL, CSL \$
C	<input checked="" type="checkbox"/> COLLISION LIABILITY			418650	2/20/2025	2/20/2026		COLLISION (Ea occ), CSL \$
	<input checked="" type="checkbox"/> TOWERS LIABILITY							TOWERS (Ea occ), CSL \$
	<input checked="" type="checkbox"/> REMOVAL OF WRECK							REMOVAL OF WRECK (Ea occurrence) \$
	<input checked="" type="checkbox"/> IN REM							Pollution \$ 1,000,000,000
	<input checked="" type="checkbox"/> Pollution							\$
	POLLUTION LIABILITY							EA OCCURRENCE \$
	<input type="checkbox"/> OPA 90							\$
	<input type="checkbox"/> CERCLA							\$
	<input type="checkbox"/> NON-OPA / NON-CERCLA							\$
	MARITIME EMPLOYERS LIABILITY							ANY ONE PERSON \$
	<input type="checkbox"/> ALTERNATE EMPLOYER							ANY ONE ACCIDENT \$
	INCLUDES <input type="checkbox"/> CREW <input type="checkbox"/> EMPS							\$
	<input type="checkbox"/> JONES ACT							\$
	<input type="checkbox"/> DEATH ON THE HIGH SEAS							\$
	<input type="checkbox"/> IN REM ENDORSEMENT							\$
								\$
								\$
								\$

CERTIFICATE HOLDER**CANCELLATION**

6/30/24 - 2/20/25 HMPICIGLAL

310112534

Broward County
1850 Eller Drive
Fort Lauderdale, FL 33316 USA

SHOULD ANY OF THE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Aon Risk Services Northeast, Inc.

COVERAGES

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	Limits shown are as requested	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input checked="" type="checkbox"/> MARINE GENERAL LIABILITY CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			MALIA2300285	6/30/2024	6/30/2025	EACH OCCURRENCE \$ 25,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ Included MED EXP (Any one person) \$ Included PERSONAL & ADV INJURY \$ Included GENERAL AGGREGATE \$ 25,000,000 PRODUCTS-COMP / OP AGG \$ 25,000,000 \$ \$	
D	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY			BAP 8718421 04	6/30/2024	6/30/2025	COMBINED SINGLE LIMIT (Ea accident) \$ 2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ <input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. (Each accident) \$ E.L. DISEASE (Ea employee) \$ E.L. DISEASE - POLICY LIMIT \$ \$ \$ \$ \$	
	WORKERS COMPENSATION AND EMPLOYERS LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input checked="" type="checkbox"/> Y / <input checked="" type="checkbox"/> N If yes, describe under DESCRIPTION OF OPERATIONS below <input type="checkbox"/> ALTERNATE EMPLOYER <input type="checkbox"/> USL&H ENDORSEMENT <input type="checkbox"/> MARITIME EMPLOYERS LIABILITY <input type="checkbox"/> OCSL ACT		N / A				<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. (Each accident) \$ E.L. DISEASE (Ea employee) \$ E.L. DISEASE - POLICY LIMIT \$ \$ \$ \$ \$	
	U.S. LONGSHORE & HARBOR WORKERS COMPENSATION ACT <input type="checkbox"/> ALTERNATE EMPLOYER <input type="checkbox"/> MARITIME EMPLOYERS LIABILITY <input type="checkbox"/> OCSL ACT		N / A				<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. (Each accident) \$ E.L. DISEASE (Ea employee) \$ E.L. DISEASE - ANN AGG \$ \$ \$	
	AIRCRAFT LIABILITY <input type="checkbox"/> OWNED AIRCRAFT <input type="checkbox"/> NON-OWNED AIRCRAFT <input type="checkbox"/> PASSENGER LIABILITY						EACH OCCURRENCE \$ AGGREGATE \$ \$ \$ \$	
	UMBRELLA / EXCESS LIAB / BUMBERSHOOT <input type="checkbox"/> UMBRELLA <input type="checkbox"/> BUMBERSHOOT <input type="checkbox"/> EXCESS <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$ \$ \$	
	ENERGY CONTROL OF WELL / OPERATORS EXTRA EXPENSE <input type="checkbox"/> CARE, CUSTODY AND CONTROL (CCC) OFFSHORE OIL AND GAS PROPERTY <input type="checkbox"/> PLATFORMS <input type="checkbox"/> PIPELINES ONSHORE OIL AND GAS PROPERTY <input type="checkbox"/> OIL & GAS PROPERTY <input type="checkbox"/> CONTRACTORS EQUIPMENT NAMED WINDSTORM <input type="checkbox"/> CCC <input type="checkbox"/> OFF-SHORE <input type="checkbox"/> ON-SHORE						CSL, ANY ONE OCCURRENCE (100% interest) \$ ANY ONE OCCURRENCE (100% interest) \$ VALUES AS SCHEDULED \$ VALUES AS SCHEDULED \$ \$ \$ VALUES AS SCHEDULED \$ VALUES AS SCHEDULED \$ \$ \$ AGGREGATE \$	

VESSEL(S): AS PER ATTACHED SCHEDULE AS DETAILED IN THE DESCRIPTION OF OPERATIONS

DESCRIPTION OF OPERATIONS / LOCATIONS (ACORD 101, Additional Remarks Schedule, may be attached, if more space is required)

As respects policy MALIA2300285: \$25,000,000 Any one accident or occurrence or all claims any one loss or circumstance combined single limit in respect of all liabilities, costs and expenses insured against general liability coverage. Certificate Holder is included as an Additional Insured and granted a Waiver of Subrogation to the extent of the liabilities assumed under written contract against those parties for whom the Named Assured is required to secure a waiver of subrogation by such contract. It is expressly understood that the naming of additional assureds, coverage hereunder and/or waiving of subrogation shall be limited to the extent of the Named Assured's contractual commitment and only for liability arising out of the Named Assured's operations. It is further understood that coverage provided to the Additional Assured shall not be deemed to include any contractual indemnifications, liabilities or indemnification expressly assumed by said Additional Assured. Austin Marine II, LLC are included as Loss Payees as their interest may appear. Coverage 1 includes Breach of Warranty Insurance with respect to Austin Marine II, LLC and Steven D. Golding. Ships entered by the member are entered for 50% with NorthStandard Ltd with the remaining 50% entered in the United Kingdom Mutual Steam Ship Assurance Association (Europe) Limited.

BROWARD COUNTY LOCAL BUSINESS TAX RECEIPT

115 S. Andrews Ave., Rm. A-100, Ft. Lauderdale, FL 33301-1895 – 954-357-4829

VALID OCTOBER 1, 2024 THROUGH SEPTEMBER 30, 2025**Business Name:** KIRBY INLAND MARINE LP**Receipt #:** 326-226909**Business Type:** COURIER/TRANSPORT/DELIVERY/TOWING
(VESSEL BUNKERING BARGE DELIVER)**Owner Name:** GREGORY BINION**Business Opened:** 08/24/2009**Business Location:** 55 WAUGH DRIVE STE 1000
OUT OF STATE**State/County/Cert/Reg:****Exemption Code:****Business Phone:** 305-579-5013

Rooms

Seats

Employees

17

Machines

Professionals

For Vending Business Only						
Number of Machines:				Vending Type:		
Tax Amount	Transfer Fee	NSF Fee	Penalty	Prior Years	Collection Cost	Total Paid
150.00	0.00	0.00	0.00	0.00	0.00	150.00

Receipt Fee	150.00
Packing/Processing/Canning Employees	0.00

THIS RECEIPT MUST BE POSTED CONSPICUOUSLY IN YOUR PLACE OF BUSINESS**THIS BECOMES A TAX RECEIPT****WHEN VALIDATED**

This tax is levied for the privilege of doing business within Broward County and is non-regulatory in nature. You must meet all County and/or Municipality planning and zoning requirements. This Business Tax Receipt must be transferred when the business is sold, business name has changed or you have moved the business location. This receipt does not indicate that the business is legal or that it is in compliance with State or local laws and regulations.

Mailing Address:KIRBY INLAND MARINE LP
1020 PORT BLVD STE 2
MIAMI, FL 33132**Receipt #** 04C-23-00004794
Paid 07/25/2024 150.00**2024 - 2025**

From: [Brasso, Leah](#)
To: [Jones, Dornell](#); [Martin, Donna](#)
Cc: [Vandenheiligenberg, Nicholas](#)
Subject: RE: Franchise Renewal
Date: Tuesday, June 17, 2025 11:55:26 AM
Attachments: [image001.png](#)

Nothing additional is requested.



Leah Brasso, Director of Finance, CPFO

1850 Eller Drive, Suite 602 | Fort Lauderdale, FL 33316

Office: 954-468-3513

lbrasso@broward.org

PortEverglades.net

From: Jones, Dornell <DOJONES@broward.org>
Sent: Tuesday, June 17, 2025 11:24 AM
To: Brasso, Leah <LBRASSO@broward.org>; Martin, Donna <domartin@broward.org>
Cc: Vandenheiligenberg, Nicholas <NVandenheiligenberg@broward.org>
Subject: Franchise Renewal

Good morning, Leah and Donna

Please review the attached financial statements for Kirby's franchise renewal.

Let me know if this is acceptable or if anything else is needed.

Thanks

Regards,



Dornell Jones, Administrative Assistant

Foreign-Trade Zone #25

Business Development Division

From: [Paradis, Ann](#)
To: [Serpa, Paula](#); [Jones, Dornell](#); [Busker, Lisa](#)
Subject: RE: Bond No. 105481501 for Kirby Inland Marine
Date: Thursday, May 22, 2025 10:27:21 AM
Attachments: [image003.png](#)
Sensitivity: Confidential

External Email Warning

This email originated from outside the Broward County email system. Do not reply, click links, or open attachments unless you recognize the sender's email address (not just the name) as legitimate and know the content is safe. Report any suspicious emails to ETS Security by selecting the Report Suspicious or Report Phish button.

[Report Suspicious](#)

The bond information below is valid through 2.16.26

Ann V. Paradis | Sr. Administrative Assistant | Bond & Specialty Insurance

Assistant to: Bryce Grissom, Sr. Vice President, Surety
Assistant to: Paul Nebraska, Vice President, Construction Services
Assistant to: Chris Tata, Vice President, Commercial Surety
One Tower Square, MN06-740
Hartford, CT 06183
(860) 277-2895
aparadis@travelers.com



From: Serpa, Paula <PSERPA@broward.org>
Sent: Thursday, May 22, 2025 10:18 AM
To: Paradis, Ann <APARADIS@travelers.com>
Cc: Jones, Dornell <DOJONES@broward.org>
Subject: [External] Bond No. 105481501 for Kirby Inland Marine
Sensitivity: Confidential

CAUTION: This email came from outside of the company.

Please exercise caution when opening attachments, clicking links or responding to this email. The original sender of this email is PSERPA@broward.org.

Good morning, Ms. Paradis.

Please verify via return email that **Bond No. [REDACTED]** for Kirby Inland Marine in the amount of \$20,000 is still in effect and confirm the new expiration date.

Despite the automatic renewal clause, Broward County Policy requires that we verify in writing that the Bond has been renewed yearly.

A brief email is sufficient to document the file.

Thank you,



Paula Serpa, Franchise and Business Permit Manager

Business Development Division

1850 Eller Drive, Suite 603 | Fort Lauderdale, FL 33316

Office: 954-468-0185 | Cell: 954-850-3788

psarpa@broward.org

PortEverglades.net

[Twitter](#) | [LinkedIn](#) | [Facebook](#) | [Instagram](#) | [YouTube](#)

Under Florida law, most e-mail messages to or from Broward County employees or officials are public records, available to any person upon request, absent an exemption. Therefore, any e-mail message to or from the County, inclusive of e-mail addresses contained therein, may be subject to public disclosure.

This message (including any attachments) may contain confidential, proprietary, privileged and/or private information. The information is intended to be for the use of the individual or entity designated above. If you are not the intended recipient of this message, please notify the sender immediately, and delete the message and any attachments. Any disclosure, reproduction, distribution or other use of this message or any attachments by an individual or entity other than the intended recipient is prohibited.

Section N.1-3

TUG NAME	O.N.	MAIN ENGINE	H.P.	LOA	BEAM	DRAFT	TONS	LOAD	BUILT
								LINE	
INDIAN RIVER	1069713	GM 12v92	1000	65	26	9.0	127	No	1998
Bayou Teche	1253449	Cummins QSK19M	2250	77	34	9.0	280	No	2014
Caldwell	1221104	Cat c32	2250	72	30	9.0	144	No	2009
Osceola	1231605	Cummins QSK50	3200	92	30	12.5	115	Yes	2011

All tugs use diesel fuel for main engines and generators.

Indian River Is domiciled in Port Everglades

Bayou Teche, Miami Bunkers

Caldwell Miami Bunkers

Osceola Miami Bunkers

BARGE	OFFICIAL	BBLS	TANKS	LOA	BEAM	MAX	LOAD	CLEAN/	BUILT	AGE	DEPLOYED
	NUMBER					DRAFT	LINE	DIRTY			
305	1131324	15,000	10	250	50	12	N	D	2002	8	Port Everglades
401	1131325	20,000	10	270	54	13	N	C & D	2002	8	Miami Bunkers
403	1153102	22,800	10	275	54	15	Y	D	2004	6	Miami Bunkers
405	1197372	22,800	10	275	54	15	Y	C/D	2007	3	Miami Bunkers
406	1202099	22,800	10	275	54	15	Y	C/D	2007	3	Miami Bunkers
407	1202100	22,800	10	275	54	15	Y	C	2007	3	Miami Bunkers

ALL BARGES ARE DOUBLE HULLS

All barges use diesel fuel for pump engines

The primary barge to be domiciled in Port Everglades is the 305.

Section O

See attached.

Section P

Applicant's Safety Program is comprised of many elements, all of which are encompassed within the Towing Vessel Safety Management System (TSMS), which is certified by The American Bureau of Shipping (ABS). Vessel safety is achieved through a continuous audit process based on TSMS, which addresses categories such as navigation and communication equipment, firefighting and lifesaving equipment, environmental controls, hull and machinery, boat and barge rigging, personal protective equipment and numerous other categories. These audits also ensure compliance with USCG subchapter M regulations.

The frequency of training of the Applicant's employees is dependent on the subject matter and applicable regulatory requirements. Basic training in connection with job functions and responsibilities precede assignment to the fleet. Appropriate supplemental training is provided as necessary to enhance skills and enable promotion, including U.S. Coast Guard licensing. Specialized training is provided for individuals with positions involving special hazards or responsibilities. Refresher training is provided routinely and when required by regulation for the maintenance of U.S. Coast Guard licenses. Weekly safety meetings and periodic drills and exercises provide training with respect to specific conditions, hazards and emergency response actions.

Each vessel crewmember possesses the license and/or endorsement(s) appropriate to their position, issued by the U.S. Coast Guard, which must be in their possession when assigned to the vessel. Each vessel crewmember also possesses a valid Transportation Worker Identification Credential (TWIC) issued by the Transportation Security Administration.

Attached are Applicant's TSMS Certificate, ABS Towing Vessel Annual External Survey Checklist, Vessel Safety/Quality Internal Audit Checklist, and Safety and Occupational Health Policy, Drug and Alcohol Policy. Below is information on Applicant's Training Center and Training Center Courses.

Training Center

Kirby believes that training programs are an essential component of a qualified productive work force and promote compliance with technology, regulatory, personnel safety, quality, and environmental concerns. To meet these challenges, Kirby has established and maintains a corporate training facility. The Training Center is tasked with developing, conducting, and maintaining training programs for the benefit of all marine transportation operating entities and for ensuring that training programs are both consistent and effective.

Facilities

The Training Center is located on Carpenters Bayou, approximately 2 miles above its junction with the Houston Ship Channel. The physical address is 16402 1/2 DE Zavala Street, Channelview, Texas 77530. The Training Center provides U.S. Coast Guard Approved instruction and is comprised of the following:

1. Jack Binion Administration Building
2. Heldler Hall, Galley/Dormitory
3. Foreman Training Building
4. Fire Fighting Facility
5. MV Kirby Observer, Inspected Passenger Vessel
6. MV Brazos, Uninspected Towing Vessel
7. Kirby 101, Tank Barge Simulator

Galley/Dormitory

The galley/dormitory is a 5,000 sq. ft. fully staffed building with state-of-the-art equipment. This facility can house 78 trainees in comfort while they are attending training programs at the Training Center. A lounge area, laundry facilities, and workout equipment are also provided for the benefit of trainees.

Foreman Training Building

The Foreman Training Building houses classroom space, a radar lab, full bridge simulator, and storage spaces for all programming conducted at the facility. The building includes three additional classrooms. Two of the classrooms are designed to be configured into one large auditorium, accommodating over 100 people for lectures or meetings. Each classroom is equipped with appropriate training aids and modern audio/visual equipment. The state-of-the-art radar simulator room also includes a modern computer lab with 12 workstations, and a library with bound publications. Suitable bathroom facilities, coffee mess/snack room, and storage for written material and classroom supplies are also provided.

Training Center Courses

Basic Deckhand Program

Basic entry level program for "green" new hires. The program is 19 days in duration and incorporates both formal classroom and hands-on training techniques in the field. Room and board are provided at the Training Center. Classroom training is augmented with hands-on training aboard Training Center training vessels. Trainees learn basic seamanship; safety; towing vessel nomenclature; barge nomenclature; housekeeping; watch standing duties & responsibilities; and towing theory.

40 Hour Tank Barge PIC Class

U.S. Coast Guard approved course of instruction. The program is 5 days (40 hours) in duration and incorporates formal classroom training. Trainees learn loading and discharging procedures. The curriculum includes rules and regulations; pollution prevention; emergency procedures; cargo characteristic and classification; hazardous materials & dangerous liquid; transfer system components;

vapor recovery operations; and more. Trainees must pass a written examination and at the completion of the course.

Any applicant successfully completing this course and presenting the Certificate of Training will satisfy the training requirements of 46 CFR 13.301 for an endorsement as Tank Barge-PIC DL; AND satisfy the training requirements of 46 CFR 10.227 and 13.120 for renewal of a merchant mariner credential endorsed as Tank Barge PIC DL.

Tank Barge PIC (Barge LG)

U.S. Coast Guard approved course of instruction. The program is 3 days (24 hours) in duration and practical training on LG barges at Kirby's discretion. The curriculum includes rules and regulations; pollution prevention; emergency procedures; cargo characteristic; transfer system components; vapor recovery operations; and more. Trainees must pass a comprehensive written examination at course completion.

Any applicant successfully completing this course and presenting Certificate of Training will satisfy the training requirements of 46 CFR 13.301 for an endorsement as Tank Barge-PIC LG; AND satisfy the training requirements of 46 CFR 10.227 for renewal of a merchant mariner credential endorsed as Tank Barge PIC Liquefied Gases.

Tank Barge Fire Fighting

A U.S. Coast Guard Approved training course encompassing two days (16 hours) of intensive training. Trainees learn fire theory, fire prevention, classification, extinguishing agents, extinguishing techniques, and more. Trainees must demonstrate the ability to extinguish class A, B, & C fires utilizing hand portable extinguishers and hose streams. Any applicant successfully completing this course and presenting the Certificate of Training will satisfy the training requirements for an endorsement as Tank Barge PIC DL; and satisfy the training requirements for renewal of a merchant mariner credential endorsed as Tank Barge PIC DL.

American Red Cross Standard First Aid /CPR /AED

This program utilizes the American Red Cross curriculum and leads to a certification in First Aid and adult CPR. The program is 6-12 hours in duration and employs both formal, classroom and hands-on instruction. Trainees must pass a written examination and demonstrate proficiency using CPR mannequins. Trainees who successfully complete this course receive certifications in First Aid, CPR and AED.

Hazardous Waste Operations & Emergency Response HAZWOPER

An OSHA course of instruction for personnel who respond to hazardous material incidents. This program meets the training requirements as required by 29 CFR 1910.120. Trainees are certified at the following level. Level I • First Responder Awareness Level • 4 hours.

Apprentice Mate Program

A U.S. Coast Guard Approved Training Program leading to licensing as an Operator of Uninspected Towing Vessel Upon Inland Waters. Kirby mariners who have achieved at least 18 months of continuous service with Kirby, upon a written recommendation from the captain of the vessel, will be eligible for the program. The program is accomplished in two phases and incorporates both hands-on and formal classroom training. Apprentice Mates are required to demonstrate and document piloting skills and knowledge through a series of written examinations and check rides conducted by designated examiners. Upon completion of the program Apprentice Mates are entered into the Mate/Pilot class.

Section Q1-2

See attached and previously provided responsive items included in the attached Biennial Franchise Review dated July 18, 2024.

Section R

Ability to Promote and Develop Growth of Port Everglades

In February 2011, Applicant purchased the vessel assets of Enterprise Marine Services based in Miami, Florida in order to expand its vessel bunkering and related operations in the State of Florida.

Applicant has been providing reliable, safe vessel bunkering services since the inception of its Vessel Bunkering Franchise in 2011. One tug and barge remain domiciled in Port everglades, tug Indian River and Barge-305. 4 additional seagoing barges are homeported in Port Miami that are utilized in Port Everglades during the busy winter cruise season, handling the increased bunker demand in Port Everglades during that season.

In 2020, we cleaned the tanks on barge 305, sent it to Port Everglades to facilitate carrying Very Low Sulphur Fuel Oil (VLSFO). This was done so that we could have the ability to offer bunkers in compliance with the IMO's resolution 2020 Sulphur Emissions Cap.

In 2024, we provided 625,227 bbls VLSFO to vessels visiting Port Everglades.

Applicant continually reviews and evaluates potential opportunities that would be complementary to our business operations with Port Everglades.

Section T

Letter of Adequacy from the US Coast Guard and operations manual

This item is not applicable to the proposed vessel bunkering operations, as the Applicant is a vessel owner/operator, not a facility owner/operator. 33 CFR part 158 contains the regulations concerning Certificates of Adequacy, and they are applicable to ports and reception facilities. Certificates of Adequacy are used to certify facilities that receive oily mixtures, garbage, and NLS residue. See 33 CFR 158.120.

Similarly, the operations manual requirements are contained in 33 CFR 154.300, and they apply to land-based facilities. 33 CFR parts 155 and 156 set forth oil transfer procedures and requirements for vessels and do not include a requirement for a vessel owner/operator to have a USCG-approved operations manual. However, Applicant maintains vessel operations procedures which are certified by independent

auditors as compliant with the requirements of ISO 9002 and the American Waterways Operators Responsible Carrier Program. Applicant will make a copy available for review upon request.

Section V

A copy of the Oil Spill Contingency Plan for Marine Transportation Related Facilities

This item is not applicable to the proposed vessel bunkering operations, as the Applicant is a vessel owner/operator, not a facility owner/operator. 33 CFR 154.1020 defines a "Marine Transportation Related Facility" as any onshore facility, and the intended vessel bunkering operations will be performed on Applicant's vessels. However, the Applicant operates those vessels under a USCG-approved Vessel Response Plan, as required by 33 CFR 155.1065. A copy of the USCG approval of Applicant's Vessel Response Plan is attached. Applicant's Vessel Response Plan is voluminous and will be made available for inspection upon request.

Section W

A Terminal Facility Discharge Prevention and Response Certificate with a copy or an approved Oil Spill Contingency Plan

This item is not applicable to the proposed vessel bunkering operations, as the Applicant is a vessel owner/operator, not a facility owner/operator. Fl. Stat. 376.071 (discharge contingency plan for vessels) provides that a plan in compliance with the federal requirement for a ship-specific discharge contingency plan shall satisfy the requirements for an adequate ship-specific discharge contingency plan. Applicant operates the vessels to be employed in the intended vessel bunkering operations under a USCG-approved Vessel Response Plan, as required by 33 CFR 155.1065. Copies of each applicable Vessel VRP approval and SOPEP approval are attached.

Section Z

An approved Discharged Cleanup Organization Certificate from the Florida Dept. of Environmental Protection

This item is not applicable to the proposed vessel bunkering operations, as the Applicant is a vessel owner/operator not a facility owner/operator. The Florida Dept. of Environmental Protection has advised that a Discharge Cleanup Organization Certificate is not issued to vessels operating independently of terminals. However, as required by 33 CFR part 155, the Applicant has ensured, by contract or other approved means, that the equipment necessary to respond, to the maximum extent practicable, to a "worst-case discharge" is available through its contracts with National Response Corporation and Marine Spill Response Corporation (USCG-approved Oil Spill Response Organizations), certificates attached.



Policy Number: PL-1044
Approved By: Amy Husted, General Counsel & VP
Enterprise Wide Policy

Effective Date: October 9, 2020
Revised Date: July 1, 2024

Safety and Occupational Health

Policy The Company is committed to creating and maintaining a safe work environment. It is the responsibility of every employee, both ashore and afloat, every contractor and consultant to be familiar with and adhere to this safety policy:

ALL EMPLOYEES HAVE THE DUTY TO EXERCISE STOP WORK RESPONSIBILITY FOR THEIR SAFETY AND THE SAFETY OF THOSE AROUND THEM.

- All injuries and accidents can be prevented. Our goal is zero injuries. This goal is considered realistic and attainable but only you can make it happen.
- Management will comply with all applicable federal, state and local requirements or appropriate industry standards.
- All levels of supervision have the responsibility for the safety of the employee.
- It is possible for you to guard against workplace conditions that may result in an occupational health risk, accident or injury. When the source of risk cannot be eliminated, special work processes, safety devices, personal protective equipment, or other protective measures must be used to safeguard employees.
- It is the responsibility of managers and supervisors to provide adequate training and supervision for employees. It is the responsibility of the employee to think, to use common sense and to work safely.
- Adherence to safety rules and safety instructions from supervisors is a requirement for employment.

All employees, contractors, and consultants are required to follow our Safety Policy.

Safety is good business. It is to the benefit of the employee, their families and the Company to prevent injuries and accidents.

Applicability This policy applies to all employees, contractors and consultants.

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Policy Number: PL-1004
Approved By: Amy Husted, General Counsel & VP
Enterprise Wide Policy

Effective Date: October 9, 2019
Revised Date: June 15, 2023

Drug and Alcohol Policy

Definitions **"49 CFR Part 40"** means Title 49 Code of Federal Regulations Part 40 (Procedures for transportation workplace drug and alcohol testing programs)

"Company Property" means All real and/or tangible personal property, including, but not limited to buildings, offices, facilities, land, vessels, barges, vehicles, products and equipment either owned, rented, leased or controlled by Kirby Corporation, its divisions, subsidiaries and affiliates worldwide (the "Company").

"Controlled Substances" means Those drugs or chemical substances placed on a schedule or in special categories to prevent, curtail or limit their distribution and manufacture as defined by the Controlled Substances Act of 1970, as amended.

"Customer Service Employees" means Customer Service Employees include employees who are in the commercial, sales and marketing function of the Company whose primary duties include entertainment of customers as approved by the respective employee's senior officer; Customer Service Employees do not include employees who are in safety sensitive positions or are subject to DOT Drug and Alcohol testing under 49 CFR Part 40.

"DHHS" means United States Department of Health and Human Services

"DOT" means Department of Transportation

"Drug" means Any chemical substance, including alcohol, that either produces a physical, mental or emotional change in the user, or one that is capable of altering the mood, perception or judgment of the individual consuming it.

"Drug Paraphernalia" means Any material, equipment or items used or designed for the use of testing, packaging, storing, injecting, ingesting, inhaling or otherwise introducing into the human body an illegal, unauthorized controlled or dangerous substance. Adulterating paraphernalia and/or masking agents are included in this definition.

"Employee" means all Company employee's (excluding Stewart & Stevenson – Columbia-based employees), and agents, vendors or subcontractors' employees, working on company property, equipment and/or performing services on behalf of the Company at a 3rd party location.

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Enterprise Wide Policy

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“Illegal Drug” means any drug (a) which is not legally obtainable or (b) which is legally obtainable, but has not been legally obtained. The term includes prescription drugs not legally obtained and prescription drugs not being used for prescribed purposes. The term “illegal drug” includes marijuana, any synthetic derivative/product that produces a marijuana-type high and any herbal products not intended for human consumption. The term “illegal drug” also includes any mind – altering substances. The term “illegal drug” also includes any drug that is illegal under either state or federal law. Marijuana remains illegal under federal law and is prohibited under the Company’s policies even if prescribed under state law including but not limited to New Jersey’s medical marijuana law.

“Mind-Altering Substance” means any substance that produces mood changes, hallucinations or a sense of distorted perceptions.

“MRO” means Medical Review Officer

“Prescribed Drug” means any substance prescribed by a license physician and dispensed by a licensed pharmacist for consumption by the individual for whom it is written or ordered, as prescribed.

“Reasonable Cause” means a belief based upon objective and articulable facts sufficient to lead a prudent person to suspect that any employee is using drugs or alcohol or under the influence, or otherwise in violation of this policy.

“SAMHSA” means substance Abuse and Mental Health Services Administration

“SAP” means substance Abuse Professional

“Under the Influence” means(a) the presence of a prohibited substance or metabolites of a prohibited substance in body fluids above the cut off level established by this policy, and/or (b) the presence of a prohibited substance that affects an individual in any detectable manner.



Policy Number: PL-1004
Approved By: Amy Husted, General Counsel & VP
Enterprise Wide Policy

Effective Date: October 9, 2019
Revised Date: June 15, 2023

Part I Controlled Substance, Illegal Drug and Alcohol Policy

The Drug and Alcohol Testing Policies and Procedures sets forth The Company, its divisions, subsidiaries, and affiliates worldwide hereinafter (the "Company"), on its procedures, policies and commitment to a workplace free of controlled substances, illegal drugs and alcohol.

The Company is committed to providing a safe work environment which is free from the influence of illegal drugs, controlled substances and/or alcohol. This policy prohibits the following activities at any time on Company premises, while operating Company equipment or while conducting business on the Company's behalf: the use, possession, sale, transfer, offer to transfer or to sell, solicitation of, purchase, influence of or impairment by, consumption of, illegal drugs, controlled substances or other intoxicants; the use of alcohol, except at approved Company events; the abuse of alcohol; and the abuse of prescription or over the counter drugs. The illegal use of any drug, narcotic or controlled substance is prohibited. It is the policy of the Company Corporation and its subsidiaries to require all individuals to submit to a drug and alcohol test post conditional offer of employment for chemical testing for dangerous drugs and alcohol ("drug and alcohol test"). The Company shall rescind all conditional offer(s) of employment from individuals whose drug and alcohol test is positive.

In an effort to maintain a safe and healthy workplace, the Company strictly prohibits the following:

The possession, sale, transfer, offer to transfer or to sell, solicitation of, purchase, influence of or impairment by or use of alcohol, illegal drugs, controlled substances, inhalants, drug paraphernalia or other prohibited substances on Company property, or in an employee's possession while at work.

It is a violation of this policy to report to work or remain at work under the influence of or with detectable amounts in an employee's system of substances prohibited under this Policy. The Company reserves the right to require employees submit, without notice or cause, to searches of vehicles, personal property, offices or an individual's belongings on the Company's premises, in order to enforce this Policy.

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Enterprise Wide Policy

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Part II **Post-Conditional Applicant Offer Testing Procedures for Drug and Alcohol Usage**

A. Post-Conditional Applicant Offer Testing Procedures for Drug and Alcohol Use - The following set out the procedures for applicants which are administered post-conditional to an offer of employment.

1. An applicant or a person with a contingent employment offer must execute a Drug & Alcohol Screen and Search Authorization Form. Anyone who declines to execute the authorization is ineligible for employment. All application forms will be retained in the personnel file of applicants who are hired. Forms for ineligible applicants will be retained for a period of three year(s).
2. A trained third-party collector will collect a urine specimen and conduct a drug urinalysis as part of the post conditional offer examination.
3. A trained third-party collector will conduct an alcohol screening as part of the post offer pre-employment physical using an approved evidential breath/saliva testing device.
4. The drug and alcohol testing procedures set forth in the Company's Drug and Alcohol Testing and Search Policy will be followed for post conditional offer employment examinations.
5. Applicants failing to pass either the drug or alcohol test who inquire as to the reason for their rejection should be referred to the designated Medical Review Officer (MRO).

Part III **Employee Drug and Alcohol Testing and Search Policy Procedures**

1. **Reasonable Cause Testing**

The Company may test for the presence of illegal drugs, controlled substances and alcohol in cases of violations of established safety, security or other operating procedures, on the job accidents or near misses, or upon the request for medical attention by an employee when the cause for the medical condition is unknown. The Company may also test for the presence of illegal drugs,

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Enterprise Wide Policy

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controlled substances and alcohol when there is reasonable cause that a violation of this Policy has occurred. Reasonable suspicion testing may be performed when employees display behavior indicative of drug and/or alcohol use. Examples of such behaviors include:

- Bloodshot eyes/dilated pupils
- Slurred speech
- Unsteady walk/uncoordinated movements
- Shakes or tremors
- Unexplained sweating or shivering
- Fidgeting/inability to sit still
- Sleeping at work or difficulty staying awake
- Unusual body or breath odor
- Deterioration in appearance/grooming

By implementing these testing and search procedures, the Company seeks to discourage the use and/or possession of these substances in order to deter employees from violating this Policy. It is recognized, however, that the deterrent value of these procedures can be maintained only if employees found in violation of the Policy are strictly disciplined. In implementing this policy, the Company is committed to being sensitive to legitimate concerns of its employees who abide by the alcohol and drug rules. Therefore, all searches and testing should be conducted in a professional manner which recognizes the individual's integrity and privacy interests.

2. ****Exclusion**

The moderate and responsible use of alcohol at Company approved meetings or functions in connection with business entertainment or in an appropriate social setting, is not prohibited by this Policy when the consumption of alcohol has been approved by Executive Management. Further, because we have a culturally diverse customer base and there are certain business entertainment and social events where alcohol is served during working hours and non-participation may be viewed by the customer as offensive, the Company's Customer Service Employees* may modestly and responsibly participate. Further, all Customer Service Employees are responsible for ensuring they are informed of the alcohol policies of the Company's customers and act in accordance with the customer's business entertainment policies.

*Customer Service Employees include employees who are in the commercial, sales and marketing function of the Company whose primary duties include entertainment of customers as approved by the respective employee's senior

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officer. Under no circumstances should any employee of the Company drive a vehicle while under the influence ("DUI") of illegal drugs, alcohol or prohibited substances. Customer Service Employees, who consume alcohol during working hours under this policy exception, are not permitted to return to the Company premises.

3. Testing/Search Guidelines

The specific provisions and procedures for Illegal drug/controlled substance and alcohol testing for any employee or applicant may vary depending on the laws of the State in which the employee or applicant works. If statutory provisions and procedures vary from those in this Policy, the Company will give the affected employees an Addendum to this Policy specifically applicable in their employment location.

Illegal drug/controlled substance and alcohol testing or searches may be carried out in the following situations:

- a. **Post Conditional Offer Testing:** All individual who receive a conditional offer of employment at the Company shall be tested as part of the post conditional offer employment physical (see: Pre-employment Testing Policy). Applicants may be tested for alcohol.
- b. **Reasonable Suspicion:** If there is reason to believe that an individual or group of individuals may be in violation of the alcohol and drug policy, or involved in a safety violation, security violation, or near miss incident, such individual or group may be required to submit to testing and/or search. Based on the particular circumstances and as approved by the Vice President of the division in which the employee is assigned, the employee may be removed from the work site and not allowed to return to work until receipt of a negative drug and alcohol test is received. Additionally, certain employees or groups of employees may be required to undergo reasonable suspicion testing as required by a Customer contract that differs from the chemical panel, screening and confirmation levels used by the Company.
- c. **Post-accident Investigations and Requests for Medical Attention:** All employees involved in any accident, injury, safety violation, security violation or near miss, or any employee requesting medical attention when the cause for the medical condition is unknown, may be required to submit to testing or search. Any employee assigned to a position governed by the Coast Guard who is involved in a work-related accident or injury will be tested in

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accordance with U.S. Coast Guard regulations. Additionally, any individual directly involved in a serious marine incident as defined under 46 CFR Part 10 will be tested in accordance with U.S. Coast Guard regulations. Testing may include blood, breath, saliva or urine testing, or any combination thereof. Based on the particular circumstances and as approved by the Vice President of the division in which the employee is assigned, the employee may be removed from the work site and not allowed to return to work until receipt of a negative drug and alcohol test is received. Additionally, certain employees or groups of employees may be required to undergo post-accident (including near miss) testing as required by a Customer contract that differs from the chemical panel, screening and confirmation levels used by the Company.

- d. Annual Non-DOT or Periodic Screening: Annual non-DOT or periodic screening may be performed as part of any physical examination required upon return from leave of absence or annual testing to meet customer requirements. Employees may be tested for alcohol at the time as well. Should an employee test positive during a periodic screening or annual, for the purposes of this Policy, they will be subject to termination.
- e. Random Screening: All employees may periodically be required to submit to unannounced drug and/or alcohol testing. Random drug testing will be conducted at a rate of at least 50% of the number of personnel in the Marine and Corporate random selection pools. Random drug testing will be conducted at a rate of at least 50% of the number of personnel in the random selection pools for Kirby Engine Systems, United Holdings and Stewart & Stevenson. Alcohol testing may accompany random drug testing.
- f. Pre-Access: All employees, or certain groups as deemed appropriate by the Company, may be required to submit to drug and/or alcohol testing or searches within a specified period of time, prior to accessing particular customer's equipment or commencing work for a particular customer, as required. Additionally, certain employees or groups of employees may be required to undergo pre-access testing as required by a Customer contract that differs from the chemical panel, screening and confirmation levels used by the Company. Employees are subject to termination or denial of employment upon confirmation of a positive drug/alcohol test or a substituted or adulterated test as determined by a qualified designated Medical Review Officer (MRO).

In connection with tests performed as part of this Policy, the Company will engage qualified third-party collectors who will prepare chain of custody records.

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Copies of testing chain of custody records and test results are maintained by the Company's Medical Records Administrator in the employee's medical monitoring file.

Laboratory testing of samples and specimens shall be performed by Substance Abuse and Mental Health Services Administration (SAMHSA) laboratories certified by federal, state or a nationally recognized certification program utilizing quality assurance procedures.

Employees are subject to termination or denial of employment upon confirmation of a positive drug/alcohol test or a substituted or adulterated test as determined by a qualified designated Medical Review Officer (MRO).

Employees, their personal property and personal effects are subject to search while on company property, while operating company equipment or while conducting business on the Company's behalf. Employees and their property may also be subject to search by the Company's customers and other third parties while on their property. Prohibited items and substances discovered during a search shall be removed by management personnel or designated authorized third party. If these items are discovered aboard a vessel, the U.S. Coast Guard and the local police department should be notified immediately. Prohibited items, including firearms, weapons, explosives, stolen property, unauthorized prescription medication, controlled substances, drug paraphernalia or other illegal items found onboard Company property shall be secured by management personnel, or an authorized third party and immediately reported to the local police department as appropriate.

In the event a search reveals that an employee is in possession of alcohol, illegal drugs, controlled substances, drug paraphernalia, or prohibited substances in violation of this Policy, the employee will be immediately relieved of duty and sent home pending a full investigation and final determination as to his employment status. Should the employee leave the premises before the arrival of law enforcement, the Company will turn the confiscated item and the identity of the employee over to law enforcement.

Conversations regarding searches and testing under this Policy should be maintained in strict confidence. Test results should be treated confidentially discussed with employees of the line management of the employee to the extent necessary.

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4. Authorized Medication

Employees may maintain on Company premises prescription drugs and over-the-counter medicine provided the prescription medication has been prescribed by a licensed physician and filled by a licensed pharmacist for the person in possession of the drugs.

For those employees in safety sensitive positions, U.S. Coast Guard licensed personnel or vessel trainees, any new prescriptions or changes in prescription medication must immediately be brought to the attention of the Medical Records Administrator. The Medical Records Administrator will address the employee's ability to take the prescription(s) with the Medical Director. The designated Medical Records Administrator for each Company is as follows:

Company	Medical Records Administrator
Kirby Corporation Kirby Inland Marine, LP Kirby Ocean Transport Company Bolivar Terminal Co., Inc. Kirby Offshore Marine Operating, LLC Kirby Offshore Marine Pacific, LLC Kirby Offshore Marine Hawaii, LLC Osprey Line, LLC Penn Maritime, Inc. Stewart & Stevenson LLC	Kirby Corporation Medical Records Administrator
Thermo King of Houston, LP United Holdings LLC UE Powertrain LP Universal Engine Services LLC San Antonio Thermo King, Inc. UE Compression LLC United Engines LLC UE Manufacturing LLC	Human Resources Director
Marine Systems, Inc. Engine Systems, Inc.	Human Resources Manager
Stewart & Stevenson Distributor Holdings LLC Stewart & Stevenson Power Products LLC Stewart & Stevenson Material Handling LLC	

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Stewart & Stevenson Manufacturing Technologies LLC EMDSI-Hunt Power, L.L.C. Stewart & Stevenson Rentals LLC Stewart & Stevenson Canada Inc. Stewart & Stevenson FDDA LLC Stewart & Stevenson de Venezuela, S.A. Transmissions Y Embragues, S.A. Stewart & Stevenson de las Americas Columbia Ltda. Stewart & Stevenson Petroleum Services LLC Stewart & Stevenson Hong Kong Limited	Stewart & Stevenson VP Human Resources
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The possession and/or consumption of medication prescribed for another person is strictly prohibited under this policy and may result in immediate termination.

Over-the-counter medication must be used as directed.

- a) The drugs and/or medications are kept in their original container;
- b) Use of the drug and/or medication will not impair the senses or reactions of the employee;
- c) Employees possess only a reasonable amount of medication.

1. **Sample Collection and Testing Procedures I - Licensed U.S. Coast Guard Personnel and/or Vessel Trainees – Department of Transportation (DOT) Requirements**

The following procedures will be followed for all chemical drug and alcohol testing required by the Coast Guard for employees that are onboard Company vessels and may be utilized for other classifications of employees:

A. Drug Testing

- 1) Sample collections will be conducted under the supervision of medical clinics, professional collection services or other trained personnel. Sample collectors will be expected to be familiar with and certify that they will comply with the sample collection requirements at 49 CFR Part 40.23-25.

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Enterprise Wide Policy

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- 2) Chemical testing of urine samples shall be performed by laboratories certified by the U.S. Department of Health and Human Services (DHHS), which shall certify that they meet and will follow the requirements of 49 CFR Part 40. Laboratory personnel shall meet the qualifications as described in 49 CFR Part 40.27.
- 3) The laboratory shall follow the procedures for handling and analyzing urine samples as described in 49 CFR Part 40.29. The laboratory shall conform to the quality assurance and quality control procedures as stated in 49 CFR Part 40.31.

Substance	Screen (ng/ml)	Confirmation (ng/ml)
Cocaine	150	100
Phencyclidine (PCP)	25	25
Marijuana	50	15
Opioids – Codeine, Morphine	2000	2000
Hydrocodone/Hydromorphone	300	100
Oxycodone/Oxymorphone	100	100
6-Acetylmorphine	10	10
Amphetamines,	500	250
Ecstasy (MDMA)	500	250

- 4) The Company will designate a Medical Review Officer (MRO), who shall be licensed physician with knowledge of substance abuse disorders. The testing laboratory will be instructed to report test results to the MRO according to 49 CFR Part 33. The MRO will report positive or negative test results after reviewing such results in accordance with 46 CFR Part 16.370, 49 CFR Part 40.29 and 40.33.

B. Alcohol Testing

- 1) Alcohol levels should be determined by the use of an approved evidential breath or saliva testing device. Sample collections will be conducted under the supervision of medical clinics, professional collection services or other trained personnel.
- 2) Detection level for alcohol testing is as follows: Screen level .02gm/dl with confirmation level .04gm/dl.

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- 3) The laboratory shall report the results of the alcohol test to the Company's Medical Records Administrator or its designate.

2. **Sample Collection and Testing Procedures II- non- DOT Shore-side Personnel**

The following procedures may be followed for all testing required for shore-side employees by the Company whose employment is not subject to U.S. Coast Guard regulations:

A. **Controlled Substances, Illegal Drug Testing**

- 1) Sample collections will be conducted under the supervision of medical clinics, professional collection services or other trained personnel. Sample collectors will be expected to be familiar with and certify that they will conform to the sample collection requirements at 49 CFR 40.23-25.
- 2) Chemical testing for dangerous drugs shall be performed by laboratories certified by federal, state or nationally recognized certification programs.
- 3) The laboratory shall conform with the procedures for handling and analyzing urine samples as described in 49 CFR Part 40.29, with following exceptions:
 - a). only positive results from the screening method must be confirmed by the gas chromatography/mass spectrometry (GC/MS) method.
 - b). the following detection levels should be utilized:

Substance	Screen (ng/ml)	Confirmation (ng/ml)
Cocaine	150	100
Phencyclidine (PCP)	25	25
Marijuana	50	15
Opioids – Codeine, Morphine	2000	2000
Hydrocodone/Hydromorphone	300	100

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Oxycodone/Oxymorphone	100	100
6-Acetylmorphine	10	10
Amphetamines,	500	250
Ecstasy (MDMA)	500	250

- 4) Company has designated a Medical Review Officer (MRO), who is a licensed physician with knowledge of substance abuse disorders. The third-party testing laboratories are instructed to conform to the DOT guidelines by reporting positive test results to the MRO and all negative results to Company's Medical Records Administrator or their designate. The MRO reports positive or negative results after reviewing such results in accordance with 46 CFR Part 16.37, 49 CFR Part 40.29 and 40.33 to Company's Medical Records Administrator or its designate

B. Alcohol Testing

- 1) Breath or saliva samples will be collected with an approved evidential breath or saliva testing device for screening purposes by trained collection personnel.
- 2) If the alcohol screening results are negative, no confirmation test for alcohol is required. If the alcohol screen is positive, an evidential breath confirmation test will be conducted.
- 3) Detection level for alcohol testing is as follows: Screen .02gm/dl with confirmation level .04gm/dl.

5. Refusal to Comply with Search or Testing

Employees who refuse to submit to testing as required under these Policies and Procedures or otherwise refuse to fully cooperate with a search or drug/alcohol screen testing are subject to the following:

- a) The employee will be reminded of Company's Company Policy which requires them to cooperate with searches and tests as a condition of employment and failure to do so subject them to immediate termination.

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- b) Employees who continue to refuse search or refuse to submit to testing under this Policy shall be immediately relieved of their duties, removed from company and/or customer property and subject to termination.

6. **Safety Responsibility**

All employees must conduct themselves in a safe, professional and responsible manner at all times under this policy and the Company Safety Policy and Stop Work Responsibility Policy. Employees are charged with the obligation and responsibility to stop unsafe acts of any participant in connection with Company sponsored business functions and entertainment where alcohol is consumed irrespective of the time of the event (during working hours or otherwise). Further, all Customer Service Employees are responsible for ensuring they are informed of the alcohol policies of the Company's customers and act in accordance with the customer's business entertainment policies.

Any employee found in violation of these rules will be subject to immediate termination.

7. **Termination**

Any employee found in violation of this Policy, or any employee who refuses to submit to or otherwise fully cooperate with a search or a test, will be subject to immediate termination. Documentation reflecting reason for termination should reflect the following: "Terminated due to violation of Company Policy". As appropriate, Company shall perform all reporting as obligated under U.S. Coast Guard regulations concerning testing results and refusals for licensed personnel. In addition, Company shall comply with all notification disclosures in accordance with U.S. Department of Transportation in compliance with 49 CFR Part 40, which requires the disclosure of drug and alcohol testing record of applicants, as applicable.

8. **Contractors/Non-Employees**

Independent contractors, employees of contractors, employees of customers, and other third parties who perform work on Company property or aboard Company vessels or equipment, or on customer property, are subject to the requirements of this Policy. This policy will be considered satisfied if an individual is subject to a random testing program administered by his or her own employer and the program meets or exceeds the requirements of this Policy. All contractors or subcontractors working for the Company are subject

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to unannounced searches while on Company property or aboard Company vessels. The Company maintains the right to audit its independent contractors, their employees, employees of customers and other third parties who perform work on Company property or aboard Company vessels or equipment to ensure compliance with this Policy or other applicable requirements.

Prior to requesting testing of third parties, contact should be made with that individual's employer. Any third party who refuses to submit to testing under this Policy when requested shall be asked to immediately remove themselves from Company's property, vessel equipment or the customer's premises.

9. **Recordkeeping and reporting (Vessel Personnel Only)**

- a) The Company will maintain records of negative and positive results on hired employees permanently. Drug screen records for applicants that were not hired will be retained for five (5) years.
- b) Reporting to the U.S. Coast Guard shall be made under the following circumstances:
 - 1. Whenever an employee is identified as being directly involved in a serious marine incident, a Form CG-2692B (Report of Required Chemical Drug and Alcohol Testing Following a Serious Marine Incident) shall be completed and sent to the U.S. Coast Guard (46 CFR 4.06-60).
 - 2. Results of all tests (positive and negative) of employees listed on the CG- 2692B shall be reported to the U.S. Coast Guard.
 - 3. Results of any positive tests for all individuals holding a license, certificate of registry, or merchant marine's document, shall be reported to the Coast Guard (46 CFR 16.201).
- c) The Company official responsible for coordinating drug testing shall request a monthly statistical summary of urinalysis from the third-party laboratory performing testing services (49 CFR Part 40.29 (g)(6)).

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Part IV **Employee Education and Assistant, Rehabilitation Amnesty**

A. Employee Education

The Company official responsible for coordinating drug testing shall request a monthly statistical summary of urinalysis from the third party laboratory performing testing services (49 CFR Part 40.29 (g)(6)).

B. Employee Assistance program, Rehabilitation Amnesty

1. An employee who feels he/she has a substance abuse problem is encouraged to voluntarily seek rehabilitation. An employee who voluntarily enters a bona fide drug or alcohol rehabilitation program will be given a leave of absence in order to complete the program, and will not be subject to disciplinary action as a result thereof as long as the employee satisfies the following: he/she remains in strict compliance with the rehabilitation program, successfully completes the program, and remains free of illegal drugs, controlled substances and alcohol thereafter.
2. Prior to returning to work, employees are required to be reviewed by Company's Substance Abuse Professional (SAP) who will render their professional opinion with respect to an individual's ability to meet the essential functions of his position, determine an appropriate after care program and ensure documentation reflects successful completion of the employee's rehabilitation program. Return to work under this amnesty policy will be allowed only upon written certification from the rehabilitation program that the employee has successfully completed the program and certification of Company's SAP evaluator. Failure to obtain both certifications will render an employee unable to return to work and subject to termination.
3. Rehabilitation amnesty under this section is triggered when an employee enters a rehabilitation treatment program and is required a leave of absence from his or her work schedule. In that event, Company will provide amnesty under this policy one time to an employee.
4. Employees are not entitled to amnesty where they request after he/she has notice that a drug or alcohol test or physical examination is to be given, or after an event has occurred which could lead to testing (i.e., accident or injury, charge with drug related offense, notice of having possession of paraphernalia under this Policy, etc.)

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5. Employees returning from rehabilitation must meet with Company's SAP (Substance Abuse Professional) to be cleared to return from work. Company's SAP will outline any post-rehabilitation activities including but not limited to additional out-patient counseling as well as period unannounced drug/alcohol testing for a defined period. All employees must sign an agreement setting forth the terms of the reinstatement, including the requirements set forth by the SAP. Failure to agree to the terms of an after-care program, failure to following the requirements set forth by the SAP and or failure to follow the requirements of an established after-care program subjects an employee to immediate termination.
6. Employees returning from rehabilitation shall be returned to their former position if it is still available, or if not available, to a different available position, if they are qualified to fill said position, until their former position is available. If no such position is available, the employee will be placed on leave of absence until a position for which he/she is qualified becomes available.
7. Employees will not be returned to positions for which they are no longer qualified.
8. Insurance may be available to help defray the costs of rehabilitation. To the extent that no insurance is available, however, the cost shall be the responsibility of the employee.

Part V

A. Employee Assistance Program

Company's Employee Assistance Program is committed to providing all employees a complete and comprehensive program. This company-sponsored program includes services from UNUM to address:

Personal, family and work issues such as:

- Stress, anxiety and depression
- Relationship issues, divorce
- Family and parenting problems
- Anger, grief and loss
- Addiction, eating disorders, mental illness
- And more

Work/life balance issues such as:

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- Finding childcare
- Accessing legal help
- Locating eldercare services
- Managing your finances
- Reducing medical bills (ask about our Medical Bill Saver™ service)
- And more

B. Consultation and Support

Your program includes:

Unlimited help over the phone

Talk to a Licensed Professional Counselor or Work/Life Specialist over the phone. Compassionate professionals are there to listen, help you define your issues, and put you in touch with expert resources in your community for additional support. Just call 1-800-854-1446.

Three free in-person counseling sessions

When phone support isn't enough, you can take advantage of three in-person visits with a Licensed Professional Counselor, included at no additional charge with your EAP. Your counselor will provide short-term support and advice, and help you find local resources for ongoing care, if necessary.

Contact Information:	Telephone:	800-854-1446 (multi-lingual)
	Email:	answers@healthadvocate.com
	Website:	www.unum.com/lifebalance

Part VI

Responsibility

The following sets out responsibility under this Policy:

- A. Management is responsible for fully understanding and supporting this Policy concerning Company's drug and alcohol testing and search program and effectively communicates Company's position to managers, supervisors, employees and other key audiences.
- B. The Legal Department through its Vice President- General Counsel is responsible for the coordination of practices and procedures.
- C. The Legal Department, through the Medical Records Administrator or its designate is responsible for the daily application of the program in terms of completion of appropriate forms, scheduling, random selection process,

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record maintenance, blind sample testing and the communication process between the Medical Review Officer, employee and management.

- D. All employees, contractors and subcontractors are responsible for compliance with Company's Drug and Alcohol Testing and Search Policy.

Part VII

Compliance with Law

This policy will be administered in accordance with federal, state, and local law, including but not limited to the Americans with Disabilities Act of 1990, the Family Medical Leave Act and all U.S. Coast Guard and Department of Transportation regulations. All or any part of this policy in conflict with any law shall be void and inapplicable in the jurisdiction subject to such laws. Where federal law conflicts with state or local law, federal law shall prevail.

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LETTER OF WARNING, FINES & PENALTIES RECEIVED AND PAID IN 2020

DOI	Claim #	Vessel	Location	Penalty	Rec'd/Pd Date	Comment
06/07/20	20KIM23090	M/V BIG BAY	PILOT RORO DOCK, PORT ISABEL	\$300.00	Rec'd & Paid 08/04/20	TGLO fine while fueling the M/V Big Bay spilled about 5 gallons diesel on the deck and 5 to 10 gallons in the water.
06/21/20	20HBL23267	M/V ATLAS	IMMT GEISMAR	\$2,500.00	Rec'd & Paid 08/10/20	Louisiana Department of Safety & Corrections vapor release was stopped when the transfer was shutdown, but there was a 10 minute period where the leak was ongoing. The 20 lbs into the hopper was the judgement call from the field.
09/16/20	20KIM23382	M/V Gosier	Odjfell Terminal Bayport, Texas	\$250.00	Rec'd & Paid 09/21/20	TGLO penalty for a loading octene on the Kirby 10555 #1 cargotank was overfilled and spilled 1 gallon of cargo in the water with 1 barrel to the deck.
10/08/20	20PMI23446	Acadia	Port of Orange Berth #1, Sabine River, Texas	-	Rec'd 12/09/20	USCG warning letter in lieu of civil penalty due to discharge of hydraulic oil from a ballast pump in the Sabine River.

LETTER OF WARNING, FINES & PENALTIES RECEIVED AND PAID IN 2021

DOI	Claim #	Vessel	Location	Penalty	Rec'd/Pd Date	Comment
12/28/20	20KIM23702	M/V CAPT CHARLIE LAWRENCE	KIRBY SEADRIFT FLEET	\$250.00	Rec'd and paid 02/12/21	TGLO fine for a spill to the water. The Kirby Seadrift fleet discovered five gallons of hydraulic fluid spill to the deck and one cup to the water after securing the vessel
02/02/21	21KIM23834	M/V LOUIE LEONE	SAN JAC MARINE	\$250.00	Rec'd and paid 03/08/21	TGLO fine for a spill to the water. Kirby mechanic was doing a check valve repair on the starboard generator when a hose leaked fuel onto the deck and into the water.
04/05/21	21KIM24024	M/V PATRICK CENAC	ENTERPRISE WEST, BEAUMONT TX	\$750.00	Rec'd and paid 04/13/21	TGLO fine for a spill to the water. Deckhand removed an estimated 2 gallons of water with 1 cup or less of lube oil mixed with the water from the bilge area with a wet vac. He then poured the recovered water from the wet vac overboard. Tankerman failed to report discharge overboard.
09/16/20	20KIM23382	M/V GOSIER	Odfell Terminal Bayport, Texas	\$5,000 REDUCED FROM \$10,000	Rec'd and paid 05/21/21	USCG penalty for while loading octane on the Kirby 10555 #1 cargo tank was overfilled and spilled 1 gallon of cargo in the water with 1 barrel to the deck. Currently being appealed, waiting for USCG response.
02/21/21	21KIM24024	DBL 104	CITGO LINDEN	\$600.00	Rec'd and paid 05/21/21	TGLO fine for a spill to the water. Ballast pump failure caused a twenty gallon spill to the water. Spill was contained and reported, fine due to amount spilled.
11/27/20	20KIM23609	M/V KENNY SHAVER	BOSTCO LAPORTE	\$0.00 REDUCED FROM \$2,500.00	Rec'd 05/21/21 Appeal Finished 09/23/21	USCG fine for a spill to the water. The report has it due to a pinhole leak in the transfer hose that the crew members were working with. Fine has been appealed and reduced to zero due to a lack of evidence or rebuttal from the charging unit.
07/02/20	20KIM24169	M/V J.J. CENAC	FLORIDA KEYS	\$6,750 REDUCED FROM \$7,500	Rec'd and Paid 05/21/21	NOAA fine due to the J.J. Cenac violating the National Marine Sanctuaries Act by operating a vessel greater than 50 meters in registered length (the barge Apache) in an Area To Be Avoided within the Florida Keys National Marine Sanctuary. Currently waiting on billing info to pay this reduced fine.

04/05/21	21KIM24024	M/V PATRICK CENAC	ENTERPRISE WEST, BEAUMONT TX	-	Rec'd 05/21/21	USCG warning letter in lieu of civil penalty due to a Kirby created 10'x15' sheen boomed and cleaned with spill pads.
12/31/20	20KIM23715	M/V DENNIS CHAMBERS	BAYPORT TERMINAL	\$5,000.00	Rec'd 06/02/21	USCG fine for a spill to the water. A visible sheen on the deck of the barge Kirby 26750 due to the rainfall causing overflow from the barge's containment. Scuppers were removed to allow the water to drain the sheen resulting in a discharge of oil sheened water to hit water. Fine is being appealed as of 7/13/21
03/19/21	21KIM23950	M/V LAKE VERRET	Bostco Terminal La Porte, Texas	\$1,500.00	Rec'd and paid 10/15/21	USCG penalty for during a line displacement from the inside barge (SME 30033) from 0445 to 0555 and then shut down waiting on the dock. At 0630 the tankerman started up the discharge when the gasket between the cross over hose and the reducer on the SMS 30033 (inside barge) failed causing about 10 gallons of VGO on the deck and about 1 pint in the water.
06/25/21	-	M/V POINT MALLARD	MERMENTAU RIVER	-	Rec'd 11/10/21	USCG warning letter in lieu of civil penalty due failure to contact Sector Office Marine Inspection Office or Coast Guard Group Office after bending their rudder after crossing the Mermentau River.
12/20/20	20KIM23676	M/V MISS ROLANETTE	EASTMAN DOCK TEXAS CITY, TX	\$1,500.00	Rec'd and paid 12/10/21	USCG civil penalty issued as a result of the company's failure to ensure post-Serious Marine Incident drug and alcohol testing on a crewmember directly involved in the incident. Incident at hand was a tankerman injury while going up the gangway way of the dock.

(Continued ISS Marine Services)

Convictions: None

Financial Responsibility: Compliant

Kirby Inland Marine, LP

John Kennedy, Manager of Operations and Compliance
1020 Port Blvd., Suite 2
Miami, FL 33132

Vessel Bunkering

Company Changes: None

Environmental Violations: No infractions occurred as a result of franchised operations at Port Everglades. However, the Texas General Land Office (TGLO), issued 12 violations between June 7, 2020, and June 23, 2022, one for a 20-gallon spill of ballast water and 11 for discharges of five gallons or less of hydrocarbons into the navigable waters of the United States. TGLO assessed fines adding to \$4,300 which Kirby paid. The Louisiana Department of Safety & Corrections issued one violation for failure to make immediate notification of a leak that was ongoing for a ten-minute period and charged Kirby \$2,500. Kirby paid the fine. NOAA issued a fine in the amount of \$6,750 for violating the National Marine Sanctuaries Act by operating a vessel greater than 50 meters in registered length within an area to be avoided in the Florida Keys National Marine Sanctuary. Kirby paid the fine. Kirby is one of the largest fuel barge operators in the country, Kirby operates 950 barges and 240 boats in the U.S.

U.S. Coast Guard Violations: No infractions occurred as a result of franchised operations at Port Everglades. However, during the reporting period, three warnings and nine violations were issued by the USCG in Texas that resulted in charges of \$19,000. The USCG in Louisiana issued one warning for spills and failure to report deficiencies associated with fuel barge operations in that state.

OSHA/Safety Violations: None

LETTER OF WARNING, FINES & PENALTIES RECEIVED AND PAID IN 2022

DOI	Claim #	Vessel	Location	Penalty	Rec'd/Pd Date				Comment	
06/23/22	22HBL25700	M/V CECIL	BEAN'S FLEET	\$250.00	'Rec'd & Paid 07/14/22				TGLO fine for a spill to the water. When the absorbent material used to dam the scuppers became over saturated and allowed a leak past and down the side of the vessel and into the water.	
06/07/22	22HBL25557	M/V GEORGE H THOMAS	CC 539	\$500.00	'Rec'd & Paid 07/26/22				TGLO fine for a spill to the water. After completing bilge stripping at marine fueling / Beans Fleet the crew of the M/V Cecil reports and estimated 2 Gallons of oily water mix was spilled to the water while disconnecting the fuel dock supplied hose. The 2 Gallons contained an estimated 1 quart of oil.	
06/07/22	22HBL25557	M/V GEORGE H THOMAS	CC 539	\$600.00	'Rec'd & Paid 07/26/22				USCG fine for a spill to the water. After completing bilge stripping at marine fueling / Beans Fleet the crew of the M/V Cecil reports and estimated 2 Gallons of oily water mix was spilled to the water while disconnecting the fuel dock supplied hose. The 2 Gallons contained an estimated 1 quart of oil.	
03/25/22	22HBL25325	M/V DRUM POINT	OLD RIVER BOAT DOCK	\$300.00	Rec'd & Paid 08/10/22				USCG fine for a spill to the water. When a sheen was discovered to be caused by a shaft alley pump on the tow MMV Drum Point	
08/04/22	22KIM25816	M/Y OBSERVER	SAN JAC MARINE	\$300.00	Rec'd & Paid 08/25/22				USCG fine for a spill to the water. Diesel spill from outboard drain spill response began with deployed spill pads over the sheen of about 12 oz spilled.	
07/28/22	22KIM25785	M/V MICHAEL MCCREERY	Southwest Shipyard	\$300.00	Rec'd & Paid 08/26/22				TGLO fine for a spill to the water. A bunker boom off the Kirby 29751 collapsed and landed on the bunker hose causing 5-10 Gal. Of VLSFO to spill to the water	
08/29/22	22KIM25891	M/Y OBSERVER	SAN JAC MARINE	\$250.00	Rec'd & Paid 09/12/22				TGLO fine for a spill to the water. While taking on fuel by truck at San Jac Shipyard reported 2 gallons of diesel fuel was spilled to the water during line clearing. Boat was boomed and crew recovered what they can from the water with spill pads.	
11/03/21	21KIM24809	M/V ROY BAKER	SAN JAC MARINE	\$300.00	Rec'd & Paid 09/23/22				USCG fine for a spill to the water. 1 Gallon was spilled to the water crew was fueling the vessel from the fuel truck. Transfer had been shutdown and hose had been drained when the tankerman attempted to disconnect the fuel transfer hose. Pressure in the line caused the hose to eject from the fitting.	

06/23/22	22HBL25700	M/V CECIL	BEAN'S FLEET	LETTER OF WARNING	Rec'd 10/17/22	USCG Letter Of Warning when the a 2 inch hose on the M/V Cecil discharged approx. 2 gallons of bilge slop/oily water mix into the intracoastal waterway creating a silver sheen.
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LETTER OF WARNING, FINES & PENALTIES RECEIVED AND PAID IN 2023

DOI	Claim #	Vessel	Location	Penalty	Rec'd/Pd Date	Comment
10/13/22	22KIM26010	KIRBY 10257	Castrol Port Allen	\$1,250.00	Rec'd & Paid 2/17/23	USCG fine for a spill to the water. When two gallons of an oily substance mixed with the left-over rainwater coming out of the starboard side scupper plug hole. Two of the three scuppers on the machinery deck were out. Upon the discovery the tankerman inserted the plugs immediately. Liquid from the machine deck reached the water and has formed a sheen in the waterway near the barge.
02/24/23	23KIM26401	M/V Brewster Knott	Aberdeen Locks MS	-	Rec'd 03/28/23	USCG warning letter in lieu of civil penalty due 5-10 gal of oil blew out of SME stack with approx. 1 gal getting in the water. Crew is worked on cleaning up the spill on the deck and deploying spill boom.
02/27/23	23KIM26400	Kirby 29020	Bludworth Shipyard	\$300.00	Rec'd & Paid 4/11/23	TGLO fine for a spill to the water. The Kirby 29020 was at Bludworth Shipyard getting annual inspection on bunker boom base hose when hose failed causing approx. 30 gal of MGO spilled to the deck and 9 gal in the water. Hale is assisting cleaning deck and booming off the area.
05/14/23	23KIM26595	Capt Charlie Lawrence	Kirby Sea Drift Fleet	\$300.00	Rec'd and Paid 05/22/23	TGLO fine for a spill to the water. The Capt Charlie Lawrence is at Kirby Seadrift Fleet and reporting approx. 1 cup of diesel spilled to the deck and ½ cup spill to the water from their dock barge Clean Channel 1. Crew deployed spill pads and cleaned up the 3' round sheen in the water that the diesel caused and has wiped the side of the barge. Capt will work with crew to drain any fuel left in fuel lines.
04/22/23	23HBL26522	KIRBY 29702B	Lake Charles, LA	-	Rec'd 06/09/23	USCG warning letter in lieu of civil penalty during start up the hose connection on the x-over hose failed resulting in 20 gallons of cargo to the deck, and 10 gallons in the water.
07/08/23	23KIM26690	Kirby 14832	Kirby Lost Lake Fleet	\$250.00	Rec'd and Paid 07/17/23	TGLO fine for a spill to the water. While checking the fleet, the Comal discovered that the spill containment area on the Kirby 14832 had an oily sheen in the rain water that was inside. As the crew was cleaning, they found a sheen in the water on the outside of the barge as well. The crew will clean and then barge will go to yard for final cleaning.

08/24/23	23KIM26805	M/V Sammy Tate	Sims Bayou	\$250.00	Rec'd and Paid 08/28/23	TGLO fine for a spill to the water. The Sammy Tate at Sims Bayou with the Kirby 28712 to bunker the Ship BBC Africa, when approx. 2-3 gal of VLSFO spilled to the deck on the ship and 2 cups got in the water. Spill boom was deployed, and crew used spill pads to clean up what got in the water.
06/10/23	23KIM26624	M/V LEVITICUS	MM 339	\$2,000.00	Rec'd and Paid 10/11/23	USCG Fine for the M/V Leviticus while performing hourly rounds of the tow while northbound LMR MM 339 when crew discovered the Kirby 27722 #2 st cargo tank spilling VTB to the deck and into the water. Crew proceeded to but heaters on circulate to stop cargo from continuing to spilling out. Scupper plugs were reported to be in. Crew reports VTB overflowed spill rail on port bow and st stern. Approx. 4,700 gallons spilled to the water.
11/11/2023	23KIM27003	M/V Sammy Tate	Enterprise Channelview	\$250.00	Rec'd and Paid 11/12/23	TGLO Fine for the M/V Sammy Tate While alongside a ship at Enterprise Channelview the Sammy Tate found that the blow back box on the second deck of the boat for the main engines filled up with oil and blew oil out. There is 2 gallons on the deck with 1 gallon in the water.
11/10/2023	23KIM27004	M/V Roy Baker	Kirby Old River Boat Dock	\$250.00	Rec'd 11/12/23	TGLO Fine for the M/V Roy Baker crew discovered a sheen on the stern of the boat and a sheen in the water around the stern of the boat. Crew found a bucket of hydraulic oil that was on the stern of the boat that had filled up with water and caused the sheen. Crew is stating about 1 teaspoon got into the water and about a cup on the deck

LETTER OF WARNING, FINES & PENALTIES RECEIVED AND PAID IN 2024

DOI	Claim #	Vessel	Location	Penalty	Rec'd/Pd Date	Comment
11/11/23	23KIM27003	M/V Sammy Tate	Houston Ship Channel	-	Rec'd and paid 02/12/24	USCG warning letter in lieu of civil penalty due the blow back box on the M/V Sammy Tate's on the second deck of the boat for the main engines filled up with oil and blew oil out. There is 2 gallons on the deck with 1 gallon in the water. Crew is working on cleaning up the spill and the dock already had the tow boomed off
11/10/23	23KIM27004	M/V Roy Baker	Kirby Old River Boat Dock	-	Rec'd and paid 02/12/24	USCG warning letter in lieu of civil penalty when at the Kirby Old River boat dock the crew discovered a sheen on the stern of the boat and a sheen in the water around the stern of the boat. Crew found a bucket of hydraulic oil that was on the stern of the boat that had filled up with water and caused the sheen. Crew is stating about 1 teaspoon got into the water and about a cup on the deck
07/24/23	23KIM26723	M/V Coryell	MM 264	-	Rec'd 02/12/24	USCG warning letter in lieu of civil penalty due an estimated 20 gallons was spilled to the stern of the boat. An estimated 1 teaspoon was spilled to the water. Upon discovery crew immediately secured all suppers with sausage boom and stay dry. Pilot was able to safely stop the tow CW MM 264.3 and get pushed in along side and turn off the steering and stop the active leak. Crew has removed all standing hydraulic fluid from the deck and discovered the source to be a pinhole leak in fixed piping.
12/24/22	22KIM26176	M/V Concho 2013	MM 162	\$3,500.00	Rec'd and Paid 02/12/24	USCG fine for a spill to the water. When the transfer pump to the center fuel tank was left running and unattended by the front watch tankerman. The high-level alarm did not sound. The center tank filled up and overflowed from the stbd side vent of the vessel. It was calculated that 1062 gallons was spilled to the water.
03/18/24	24KIM27337	M/V San Bernard	MM 162	\$500.00	Rec'd and Paid 04/15/24	TGLO fine for delayed reporting of spill to the water. Shortly after giving a 2-hour notice to dock prior to starting the top off process, cargo (VGO) escaped from the number 1 starboard tank hatch initially thought to be only a few gallons to deck. Was later found to be 1 barrel to water. Reporting was then made.

04/29/24	24KIM27433	Kirby 28751	KIRBY DEZAVALA FLEET	\$250.00	Recd and Paid 05/01/24	TGLO fine when 1 gallon escaped to the water over the spill rail due to a fuel supply line failure on the Kirby 28751. Crew of the M/v Drum Point has deployed boom and was worked to recover the diesel from the deck.
04/29/24	24HBL27433	M/V DRUM POINT	Aberdeen Locks MS	\$600.00	Recd and Paid 07/10/24	USCG fine when 1 gallon escaped to the water over the spill rail due to a fuel supply line failure on the Kirby 28751. Crew of the M/v Drum Point has deployed boom and was worked to recover the diesel from the deck.

(Continued ISS Marine Services, Inc.)

Financial Responsibility: Compliant

Kirby Inland Marine, LP
Christian O'Neil, President and
Chief Operating Officer
55 Waugh Drive, Suite 1000
Houston, TX 77007

Vessel Bunkering

Company Changes: Promotions. Christian G. O'Neil is the President and Chief Operating Officer. John T. Hallmark is the Chief Commercial Officer.

Environmental Violations: No infractions occurred as a result of franchised operations at Port Everglades. However, the Texas General Land Office (TGLO) issued 12 violations between July 1, 2022, and June 30, 2024, for spills of up to 10 gallons and, on one occasion, 42 gallons of

hydrocarbons into the navigable waters of the United States. TGLO assessed fines totaling \$3,650.00, which Kirby paid. Kirby is one of the largest fuel barge operators in the country. It operates 1,078 barges and 286 boats in the U.S.

U.S. Coast Guard Violations: No infractions occurred as a result of franchised operations at Port Everglades. However, the USCG issued six warning letters and eight violations in Texas and Louisiana between July 1, 2022, and June 30, 2024, for spills ranging from 1 teaspoon to up to 10 gallons of oily substance. On one occasion, 4,700 gallons of oil or hazardous substance and, on another occasion, 1,062 gallons of fuel spilled into the navigable waters of the United States. The USCG assessed fines totaling \$8,850.00, which Kirby paid.

OSHA/Safety Violations: None

Litigation: None

Convictions: None

Financial Responsibility: Compliant

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Facilities Search Results

Criteria selected:

Facility Name = Kirby Inland Marine, LP

Searching For = Search all facilities

For additional information, select the hyperlinks under "Data Links" where available.

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F - Provides a facility summary report.

P - Provides facility-related permit information.


M - Provides a GIS map focused on the facility.

Q - Provides a contact for user questions and quality control.

Records on this page = 0 of 0

There are no facilities that meet your criteria.

Disclaimer: The Florida Department of Environmental Protection (FDEP) has made a reasonable effort to ensure that the information provided is up-to-date and comprehensive but cannot guarantee the accuracy or completeness of the data. Any specific, missing information may be obtained through a public records request. For more information visit our [Public Records web site](#).

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Occupational Safety and Health Administration

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Establishment Search

Reflects inspection data through 06/13/2025

Use our establishment search to locate OSHA enforcement inspections by establishment name. You can also search by a specific inspection number or inspections within a specific industry using NAICS or SIC.

You can now find citation information for violations that Federal OSHA has cited.

For violation and citation results:

- Enter the establishment name in the "Establishment" box and select the "Search" button at the bottom;
- Select the Activity Number (inspection) in the search results;
- If a citation was issued, it will appear under "Violation Items"; and
- Select the "Citation ID" to view the details for that specific citation.

Continue to check back for updates, as citations or violations may be modified during the investigation process.

⚠ Note: Before using our establishment search, please read important information below on how to interpret the results.

Search By:

Your search did not return any results.

Enter an Establishment name, select an OSHA Office, or enter a Site Zip Code.

Establishment

Kirby Inland Marine, LP

(This field can also be used to search for a State Activity Number for the following states: NC, SC, KY, OR, WA, IN (before April 2022) and AZ (after June 2021))

State

All States



Fed & State



OSHA Office

All Offices



Site Zip Code

Case Status



All



Closed



Open

Inspection Date

Start Date June 16 2020

End Date June 16 2025

[Search](#) [Reset](#)**Can't find it?**[For Wildcard search, use %](#)[Establishment Search Help](#)[Search Basics and Search Syntax Examples](#)**NOTE TO USERS**

The Integrated Management Information System (IMIS) was designed as an information resource for in-house use by OSHA staff and management, and by state agencies which carry out federally-approved OSHA programs. Access to this OSHA work product is being afforded via the Internet for the use of members of the public who wish to track OSHA interventions at particular work sites or to perform statistical analyses of OSHA enforcement activity. It is critical that users of the data understand several aspects of the system in order to accurately use the information.

The source of the information in the IMIS is the local federal or state office in the geographical area where the activity occurred. Information is entered as events occur in the course of agency activities. Until cases are closed, IMIS entries concerning specific OSHA inspections are subject to continuing correction and updating, particularly with regard to citation items, which are subject to modification by amended citations, settlement agreements, or as a result of contest proceedings. THE USER SHOULD ALSO BE AWARE THAT DIFFERENT COMPANIES MAY HAVE SIMILAR NAMES AND CLOSE ATTENTION TO THE ADDRESS MAY BE NECESSARY TO AVOID MISINTERPRETATION.

The Integrated Management Information System (IMIS) is designed and administered as a management tool for OSHA to help it direct its resources. When IMIS is put to new or different uses, the data should be verified by reference to the case file and confirmed by the appropriate federal or state office. Employers or employees who believe a particular IMIS entry to be inaccurate, incomplete or out-of-date are encouraged to contact the OSHA field office or state plan agency which originated the entry.

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Policy Number: PL-1017
Approved By: Amy Husted, General Counsel & VP
Enterprise Wide Policy

Effective Date: October 1, 2020
Revised Date:

Environmental

Policy

Kirby recognizes the importance of efficiently meeting the waterborne transportation needs of the United States in an environmentally sound manner, while protecting the health and safety of our employees and the public. The management and the employees of Kirby are committed to continuously improving the compatibility of our operations with the environment. Our goal is NO HARM to the Environment – zero spills and zero releases. In furtherance of this commitment, the management and employees of Kirby pledge to:

- Conduct our business and operate our vessels in a manner that protects the environment and the health and safety of our employees and the public.
- Comply with all applicable laws and regulations concerning the environment, and apply responsible standards where laws and regulations do not exist.
- Adhere to a zero-tolerance policy for willful violations by our employees.
- Recognize and be responsive to public concerns about waterborne transportation and its effects on the environment.
- Commit to reduce overall emissions and waste generation,
- Participate with government and the public in creating responsible regulations and standards to safeguard the work place, community and environment
- Establish and maintain, in cooperation with public authorities and others, contingency procedures and plans to mitigate the effects of accidents which may occur.
- Conduct annual review and audit of Kirby's Environmental Management System for continuous compliance.

All Kirby Employees have a duty to report any violations of the Environmental Policy to their immediate supervisor or designated person.

Violations of applicable laws and regulations will be reported to the appropriate authorities which may result in civil fees and/ or imprisonment as determined by the regulatory agencies, as well as termination of employment. Knowledge of an unreported infraction will be viewed as a violation to this Environmental Policy.

Applicability

This applies to employees across all Kirby Companies.

This policy represents management guidelines only. Nothing in this policy shall be interpreted as a contract of employment. Kirby Corporation, the Company, retains the right to deviate from, modify or discontinue this policy at any time, with or without notice. To the extent any provision of this policy is found to be inconsistent with applicable federal, state and/or local law(s), the applicable law(s) will govern.

Certificate No.: 534520-5507239-002

TOWING SAFETY MANAGEMENT SYSTEM CERTIFICATE (TSMS)

Issued under the provisions of
Title 46 Code of Federal Regulations 138.305
under the authority of

THE UNITED STATES OF AMERICA

By **American Bureau of Shipping**

Name and address of the
TSMS holder:
(Managing Operator as defined in 46 CFR
136.110)

KIRBY INLAND MARINE LP

55 WAUGH DRIVE SUITE 1000,

Houston, TX 77007 United State

THIS IS TO CERTIFY THAT the Towing Safety Management System of the above TSMS holder has been audited, and that it meets the objectives of 46 CFR 138.210.

This Certificate is valid until **31 October 2027**, subject to a mid-period verification between the 27th and 33rd month of the certificate's period of validity.

Completion date of the initial/renewal audit on which this Certificate is based: **26 October 2022**



Issued at: **Houston, TX, United States**

(Place of issue)

Date: **26 October 2022**

(Date of issue)

Signed: **Electronically Signed By
Irani, Adil R, Houston Port**

(Surveyor, American Bureau Of Shipping)
(Signature of the duly authorized official issuing the certificate)



Certificate No.: 534520-5507239-002

ENDORSEMENT FOR MID-PERIOD VERIFICATION AND ADDITIONAL VERIFICATION
(*If required)

Mid-Period Verification

(To be completed between the 27th and 33rd month of the certificate's period of validity)

Signed: _____

Signature of Authorized Official

Place: _____

Date: _____

Additional Verification*

Signed: _____

Signature of Authorized Official

Place: _____

Date: _____

Additional Verification*

Signed: _____

Signature of Authorized Official

Place: _____

Date: _____

Additional Verification*

Signed: _____

Signature of Authorized Official

Place: _____

Date: _____



U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

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Washington, DC 20593-7516
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Phone: (202) 372-1005
Fax: (202) 372-8376
Email: vrp@uscg.mil

16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Shipboard Oil Pollution Emergency Plan (SOPEP), Control Number 13322, for 401 (1131325), has been reviewed and found to be in compliance with the requirements of Regulation 37 of Annex I of the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78).

This approval will remain valid until **February 18, 2028**. You must review your plan annually within one (1) month of the anniversary date of the plan's expiration date and submit a letter to this office certifying that the review has been completed. Any alteration or revision made to the plan, with the exception of those made to the appendices and non-mandatory provisions, must be submitted to this office for review and approval prior to the implementation of the revision. Further, the entire plan must be resubmitted to the Coast Guard for reapproval six (6) months before the end of the approval period of the plan.

I remind you that your plan is a vital working document and that implementing the plan will help ensure effective response and mitigation in the event of an oil pollution incident. Please be sure that all parties with responsibilities under the plan are familiar with the plan's procedures and requirements.

This letter shall be maintained onboard the vessel and placed in the front of the plan.

Sincerely,

A handwritten signature in blue ink that reads "Charron McCombs".

CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2703 Martin Luther King Jr. Ave SE
Stop 7516
Washington, DC 20593-7516
Staff Symbol: CG-MER-4 (VRP)
Phone: (202) 372-1005
Fax: (202) 372-8376
Email: vrp@uscg.mil

16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Vessel Response Plan (Control Number 13322), submitted to meet the requirements of Title 33, Code of Federal Regulations (CFR), Part 155, Subparts D and I, is **approved**. Approval will remain valid until **February 18, 2028**.

The 401 (1131325) is authorized to operate only in the ports or geographic areas indicated in the Captain of the Port zones listed below. If carrying oil as cargo, the vessel is prohibited from handling, storing, transporting, transferring, or lightering oil unless it is operating in full compliance with this plan. Compliance includes ensuring that required resources have been identified and planned for or are in place and available through contract or other approved means. If applicable to your routes, this includes the dispersant and aerial observation requirements of 33 CFR 155.1050.

You are reminded that your chosen salvage and marine firefighting resource provider may have submitted waivers from meeting one or more of the specified response times in accordance with 33 CFR 155.4055. If so, this may be rescinded by the U.S. Coast Guard if the appropriate response resources are not available when the approved waiver expires. You shall continue to assess the adequacy of your chosen salvors and firefighters as required by 33 CFR 155.4050.

The vessel must keep a copy of this approval letter onboard in addition to the minimum sections of the plan as required by 33 CFR 155.1030. In accordance with 33 CFR 155.1070, you are required to review your plan annually and submit plan amendments for approval. As per 33 CFR 155.1070(b), the entire plan must be resubmitted for a comprehensive review and approval six (6) months prior to the expiration date.

APPROVED CAPTAIN OF THE PORT ZONES

BOSTON	KEY WEST	NEW ORLEANS	SAN JUAN
CHARLESTON	LONG ISLAND SOUND	NEW YORK	SAVANNAH
CORPUS CHRISTI	LOWER MISSISSIPPI RIVER	NORTH CAROLINA	SOUTHEASTERN NEW
DELAWARE BAY	(MEMPHIS)	NORTHERN NEW ENGLAND	ENGLAND (PROVIDENCE)
HOUMA	MARYLAND-NCR	(PORTLAND, MAINE)	ST. PETERSBURG
HOUSTON-GALVESTON	MIAMI	PORT ARTHUR AND LAKE	VIRGINIA
JACKSONVILLE	MOBILE	CHARLES	

Sincerely,



CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction

U.S. Department of
Homeland Security

United States
Coast Guard



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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Shipboard Oil Pollution Emergency Plan (SOPEP), Control Number 13322, for 403 (1153102), has been reviewed and found to be in compliance with the requirements of Regulation 37 of Annex I of the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78).

This approval will remain valid until **February 18, 2028**. You must review your plan annually within one (1) month of the anniversary date of the plan's expiration date and submit a letter to this office certifying that the review has been completed. Any alteration or revision made to the plan, with the exception of those made to the appendices and non-mandatory provisions, must be submitted to this office for review and approval prior to the implementation of the revision. Further, the entire plan must be resubmitted to the Coast Guard for reapproval six (6) months before the end of the approval period of the plan.

I remind you that your plan is a vital working document and that implementing the plan will help ensure effective response and mitigation in the event of an oil pollution incident. Please be sure that all parties with responsibilities under the plan are familiar with the plan's procedures and requirements.

This letter shall be maintained onboard the vessel and placed in the front of the plan.

Sincerely,

A handwritten signature in blue ink that reads "Charron McCombs".

CHARRON MCCOMBS
Lieutenant Commander
Acting Chief, Domestic Preparedness & Planning Division
U.S. Coast Guard
By direction

U.S. Department of
Homeland Security

United States
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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Vessel Response Plan (Control Number 13322), submitted to meet the requirements of Title 33, Code of Federal Regulations (CFR), Part 155, Subparts D and I, is **approved**. Approval will remain valid until **February 18, 2028**.

The 403 (1153102) is authorized to operate only in the ports or geographic areas indicated in the Captain of the Port zones listed below. If carrying oil as cargo, the vessel is prohibited from handling, storing, transporting, transferring, or lightering oil unless it is operating in full compliance with this plan. Compliance includes ensuring that required resources have been identified and planned for or are in place and available through contract or other approved means. If applicable to your routes, this includes the dispersant and aerial observation requirements of 33 CFR 155.1050.

You are reminded that your chosen salvage and marine firefighting resource provider may have submitted waivers from meeting one or more of the specified response times in accordance with 33 CFR 155.4055. If so, this may be rescinded by the U.S. Coast Guard if the appropriate response resources are not available when the approved waiver expires. You shall continue to assess the adequacy of your chosen salvors and firefighters as required by 33 CFR 155.4050.

The vessel must keep a copy of this approval letter onboard in addition to the minimum sections of the plan as required by 33 CFR 155.1030. In accordance with 33 CFR 155.1070, you are required to review your plan annually and submit plan amendments for approval. As per 33 CFR 155.1070(b), the entire plan must be resubmitted for a comprehensive review and approval six (6) months prior to the expiration date.

APPROVED CAPTAIN OF THE PORT ZONES

BOSTON	KEY WEST	NEW ORLEANS	SAN JUAN
CHARLESTON	LONG ISLAND SOUND	NEW YORK	SAVANNAH
CORPUS CHRISTI	LOWER MISSISSIPPI RIVER	NORTH CAROLINA	SOUTHEASTERN NEW
DELAWARE BAY	(MEMPHIS)	NORTHERN NEW ENGLAND	ENGLAND (PROVIDENCE)
HOUMA	MARYLAND-NCR	(PORTLAND, MAINE)	ST. PETERSBURG
HOUSTON-GALVESTON	MIAMI	PORT ARTHUR AND LAKE	VIRGINIA
JACKSONVILLE	MOBILE	CHARLES	

Sincerely,



CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction

U.S. Department of
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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Shipboard Oil Pollution Emergency Plan (SOPEP), Control Number 13322, for 405 (1197372), has been reviewed and found to be in compliance with the requirements of Regulation 37 of Annex I of the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78).

This approval will remain valid until **February 18, 2028**. You must review your plan annually within one (1) month of the anniversary date of the plan's expiration date and submit a letter to this office certifying that the review has been completed. Any alteration or revision made to the plan, with the exception of those made to the appendices and non-mandatory provisions, must be submitted to this office for review and approval prior to the implementation of the revision. Further, the entire plan must be resubmitted to the Coast Guard for reapproval six (6) months before the end of the approval period of the plan.

I remind you that your plan is a vital working document and that implementing the plan will help ensure effective response and mitigation in the event of an oil pollution incident. Please be sure that all parties with responsibilities under the plan are familiar with the plan's procedures and requirements.

This letter shall be maintained onboard the vessel and placed in the front of the plan.

Sincerely,

A handwritten signature in blue ink that reads "Charron McCombs".

CHARRON MCCOMBS
Lieutenant Commander
Acting Chief, Domestic Preparedness & Planning Division
U.S. Coast Guard
By direction

U.S. Department of
Homeland Security

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Phone: (202) 372-1005
Fax: (202) 372-8376
Email: vrp@uscg.mil

16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Vessel Response Plan (Control Number 13322), submitted to meet the requirements of Title 33, Code of Federal Regulations (CFR), Part 155, Subparts D and I, is **approved**. Approval will remain valid until **February 18, 2028**.

The 405 (1197372) is authorized to operate only in the ports or geographic areas indicated in the Captain of the Port zones listed below. If carrying oil as cargo, the vessel is prohibited from handling, storing, transporting, transferring, or lightering oil unless it is operating in full compliance with this plan. Compliance includes ensuring that required resources have been identified and planned for or are in place and available through contract or other approved means. If applicable to your routes, this includes the dispersant and aerial observation requirements of 33 CFR 155.1050.

You are reminded that your chosen salvage and marine firefighting resource provider may have submitted waivers from meeting one or more of the specified response times in accordance with 33 CFR 155.4055. If so, this may be rescinded by the U.S. Coast Guard if the appropriate response resources are not available when the approved waiver expires. You shall continue to assess the adequacy of your chosen salvors and firefighters as required by 33 CFR 155.4050.

The vessel must keep a copy of this approval letter onboard in addition to the minimum sections of the plan as required by 33 CFR 155.1030. In accordance with 33 CFR 155.1070, you are required to review your plan annually and submit plan amendments for approval. As per 33 CFR 155.1070(b), the entire plan must be resubmitted for a comprehensive review and approval six (6) months prior to the expiration date.

APPROVED CAPTAIN OF THE PORT ZONES

BOSTON	KEY WEST	NEW ORLEANS	SAN JUAN
CHARLESTON	LONG ISLAND SOUND	NEW YORK	SAVANNAH
CORPUS CHRISTI	LOWER MISSISSIPPI RIVER	NORTH CAROLINA	SOUTHEASTERN NEW
DELAWARE BAY	(MEMPHIS)	NORTHERN NEW ENGLAND	ENGLAND (PROVIDENCE)
HOUMA	MARYLAND-NCR	(PORTLAND, MAINE)	ST. PETERSBURG
HOUSTON-GALVESTON	MIAMI	PORT ARTHUR AND LAKE	VIRGINIA
JACKSONVILLE	MOBILE	CHARLES	

Sincerely,



CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2703 Martin Luther King Jr. Ave SE
Stop 7516
Washington, DC 20593-7516
Staff Symbol: CG-MER-4 (VRP)
Phone: (202) 372-1005
Fax: (202) 372-8376
Email: vrp@uscg.mil

16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Shipboard Oil Pollution Emergency Plan (SOPEP), Control Number 13322, for 406 (1202099), has been reviewed and found to be in compliance with the requirements of Regulation 37 of Annex I of the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78).

This approval will remain valid until **February 18, 2028**. You must review your plan annually within one (1) month of the anniversary date of the plan's expiration date and submit a letter to this office certifying that the review has been completed. Any alteration or revision made to the plan, with the exception of those made to the appendices and non-mandatory provisions, must be submitted to this office for review and approval prior to the implementation of the revision. Further, the entire plan must be resubmitted to the Coast Guard for reapproval six (6) months before the end of the approval period of the plan.

I remind you that your plan is a vital working document and that implementing the plan will help ensure effective response and mitigation in the event of an oil pollution incident. Please be sure that all parties with responsibilities under the plan are familiar with the plan's procedures and requirements.

This letter shall be maintained onboard the vessel and placed in the front of the plan.

Sincerely,

A handwritten signature in blue ink that reads "Charron McCombs".

CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Vessel Response Plan (Control Number 13322), submitted to meet the requirements of Title 33, Code of Federal Regulations (CFR), Part 155, Subparts D and I, is **approved**. Approval will remain valid until **February 18, 2028**.

The 406 (1202099) is authorized to operate only in the ports or geographic areas indicated in the Captain of the Port zones listed below. If carrying oil as cargo, the vessel is prohibited from handling, storing, transporting, transferring, or lightering oil unless it is operating in full compliance with this plan. Compliance includes ensuring that required resources have been identified and planned for or are in place and available through contract or other approved means. If applicable to your routes, this includes the dispersant and aerial observation requirements of 33 CFR 155.1050.

You are reminded that your chosen salvage and marine firefighting resource provider may have submitted waivers from meeting one or more of the specified response times in accordance with 33 CFR 155.4055. If so, this may be rescinded by the U.S. Coast Guard if the appropriate response resources are not available when the approved waiver expires. You shall continue to assess the adequacy of your chosen salvors and firefighters as required by 33 CFR 155.4050.

The vessel must keep a copy of this approval letter onboard in addition to the minimum sections of the plan as required by 33 CFR 155.1030. In accordance with 33 CFR 155.1070, you are required to review your plan annually and submit plan amendments for approval. As per 33 CFR 155.1070(b), the entire plan must be resubmitted for a comprehensive review and approval six (6) months prior to the expiration date.

APPROVED CAPTAIN OF THE PORT ZONES

BOSTON	KEY WEST	NEW ORLEANS	SAN JUAN
CHARLESTON	LONG ISLAND SOUND	NEW YORK	SAVANNAH
CORPUS CHRISTI	LOWER MISSISSIPPI RIVER	NORTH CAROLINA	SOUTHEASTERN NEW
DELAWARE BAY	(MEMPHIS)	NORTHERN NEW ENGLAND	ENGLAND (PROVIDENCE)
HOUMA	MARYLAND-NCR	(PORTLAND, MAINE)	ST. PETERSBURG
HOUSTON-GALVESTON	MIAMI	PORT ARTHUR AND LAKE	VIRGINIA
JACKSONVILLE	MOBILE	CHARLES	

Sincerely,



CHARRON MCCOMBS

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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Shipboard Oil Pollution Emergency Plan (SOPEP), Control Number 13322, for 407 (1202100), has been reviewed and found to be in compliance with the requirements of Regulation 37 of Annex I of the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78).

This approval will remain valid until **February 18, 2028**. You must review your plan annually within one (1) month of the anniversary date of the plan's expiration date and submit a letter to this office certifying that the review has been completed. Any alteration or revision made to the plan, with the exception of those made to the appendices and non-mandatory provisions, must be submitted to this office for review and approval prior to the implementation of the revision. Further, the entire plan must be resubmitted to the Coast Guard for reapproval six (6) months before the end of the approval period of the plan.

I remind you that your plan is a vital working document and that implementing the plan will help ensure effective response and mitigation in the event of an oil pollution incident. Please be sure that all parties with responsibilities under the plan are familiar with the plan's procedures and requirements.

This letter shall be maintained onboard the vessel and placed in the front of the plan.

Sincerely,

A handwritten signature in blue ink that reads "Charron McCombs".

CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
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16460
May 14, 2025

KIRBY CORPORATION
C/O: KIRBY CORPORATION
ATTN: DAN NUTT
55 WAUGH DRIVE
SUITE 1000
HOUSTON, TX 77007

Dear Sir or Madam:

Your Vessel Response Plan (Control Number 13322), submitted to meet the requirements of Title 33, Code of Federal Regulations (CFR), Part 155, Subparts D and I, is **approved**. Approval will remain valid until **February 18, 2028**.

The 407 (1202100) is authorized to operate only in the ports or geographic areas indicated in the Captain of the Port zones listed below. If carrying oil as cargo, the vessel is prohibited from handling, storing, transporting, transferring, or lightering oil unless it is operating in full compliance with this plan. Compliance includes ensuring that required resources have been identified and planned for or are in place and available through contract or other approved means. If applicable to your routes, this includes the dispersant and aerial observation requirements of 33 CFR 155.1050.

You are reminded that your chosen salvage and marine firefighting resource provider may have submitted waivers from meeting one or more of the specified response times in accordance with 33 CFR 155.4055. If so, this may be rescinded by the U.S. Coast Guard if the appropriate response resources are not available when the approved waiver expires. You shall continue to assess the adequacy of your chosen salvors and firefighters as required by 33 CFR 155.4050.

The vessel must keep a copy of this approval letter onboard in addition to the minimum sections of the plan as required by 33 CFR 155.1030. In accordance with 33 CFR 155.1070, you are required to review your plan annually and submit plan amendments for approval. As per 33 CFR 155.1070(b), the entire plan must be resubmitted for a comprehensive review and approval six (6) months prior to the expiration date.

APPROVED CAPTAIN OF THE PORT ZONES

BOSTON	KEY WEST	NEW ORLEANS	SAN JUAN
CHARLESTON	LONG ISLAND SOUND	NEW YORK	SAVANNAH
CORPUS CHRISTI	LOWER MISSISSIPPI RIVER	NORTH CAROLINA	SOUTHEASTERN NEW
DELAWARE BAY	(MEMPHIS)	NORTHERN NEW ENGLAND	ENGLAND (PROVIDENCE)
HOUMA	MARYLAND-NCR	(PORTLAND, MAINE)	ST. PETERSBURG
HOUSTON-GALVESTON	MIAMI	PORT ARTHUR AND LAKE	VIRGINIA
JACKSONVILLE	MOBILE	CHARLES	

Sincerely,



CHARRON MCCOMBS

Lieutenant Commander

Acting Chief, Domestic Preparedness & Planning Division

U.S. Coast Guard

By direction



SPILL RESPONSE CONTRACT CERTIFICATION

National Response Corporation (NRC) certifies that **KIRBY CORPORATION**, as manager/owner ("Owner") has "ensured, by contract or other approved means, the availability of private personnel and equipment necessary to respond, to the maximum extent practicable, to a worst case discharge" for the below named Entered Vessel(s). NRC agrees that Owner has the right to name NRC and its resources, including those within its Independent Contractor Network (ICN) for Oil Pollution Act of 1990 (OPA) coverage for the below named Entered Vessel(s). NRC has filed its prototype Spill Response Plan Appendix with the U.S. Coast Guard, and Owner is authorized to reference this Appendix in his Vessel Response Plan. This Appendix presently covers all ports in the U.S. East, West Coast, Gulf Coasts, Great Lakes and the U.S. Caribbean. NRC reserves the right to rescind this authorization in the event of termination of its contractual arrangements with the Entered Vessel(s).

Entered Vessel(s)

ACT 101	KIRBY 10087	KIRBY 28052
ACT 102	KIRBY 10088	KIRBY 28053
AL 2301	KIRBY 10089	KIRBY 28054
CGBM 101	KIRBY 10090	KIRBY 28055
CGBM 102	KIRBY 10091	KIRBY 28056
CGBM 103	KIRBY 10092	KIRBY 28057
CGBM 104	KIRBY 10093	KIRBY 28058
CGBM 105	KIRBY 10094	KIRBY 28059
CGBM 106	KIRBY 10095	KIRBY 28060
CGBM 107	KIRBY 10096	KIRBY 28061
CGBM 111	KIRBY 10097	KIRBY 28062
CGBM 112	KIRBY 10098	KIRBY 28063
CGBM 113	KIRBY 10099	KIRBY 28064
CGBM 114	KIRBY 10100	KIRBY 28065
CGBM 118	KIRBY 10101	KIRBY 28066
CGBM 120	KIRBY 10102	KIRBY 28067
CGBM 121	KIRBY 10103	KIRBY 28068
COTTLE	KIRBY 10104	KIRBY 28069
CROCKETT	KIRBY 10105	KIRBY 28070
CTCO 250	KIRBY 10106	KIRBY 28071
CTCO 251	KIRBY 10107	KIRBY 28072
CTCO 252	KIRBY 10108	KIRBY 28073
CTCO 253	KIRBY 10118	KIRBY 28074
CTCO 254	KIRBY 10125	KIRBY 28075
CTCO 255	KIRBY 10128	KIRBY 28076
CTCO 314	KIRBY 10133	KIRBY 28077
CTCO 315	KIRBY 10141	KIRBY 28078
CTCO 316	KIRBY 10144	KIRBY 28079
CTCO 317	KIRBY 10200	KIRBY 28080
CTCO 318	KIRBY 10201	KIRBY 28081
CTCO 342	KIRBY 10202	KIRBY 28082
CTCO 343	KIRBY 10203	KIRBY 28083
CTCO 344	KIRBY 10204	KIRBY 28084



SPILL RESPONSE CONTRACT CERTIFICATION

CTCO 345	KIRBY 10205	KIRBY 28085
CTCO 357B	KIRBY 10206	KIRBY 28092
EBL 2980	KIRBY 10207	KIRBY 28093
EBL 2981	KIRBY 10208	KIRBY 28094
EBL 2982	KIRBY 10210	KIRBY 28095
EBL 2983	KIRBY 10211	KIRBY 28100
EBL 2984	KIRBY 10212	KIRBY 28101
EBL 2985	KIRBY 10213	KIRBY 28102
EBL 2989	KIRBY 10214	KIRBY 28103
EBL 2990	KIRBY 10215	KIRBY 28104
EBL 2993	KIRBY 10216	KIRBY 28105
EBL 2994	KIRBY 10217	KIRBY 28106
EBL 2995	KIRBY 10218	KIRBY 28107
EBL 2997	KIRBY 10219	KIRBY 28108
EBL 2998	KIRBY 10220	KIRBY 28109
EBL 2999	KIRBY 10221	KIRBY 28110
EBL 3000	KIRBY 10222	KIRBY 28111
HBC 301	KIRBY 10223	KIRBY 28112
HBC 302	KIRBY 10224	KIRBY 28113
HBC 303	KIRBY 10225	KIRBY 28114
HBC 304	KIRBY 10226	KIRBY 28115
HBC 305	KIRBY 10227	KIRBY 28116
HBC 306	KIRBY 10228	KIRBY 28117
HBC 307	KIRBY 10229	KIRBY 28130
HBC 308	KIRBY 10230	KIRBY 28131
HBC 309	KIRBY 10231	KIRBY 28132
HBC 310	KIRBY 10232	KIRBY 28133
HBC 311	KIRBY 10233	KIRBY 28134
HBC 312	KIRBY 10234	KIRBY 28135
HFL 208	KIRBY 10235	KIRBY 28136
HTCO 3003	KIRBY 10236	KIRBY 28137
HTCO 3004	KIRBY 10237	KIRBY 28138
HTCO 3005	KIRBY 10238	KIRBY 28139
HTCO 3006	KIRBY 10239	KIRBY 28140
HTCO 3007	KIRBY 10240	KIRBY 28141
HTCO 3008	KIRBY 10241	KIRBY 28142
HTCO 3009	KIRBY 10242	KIRBY 28143
HTCO 3010	KIRBY 10243	KIRBY 28144
HTCO 3011	KIRBY 10244	KIRBY 28145
HTCO 3012	KIRBY 10245	KIRBY 28146
HTCO 3013	KIRBY 10246	KIRBY 28147
HTCO 3014	KIRBY 10247	KIRBY 28148
HTCO 3015	KIRBY 10248	KIRBY 28149
HTCO 3060	KIRBY 10249	KIRBY 28150
HTCO 3061	KIRBY 10250	KIRBY 28151
HTCO 3062	KIRBY 10251	KIRBY 28160
HTCO 3063	KIRBY 10252	KIRBY 28161
HTCO 3064	KIRBY 10253	KIRBY 28162
HTCO 3065	KIRBY 10254	KIRBY 28163
HTCO 3066	KIRBY 10255	KIRBY 28164
HTCO 3067	KIRBY 10256	KIRBY 28165
HTCO 3068	KIRBY 10257	KIRBY 28166
HTCO 3069	KIRBY 10258	KIRBY 28167



SPILL RESPONSE CONTRACT CERTIFICATION

HTCO 3070	KIRBY 10259	KIRBY 28168
HTCO 3071	KIRBY 10260	KIRBY 28169
HTCO 3072	KIRBY 10261	KIRBY 28170
HTCO 3073	KIRBY 10262	KIRBY 28171
HTCO 3074	KIRBY 10263	KIRBY 28172
HTCO 3075	KIRBY 10264	KIRBY 28173
HTCO 3078	KIRBY 10265	KIRBY 28174
HTCO 3079	KIRBY 10266	KIRBY 28175
HTCO 3080	KIRBY 10267	KIRBY 28176
HTCO 3082	KIRBY 10268	KIRBY 28177
HTCO 3083	KIRBY 10269	KIRBY 28178
HTCO 3084	KIRBY 10270	KIRBY 28179
HTCO 3085	KIRBY 10271	KIRBY 28180
HTCO 3087	KIRBY 10272	KIRBY 28181
HTCO 3088	KIRBY 10273	KIRBY 28183
HTCO 3089	KIRBY 10274	KIRBY 28184
HTCO 3090	KIRBY 10275	KIRBY 28185
HTCO 3091	KIRBY 10276	KIRBY 28186
HTCO 3094	KIRBY 10277	KIRBY 28187
HTCO 3095	KIRBY 10278	KIRBY 28188
HTCO 3096	KIRBY 10279	KIRBY 28189
HTCO 3097	KIRBY 10318	KIRBY 28190
HTCO 3098	KIRBY 10319	KIRBY 28191
HTCO 3099	KIRBY 10330	KIRBY 28192
HTCO 3100	KIRBY 10378	KIRBY 28193
HTCO 3101	KIRBY 10381	KIRBY 28195
HTCO 3103	KIRBY 10383	KIRBY 28196
HTCO 3104	KIRBY 10437	KIRBY 28302
HTCO 3106	KIRBY 10445	KIRBY 28303
HTCO 3107	KIRBY 10446	KIRBY 28304
HTCO 3108	KIRBY 10447	KIRBY 28305
HTCO 3109	KIRBY 10448	KIRBY 28306
HTCO 3111	KIRBY 10449	KIRBY 28307
HTCO 3112	KIRBY 10450	KIRBY 28308
HTCO 3114	KIRBY 10502	KIRBY 28309
HTCO 3115	KIRBY 10510	KIRBY 28310
HTCO 3116	KIRBY 10511	KIRBY 28311
HTCO 3117	KIRBY 10512	KIRBY 28312
HTCO 3118	KIRBY 10513	KIRBY 28313
HTCO 3119	KIRBY 10514	KIRBY 28600
HTCO 3120	KIRBY 10515	KIRBY 28703
HTCO 3121	KIRBY 10525	KIRBY 28709
HTCO 3122	KIRBY 10526	KIRBY 28710
HTCO 3123	KIRBY 10527	KIRBY 28711
HTCO 3124	KIRBY 10528	KIRBY 28712
HTCO 3125	KIRBY 10535	KIRBY 28713
HTCO 3126	KIRBY 10536	KIRBY 28720
HTCO 3127	KIRBY 10537	KIRBY 28721
HTCO 3128	KIRBY 10538	KIRBY 28722
HTCO 3129	KIRBY 10539	KIRBY 28723
HTCO 3130	KIRBY 10540	KIRBY 28724
HTCO 3131	KIRBY 10541	KIRBY 28725
HTCO 3132	KIRBY 10542	KIRBY 28726



SPILL RESPONSE CONTRACT CERTIFICATION

HTCO 3133	KIRBY 10543	KIRBY 28727
HTCO 3134	KIRBY 10544	KIRBY 28745
HTCO 3135	KIRBY 10545	KIRBY 28746
HTCO 3136	KIRBY 10546	KIRBY 28747
HTCO 3137	KIRBY 10547	KIRBY 28748
HTCO 3138	KIRBY 10548	KIRBY 28750
HTCO 3139	KIRBY 10549	KIRBY 28751
HTCO 3140	KIRBY 10550	KIRBY 29004
HTCO 3141	KIRBY 10551	KIRBY 29007
HTCO 3142	KIRBY 10552	KIRBY 29008
HTCO 3144	KIRBY 10553	KIRBY 29009
HTCO 3148	KIRBY 10554	KIRBY 29010
HTCO 3149	KIRBY 10555	KIRBY 29011
HTCO 3150	KIRBY 10556	KIRBY 29012
HTCO 3151	KIRBY 10557	KIRBY 29014
KIRBY 10055	KIRBY 10558	KIRBY 29016
KIRBY 10057	KIRBY 10559	KIRBY 29020
KIRBY 10058	KIRBY 10560	KIRBY 29021
KIRBY 10059	KIRBY 10561	KIRBY 29022
KIRBY 10060	KIRBY 10562	KIRBY 29023
KIRBY 10061	KIRBY 10563	KIRBY 29024
KIRBY 10062	KIRBY 10610	KIRBY 29035
KIRBY 10063	KIRBY 10611	KIRBY 29036
KIRBY 10064	KIRBY 11006	KIRBY 29037
KIRBY 10109	KIRBY 11010B	KIRBY 29050
KIRBY 10110	KIRBY 11011B	KIRBY 29051
KIRBY 10111	KIRBY 11012B	KIRBY 29052
KIRBY 10112	KIRBY 11013B	KIRBY 29053
KIRBY 10113	KIRBY 11014B	KIRBY 29054
KIRBY 10114	KIRBY 11015B	KIRBY 29055
KIRBY 10115	KIRBY 11016B	KIRBY 29056
KIRBY 10116	KIRBY 11017B	KIRBY 29057
KIRBY 10117	KIRBY 11018B	KIRBY 29058
KIRBY 10119	KIRBY 11019B	KIRBY 29059
KIRBY 10120	KIRBY 11020B	KIRBY 29060
KIRBY 10121	KIRBY 11021B	KIRBY 29061
KIRBY 10122	KIRBY 11022B	KIRBY 29062
KIRBY 10123	KIRBY 11023B	KIRBY 29063
KIRBY 10124	KIRBY 11024B	KIRBY 29064
KIRBY 10126	KIRBY 11304B	KIRBY 29066
KIRBY 10127	KIRBY 11312	KIRBY 29067
KIRBY 10129	KIRBY 11313	KIRBY 29095
KIRBY 10130	KIRBY 11314	KIRBY 29096
KIRBY 10131	KIRBY 11315	KIRBY 29098
KIRBY 10132	KIRBY 11322	KIRBY 29099
KIRBY 10134	KIRBY 11323	KIRBY 29100
KIRBY 10135	KIRBY 11324	KIRBY 29101
KIRBY 10136	KIRBY 11325	KIRBY 29102
KIRBY 10440	KIRBY 11326	KIRBY 29103
KIRBY 10441	KIRBY 11327	KIRBY 29104
KIRBY 10442	KIRBY 11346	KIRBY 29105
KIRBY 10443	KIRBY 11347	KIRBY 29106
KIRBY 10444	KIRBY 11348	KIRBY 29107



SPILL RESPONSE CONTRACT CERTIFICATION

KIRBY 11335	KIRBY 11351	KIRBY 29108
KIRBY 11336	KIRBY 11363	KIRBY 29109
KIRBY 11337	KIRBY 11364	KIRBY 29110
KIRBY 11345	KIRBY 11365	KIRBY 29111
KIRBY 11349	KIRBY 11366	KIRBY 29112
KIRBY 11350	KIRBY 11368	KIRBY 29113
KIRBY 11353	KIRBY 11400	KIRBY 29114
KIRBY 11354	KIRBY 11404	KIRBY 29115
KIRBY 27013	KIRBY 11501	KIRBY 29116
KIRBY 27014	KIRBY 11503	KIRBY 29117
KIRBY 27016	KIRBY 11504	KIRBY 29118
KIRBY 27017	KIRBY 11505	KIRBY 29119
KIRBY 27018	KIRBY 11506	KIRBY 29139
KIRBY 27019	KIRBY 11507	KIRBY 29140
KIRBY 27020	KIRBY 11508	KIRBY 29141
KIRBY 27727	KIRBY 11509	KIRBY 29142
KIRBY 27728	KIRBY 11510	KIRBY 29151
KIRBY 27729	KIRBY 11511	KIRBY 29156
KIRBY 27730	KIRBY 11512	KIRBY 29157
KIRBY 27731	KIRBY 11513	KIRBY 29158
KIRBY 27732	KIRBY 11514	KIRBY 29170
KIRBY 27733	KIRBY 11515	KIRBY 29171
KIRBY 27734	KIRBY 11516	KIRBY 29172
KIRBY 27735	KIRBY 11517	KIRBY 29173
KIRBY 27736	KIRBY 11518	KIRBY 29174
KIRBY 27737	KIRBY 11519	KIRBY 29175
KIRBY 27738	KIRBY 11520	KIRBY 29176
KIRBY 27787	KIRBY 11521	KIRBY 29177
KIRBY 27788	KIRBY 11522	KIRBY 29304
KIRBY 27789	KIRBY 11523	KIRBY 29328
KIRBY 27790	KIRBY 11524	KIRBY 29400T
KIRBY 29040	KIRBY 11525	KIRBY 29701B
KIRBY 29041	KIRBY 11528	KIRBY 29702B
KIRBY 29042	KIRBY 11618	KIRBY 29751
KIRBY 29043	KIRBY 11619	KIRBY 30000T
KIRBY 29044	KIRBY 12003	KIRBY 30001T
KIRBY 29045	KIRBY 12320B	KIRBY 30002T
KIRBY 29065	KIRBY 12321B	KIRBY 30004T
KIRBY 29091	KIRBY 12322B	KIRBY 30005
KIRBY 29092	KIRBY 12323B	KIRBY 30006
KIRBY 29093	KIRBY 12324B	KIRBY 30007T
KIRBY 29094	KIRBY 12325B	KIRBY 30010
KIRBY 29120	KIRBY 12500B	KIRBY 30012
KIRBY 29121	KIRBY 12501B	KIRBY 30013T
KIRBY 29122	KIRBY 12502B	KIRBY 30014T
KIRBY 29123	KIRBY 12503B	KIRBY 30016T
KIRBY 29124	KIRBY 12504B	KIRBY 30024B
KIRBY 29125	KIRBY 12505B	KIRBY 30025B
KIRBY 29126	KIRBY 12600	KIRBY 30026B
KIRBY 29127	KIRBY 13020B	KIRBY 30034
KIRBY 29128	KIRBY 13021B	KIRBY 30040B
KIRBY 29129	KIRBY 13022B	KIRBY 30041B
KIRBY 29130	KIRBY 13023B	KIRBY 30042B



SPILL RESPONSE CONTRACT CERTIFICATION

KIRBY 29131	KIRBY 15001D	KIRBY 30043B
KIRBY 29132	KIRBY 15031	KIRBY 30044B
KIRBY 29133	KIRBY 15303	KIRBY 30045B
KIRBY 29134	KIRBY 15430	KIRBY 30046T
KIRBY 29135	KIRBY 16816	KIRBY 30047T
KIRBY 29136	KIRBY 16820	KIRBY 30070
KIRBY 29137	KIRBY 16829	KIRBY 30071
KIRBY 29138	KIRBY 16830	KIRBY 30072
KIRBY 29216	KIRBY 16831	KIRBY 30073
KIRBY 29245	KIRBY 16832	KIRBY 30074
KIRBY 29248	KIRBY 16833	KIRBY 30075
KIRBY 29253	KIRBY 16837	KIRBY 30076
KIRBY 29256	KIRBY 16838	KIRBY 30077
KIRBY 29323	KIRBY 17000B	KIRBY 30078
KIRBY 30-01	KIRBY 17001B	KIRBY 30079
KIRBY 30084	KIRBY 18601	KIRBY 30080
KIRBY 31003B	KIRBY 18602	KIRBY 30081
KIRBY 31004B	KIRBY 18603	KIRBY 30082
KIRBY 31005B	KIRBY 18700	KIRBY 30083
MMI 2801	KIRBY 18702	KIRBY 30085
MMI 2802	KIRBY 18750	KIRBY 30086
MMI 2803	KIRBY 20000	KIRBY 30087
MMI 2804	KIRBY 20004	KIRBY 30088
MMI 2805	KIRBY 20020	KIRBY 30303
MMI 2806	KIRBY 21004T	KIRBY 30304
MMI 2807	KIRBY 22400	KIRBY 30313
MMI 2808	KIRBY 22750	KIRBY 30340B
MMI 3018	KIRBY 23700	KIRBY 30341B
MMI 3019	KIRBY 23710	KIRBY 30400
MMI 3020	KIRBY 23711	KIRBY 30401
MMI 3022	KIRBY 24000	KIRBY 30402
MMI 3023	KIRBY 24001	KIRBY 30403
MMI 3024	KIRBY 24002	KIRBY 30404
MMI 3025	KIRBY 24003	KIRBY 30405
MMI 3028	KIRBY 24004	KIRBY 30406
MMI 3029	KIRBY 24006	KIRBY 30407
MMI 3030	KIRBY 24022	KIRBY 30408
MMI 3031	KIRBY 24702	KIRBY 30409
MMI 3032	KIRBY 24703	KIRBY 30410
MMI 3033	KIRBY 24704	KIRBY 30411
MMI 3034	KIRBY 24706	KIRBY 30412
MMI 3036	KIRBY 26700	KIRBY 30413
MMI 3037	KIRBY 26701	KIRBY 30414
MMI 3038	KIRBY 26750	KIRBY 30715B
MMI 3039	KIRBY 27009	KIRBY 30716B
MMI 3040	KIRBY 27010	KIRBY 30717B
MMI 3042	KIRBY 27011	KIRBY 30718B
MMI 3043	KIRBY 27012	KIRBY 30719B
MMI 3044	KIRBY 27402T	KIRBY 30720B
MMI 3045	KIRBY 27600T	KIRBY 30721B
MMI 3046	KIRBY 27701	KIRBY 30722 B
MMI 3047	KIRBY 27702	KIRBY 30723B
MMI 3048	KIRBY 27704	KIRBY 30724B



SPILL RESPONSE CONTRACT CERTIFICATION

MMI 3049	KIRBY 27705	KIRBY 31001
MMI 3050	KIRBY 27706	KIRBY 31002
MMI 3051	KIRBY 27710	KIRBY 31007
MMI 3052	KIRBY 27711	KIRBY 31008
MMI 3053	KIRBY 27712	KIRBY 31009
MMI 3054	KIRBY 27713	KIRBY 31010
MMI 3055	KIRBY 27714	KIRBY 32000B
MMI 3056	KIRBY 27715	KIRBY 32001B
MMI 3057	KIRBY 27716	KIRBY 32003B
MMI 3059	KIRBY 27717	KIRBY 32004B
MMI 613	KIRBY 27718	KIRBY 32005B
NAVASOTA	KIRBY 27719	KIRBY 32300B
RANDY HOPSON	KIRBY 27720	KIRBY 8001
RELIANCE	KIRBY 27721	KIRBY 8526
RON NOKES	KIRBY 27722	KIRBY 8625B
SANDRA LEIGH IVEY	KIRBY 27723	KIRBY 8626B
WALLER	KIRBY 27724	LESTER PARKER
WINNA WILSON	KIRBY 27725	LEVITICUS
AL 2300	KIRBY 27726	MARGO DALE
212	KIRBY 27750	MARK SHELL
301	KIRBY 27751	MER 10
303	KIRBY 27752	MER 11
304	KIRBY 27753	MER 12
305	KIRBY 27754	MER 13
401	KIRBY 27755	MER 9
403	KIRBY 27756	MIAMI
405	KIRBY 27757	MIKE BIRD
406	KIRBY 27758	PBL 2402
407	KIRBY 27759	PBL 3001
BAILEY	KIRBY 27760	PBL 3002
BAYOU ST JOHN	KIRBY 27761	PBL 3010
BOB LIVINGSTON	KIRBY 27762	PBL 3011
BOCA GRANDE	KIRBY 27763	PBL 3026
BOWIE	KIRBY 27764	PBL 3027
BRADY DAWSON	KIRBY 27765	PBL 3421
BRENT ICE	KIRBY 27766	PBL 3422
CAMERON BLAIR	KIRBY 27767	PBL 3423
CAPTAIN DONALD LOWE SR	KIRBY 27768	PBL-1101
CAPTAIN JERRY O	KIRBY 27769	PENSACOLA
CATFISH	KIRBY 27770	SAINT CRISPIN
CBR 2014	KIRBY 27771	SBK 1601
CGBM 131	KIRBY 27772	SBK 2410
CIBOLO	KIRBY 27773	SMS 111
CITY OF CHICAGO	KIRBY 27774	SMS 112
CITY OF JOLIET	KIRBY 27775	SMS 113
CITY OF NEW ORLEANS	KIRBY 27780	SMS 114
CITY OF PADUCAH	KIRBY 27781	SMS 214
CITY OF VICKSBURG	KIRBY 27782	SMS 216
DADE	KIRBY 27783	SMS 217
DBL 27	KIRBY 27784	SMS 30019
DBL 28	KIRBY 27785	SMS 30020
DONNY NOLAN	KIRBY 27786	SMS 30021
EBL 2972	KIRBY 28002	SMS 30022



SPILL RESPONSE CONTRACT CERTIFICATION

EBL 2973	KIRBY 28003	SMS 30023
EBL 2975	KIRBY 28010T	SMS 30024
EBL 2976	KIRBY 28011	SMS 30025
EBL 2977	KIRBY 28013	SMS 30026
EBL 2978	KIRBY 28014	SMS 30027
EBL 2979	KIRBY 28015	SMS 30028
FMT 2068	KIRBY 28016	SMS 30029
GOLDFISH	KIRBY 28017	SMS 30030
HFL 230SS	KIRBY 28018	SMS 30031
HFL 232SS	KIRBY 28019	SMS 30032
HFL 401	KIRBY 28020	SMS 30033
HFL 403	KIRBY 28021	SMS 30034
HFL 404	KIRBY 28022	SMS 30035
HFL 406	KIRBY 28023	SMS 30036
HFL 408	KIRBY 28024	SMS 30037
HFL 409	KIRBY 28025	SMS 30038
HFL 411	KIRBY 28026	SMS 30039
HFL 433	KIRBY 28027	SMS 30040
HFL 435	KIRBY 28028	SMS 30041
HONDO	KIRBY 28029	SMS 30042
INDIAN RIVER	KIRBY 28030	SMS 30043
JASON E DUTTINGER	KIRBY 28031	SMS 30044
JASON MCDANIEL	KIRBY 28032	SMS 30045
JOHN RUSSELL	KIRBY 28033	SMS 30046
KENNY SHAVER	KIRBY 28034	SMS 30047
KIRBY 10009	KIRBY 28036	SMS 30048
KIRBY 10043	KIRBY 28038	SMS 30049
KIRBY 10046	KIRBY 28039	SMS 30050
KIRBY 10056	KIRBY 28040	SMS 30051
KIRBY 10070	KIRBY 28041	SMS 30052
KIRBY 10071	KIRBY 28042	SMS 30053
KIRBY 10072	KIRBY 28043	SMS 30054
KIRBY 10073	KIRBY 28044	SMS 30055
KIRBY 10074	KIRBY 28045	SMS 30058
KIRBY 10075	KIRBY 28046	SMS 30059
KIRBY 10077	KIRBY 28047	SMS 30060
KIRBY 10081	KIRBY 28048	SMS 30061
KIRBY 10082	KIRBY 28049	SMS 30201
KIRBY 10085	KIRBY 28050	SMS 30202
KIRBY 10086	KIRBY 28051	SMS 30203

Acknowledged by:

Date: May 16, 2025

Michael Reese
ES General Manager
National Response Corporation



2025 Certificate of OSRO Coverage

This certificate provides evidence of OSRO coverage for

~ Kirby Offshore Marine, LLC ~

and its affiliates (if applicable) for the calendar year 2025 through membership in the Marine Preservation Association (MPA) and a fully executed service agreement with the Marine Spill Response Corporation (MSRC).

Pursuant to this agreement with MSRC,

~ Kirby Offshore Marine, LLC ~

- (1) is entitled to directly activate MSRC response services, and
- (2) has contractual ability to cite MSRC as an Oil Spill Removal Organization (OSRO) in its response plans

MSRC's Service Agreement with

~ Kirby Offshore Marine, LLC ~

provides direct access to MSRC's OSRO response services, as well as plan citation rights within MSRC's U.S. Operational area through January 15, 2026.

If there are any questions regarding this certificate, please contact MSRC at customer.service@msrc.org

A handwritten signature in black ink that reads "Kris Cain".

Sr. Contracts Administrator

Marine Spill Response Corporation
220 Spring Street, Suite 500
Herndon, VA 20170
+1 703 326 5600

customer.service@msrc.org

- This certificate is only valid from 1 January 2025 until 15 January 2026 -

EQUIPMENT NAME	OFFICIAL NO.	Class Number	GRT	Year completed	Place Built	Horspower	COI expiration
AL MIDDLETON (previous SAVAGE DILIGENCE)	1107373	1282472	73	2001	HOUMA, LA	900	7/29/2026
ALPHA DOUCET (previous T LUKE SAVAGE)	1237048	11287340	354	2011	PANAMA CITY, FL	3000	6/1/2027
ARCHIE WILSON	1192583	07270717	303	2007	HOUMA LA	2100	5/17/2027
ATCHAFALAYA (previous GENIE CENAC)	1255184	15273920	98	2015	BOURG LA	2000	4/25/2028
AUSTIN (previous ARANSAS PASS)	1226787	10276475	167	2010	DULAC LA	2000	5/24/2029
AVENGER (previous SAVAGE DESTINY)	1244673	13282507	295	2013	NEW IBERIA, LA	2600	4/12/2027
BAFFIN BAY	1229469	10276476	177	2010	DULAC LA	2000	9/30/2025
BAILEY	1289983	18273693	299	2018	Houma, LA	2680	10/30/2028
BARATARIA (previous A.J. CENAC)	1233051	11284279	262	2011	CORPUS CHRISTI TX	3200	6/24/2026
BAYOU ST. JOHN (previous LORETTA G. CENAC)	1253445	15258000	297	2014	HOUMA LA	3000	4/12/2027
BAYOU TECHE (previous JACK G. CENAC)	1253449	14257999	280	2018	HOUMA LA	3000	8/4/2025
BELL (previous FREEPORT-HG)	1186064	06276624	144	2006	DULAC LA	2000	3/20/2029
DELLE AMIE (previous SAMMY CENAC)	1255924	14261768	98	2014	BOURG LA	2000	12/29/2026
BERDON LAWRENCE	1306286	21274545	299	2021	CHANNELVIEW TX	2660	11/1/2026
BETTY BRENT	586080	77273215	317	1977	GREENVILLE MS	3000	1/22/2025
BIG AL	599084	78273264	111	1978	KROTZ SPRINGS LA	960	7/13/2025
BIG BAY (previous SAVAGE WISDOM)	1194792	75273296	89	2008	AMELIA, LA	1200	1/9/2026
BILL GARVEY	1260559	15265630	297	2015	CHANNELVIEW TX	2000	8/1/2028
BLANCO	1235527	11235112	297	2011	CHANNELVIEW TX	2000	7/10/2025
BLUE WING (previous SAVAGE INSIGHT)	1234448	11284287	354	2011	PANAMA CITY, FL	3000	2/22/2026
BOB LIVINGSTON	1341941		196	2024	HARVEY LA	803	6/3/2029
BOLIVAR POINT	1194288	06276396	144	2006	DULAC LA	2000	6/28/2027
BOWIE	1291740	19279078	299	2019	Houma, LA	2680	2/20/2029
BOWLING GREEN	1243640	21285419		2021		600	5/18/2027
BRADY DAWSON	1289289	18273753	299	2018	PORT ARTHUR TX	2680	9/13/2028
BRETON SOUND (previous HORACE CENAC)	1244858	13210325	116	2013	HOUMA LA	3200	2/8/2026
BREWSTER KNOTT	1244891	13252728	297	2013	CHANNELVIEW TX	2000	4/27/2026
BRISCOE (previous JOHN T COSTELLO)	1261383	15272674	177	2015	ORANGE TX	2000	4/26/2026
BROWN (previous HIGMAN MARINER)	1262993	15276623	177	2015	DULAC LA	2000	3/24/2028

CALDWELL (previous MISS SARAH)	1221104	09276873	144	2009	DULAC LA	2000	8/24/2028
CANVASBACK (previous NEAL SAVAGE)	1237722	12284120	354	2012	PANAMA CITY, FL	3000	6/9/2026
CAPT BILL IKNER (previous SAVAGE INTEGRITY)	1242334	12283023	169	2012	CODEN AL	2000	8/16/2027
CAPT CHARLIE LAWRENCE	616633	80273227	121	1980	GREENVILLE MS	1200	4/23/2029
CAPT DANIEL CLEMENT (previous SAVAGE INNOVATOR)	1250805	13282703	161	2013	NEW IBERIA, LA	2000	11/4/2025
CAPT DAVID CARRIERE	1265926	15277395	177	2015	DULAC LA	2000	9/13/2027
CAPT FRANK GIBSON (previous SAVAGE PROMISE)	1234437	66273274	98	2011	AMELIA, LA	1300	4/24/2025
CAPT FRANK STALLINGS (previous MAVERICK J)	1257353	68273353	134	2014	MORGAN CITY, LA	1260	3/9/2025
CAPT JAMES	1259533	15276477	177	2015	HOUSTON TX	2000	12/3/2025
CAPT PRIMEAUX	1261536	15276478	177	2015	HOUSTON TX	2000	4/12/2027
CAPT THOMAS PAUL	1250498	14286222	129	2014	AMELIA, LA	1200	1/10/2027
CAPT. PETE	1257448	14276479	177	2014	DULAC LA	2000	2/1/2026
CAPTAIN BILL ENGLISH	1198883	07270778	223	2007	RAYOU LA BATRE AL	1800	9/29/2028
CAPTAIN ELLIOT CROCHET	1259540	15282786	189	2015	MORGAN CITY, LA	2200	7/6/2026
CAPTAIN JERRY O	1346304		164	2024	CHANNELVIEW, TX	1606	10/23/2025
CAROLE L BRENT	1195776	07270719	303	2007	HOUMA LA	2100	4/1/2027
CECIL	1127246	02275674	144	2002	DULAC LA	2000	2/8/2029
CIBOLO	1207250	07270710	313	2008	UTICA IN	3200	11/15/2028
CITY OF CHICAGO	650617	82273256	646	1982	JEFFERSONVILLE IN	4200	4/30/2026
CITY OF NATCHEZ (previous KENNETH SAVAGE)	1217612	09283019	289	2009	PANAMA CITY, FL	3200	8/16/2029
CITY OF NEW ORLEANS	629754	5400073	437	1980	ROSDALE MS	3300	1/25/2026
CITY OF VICKSBURG	636306	81279081	710	1981	ST LOUIS MO	6140	5/18/2027
CLARENCE NIXON	1250410	16269454	388	2016	BAYOU LA BATRE AL	2400	1/22/2026
COCODRIE (previous AMMIE CENAC)	1259043	15266027	99	2015	BOURG LA	2000	1/12/2027
COLEMAN (previous PEDERNALES-HG)	1244270	13279499	177	2013	HOUSTON TX	2000	2/12/2029
COLLINGSWORTH II (previous SABINE PASS)	1194886	07279082	95	2007	BOURG LA	2000	12/15/2026
COLT CLARY	1242168	13276616	177	2013	DULAC LA	2000	5/24/2029
COMAL	622175	80273362	86	1980	GREENVILLE MS	960	12/16/2026
COMMANDER (previous SAVAGE FOUNDER)	1232449	11283024	287	2011	MORGAN CITY, LA	2600	1/22/2025
CONCHO (CONCHO 2013)	1250411	13266848	388	2013		2400	11/4/2025
CONNIE JEAN (previous SAVAGE GUARDIAN)	1235809	11283017	178	2011	CODEN, AL	2000	2/26/2026
COOKE (previous SKIPJACK)	1142395	03139104	232	2003	HARVEY LA	2000	11/20/2028

CORYELL (previous TRINITY BAY)	1231050	10275858	177	2010	HOUMA LA	2000	12/7/2028
COTTLE	1291739	18273754	299	2019	PORT ARTHUR TX	2680	2/20/2029
CROCKETT	1292803	19273755	299	2019	PORT ARTHUR TX	2680	5/13/2029
DAVE FOREMAN	1324880	YY274549	288	2022	HOUSTON TX	2680	8/25/2027
DEAF SMITH (previous LAVACA BAY)	1222394	09278538	144	2009	DULAC LA	2000	3/29/2027
DECATUR	1163416	07158065	232	2004	HARVEY LA	2000	1/14/2026
DELACROIX (previous VICTORINE CENAC)	1256869	17271113	148	2017	HOUMA LA	2000	6/16/2025
DELOS CASE	1098990	00273375	141	2000		1200	9/2/2025
DENNIS CHAMBERS	1251145	14270709	194	2014	CHANNELVIEW TX	1700	1/26/2026
DIMMIT (previous POTOMAC)	1049507	96276080	144	1996	DULAC LA	2000	7/17/2028
DISCOVERY (previous SAVAGE BETTER WAY)	1225821	10283021	292	2010	ORANGE, TX	2600	9/11/2028
DONNY NOLAN	1220938	09270712	313	2009	UTICA IN	3200	4/1/2027
DRUM BAY (previous CHRISTINE CENAC)	1238759	12773919	262	2012	CORPUS CHRISTI TX	3000	6/1/2027
DRUM POINT	1175464	05278258	144	2005	DULAC LA	2000	9/23/2025
EASTLAND (previous KYLE A SHAW)	1218552	09275555	144	2009	DULAC LA	2000	1/8/2029
ELLIS DAVIS	1224627	10270729	223	2010	BAYOU LA BATRE AL	1800	6/26/2028
ELOY RIVERA	1244563	13251273	297	2013	CHANNELVIEW TX	2000	12/29/2026
ENTERPRISE (previous SAVAGE INSPIRATION)	1247595	73273279	187	2013	BAYOU LABATRE, AL	2000	4/12/2027
ERATH (previous GUADALUPE-HG)	1239988	12276621	177	2012	HOUSTON TX	2000	3/8/2029
ERIC SWENDSEN	1292802	yy274544	299	2019	HOUSTON TX	2680	5/28/2025
FANNIN	1216932	08275455	144	2008	DULAC LA	2000	7/21/2028
FORKED ISLAND (previous LOUISE CENAC)	1259044	15265492	99	2015	BOURG LA	2000	7/14/2028
FRANKLIN (previous JOHN T MCMAHAN)	1210447	08276194	144	2008	DULAC LA	2000	6/22/2026
FREEDOM	1127986	07283018	98	2002	BAYOU LABATRE, AL	1570	3/2/2025
FRIO (FRIO 2013)	1249711	13265164	274	2013		2400	12/29/2025
GALLIANO (previous ODETTE CENAC)	1243600	14259035	98	2014	BOURG LA	2000	9/30/2025
GALVESTON	1216091	08270730	223	2008	BAYOU LA BATRE AL	1800	8/15/2025
GARY BRATSCHE	1255835	14283016	295	2014	NEW IBERIA, LA	2600	10/25/2028
GARY REED	1244890	13252332	297	2013	CHANNELVIEW TX	2000	4/9/2025
GEORGE H THOMAS	1194559	07192133	95	2007	BOURG LA	2000	9/5/2025
GOLDEN MEADOW (previous PADDY CENAC)	1263202	15267424	99	2016	BOURG LA	2000	4/12/2027
GORDON A KEENAN	1242167	13276620	177	2013	DULAC LA	2000	3/12/2025

GOSIER (previous JACKIE CENAC)	1242385	13253351	98	2013	BOURG LA	2000	4/12/2027
GRAND CHENIER (previous NAN CENAC)	1263198	16268334	99	2016	BOURG LA	2000	8/11/2028
GRAND ISLE (previous ALBERT CENAC)	1243597	14256254	98	2014	BOURG LA	2000	6/9/2025
GRAYSON (previous PALACIOS)	1256561	14278978	177	2014	DULAC LA	2000	8/22/2029
GREEN DIAMOND	1325947	23287670	341	2023	HOUSTON TX	1500	3/1/2029
GREEN WING (previous SAVAGE VISION)	1236106	11283741	354	2011	PANAMA CITY, FL	3000	2/28/2025
GUADALUPE	1240753	12248867	297	2012	CHANNELVIEW TX	2000	9/12/2028
HALE (previous PRESTON N SHUFORD)	1208314	08279087	144	2008	DULAC LA	2000	4/27/2026
HARRISON (previous ALLIANCE)	1214850	08276473	144	2008	DULAC LA	2000	12/15/2026
HASKELL (previous ANNAPOLIS)	1074535	98275554	144	1998	DULAC LA	2000	2/12/2029
HENRY J SOUDELIER III	1195775	07270718	303	2007	HOUMA LA	2100	9/29/2025
HIGH ISLAND	1242165	12276622	177	2012	DULAC LA	2000	4/23/2029
HOCKLEY (previous ANTIFETAM)	1127248	02276474	144	2002	DULAC LA	2000	7/24/2025
HONDO	1217767	09273292	313	2009	UTICA IN	3200	5/23/2027
HOUMA	1204637	08270731	223	2008	BAYOU LA BATRE AL	1800	7/14/2025
HOUSTON	1198884	08270732	223	2008	BAYOU LA BATRE AL	1800	2/6/2025
INDEPENDENCE	1254677	15263471	222	2015	HARVEY LA	2200	9/12/2028
INDIAN RIVER	1069713	98273210	102	1998		1000	5/22/2027
JASON MCDANIEL (previous JOHN GRIMSLEY 59)	1217952		585	2009	BAYOU LA BATRE AL	6000	5/14/2025
JEFF MONTGOMERY	1214963	08270733	223	2008	BAYOU LA BATRE AL	1800	1/30/2029
JESSE B GUNSTREAM JR	1150512	03272678	144	2003	HOUMA LA	2000	10/13/2025
JOE LOCHRICO	1275872	17270743	299	2017	CHANNELVIEW TX (HUI)	2000	1/10/2027
JOHN RUSSFEL	1340512	24293560	191	2024	BOURG LA	1606	9/30/2029
JONES (previous MARK E FLYNN)	1211510	08279084	144	2008	DULAC LA	2000	12/17/2026
JUSTICE	1192071	06184547	286	2006	BAYOU LABATRE, AL	2300	8/9/2028
KARNES (previous CALCASIEU)	1250883	13272673	177	2013	DULAC LA	2000	4/29/2029
KENDALL WASHINGTON (previous BRENT ICE)	1293801		469	2019	HARVEY LA	4000	6/4/2029
KENNY SHAVER	1294499	19273756	299	2019	PORT ARTHUR TX	2680	9/9/2025
KENT (previous CLIFFORD L CARRAWAY)	1242169	13276395	177	2013	DULAC LA	2000	12/15/2028
KING	1301093	20274546	285	2020	HOUSTON TX	2680	6/18/2025
LAFITTE (previous DIANE CENAC)	1242386	13254283	98	2013	HOUMA LA	2000	3/24/2027
LAKE BORGNE (previous E.A. CENAC)	1243596	13255225	98	2013		2000	5/17/2027

LAKE VERRET (previous HENRY CENAC)	1255186	15273921	98	2015	HOUMA LA	2000	7/18/2028
LAMPASAS	618135	80273364	93	1980	GREENVILLE MS	960	3/13/2029
LAROSE (previous QUINCEY CENAC)	1263199	16269195	99	2016	BOURG LA	2000	5/21/2026
LAVACA	1249710	13260466	388	2013	CODEN AL	2400	8/14/2028
LEADER (previous SAVAGE LEGACY)	1252836	14283022	161	2014	NEW IBERIA, LA	2000	8/9/2028
LESTER PARKER (previous HIGMAN PRIDE)	1261131	15265342	262	2015	BAYOU LA BATRE AL	3620	9/29/2025
LLANO	1236229	12241808	297	2012	CHANNELVIEW TX	2000	5/26/2026
LOUIE LEONE (previous SAVAGE PERSEVERANCE)	1247315	70273213	295	2013	NEW IBERIA, LA	2600	4/12/2027
LOUISIANAN	1191529	06278820	144	2006	HOUMA LA	2000	3/13/2029
LUBBOCK (previous HIGMAN TRADITION)	1260379	15279083	177	2015	DULAC LA	2000	5/7/2025
MALLARD	1225747	09273224	93	2009	DEMOPOLIS AL	1320	2/1/2027
MARK SHELL	1224501	09273293	313	2009	UTICA IN	3200	3/24/2026
MARTIN (previous INGLESIDE)	1254625	14278154	177	2014	DULAC LA	2000	7/12/2025
MATAGORDA	1204198	62275375	144	2007	DULAC LA	2000	3/22/2026
MAVERICK (previous KARL G ANDREN)	1242170	13276193	177	2013	DULAC LA	2000	6/11/2025
MCCULLOCH (previous HORN ISLAND)	1252854	14278427	177	2014	DULAC LA	2000	2/28/2029
MICHAEL MCCREERY	1223440	09270734	223	2009	BAYOU LA BATRE AL	1800	4/24/2028
MILAM (previous MISS CYNTHIA)	985837	92277396	131	1992	JENNINGS LA	2000	6/12/2028
MISS RACHEL	1063182	98279999	131	1998	PLAQUEMINE LA	1200	4/12/2027
MISS ROLANETTE	1224636	10270735	223	2010	BAYOU LA BATRE AL	1800	4/22/2029
MISSION	1240072	12248149	297	2012	CHANNELVIEW TX	2000	2/28/2028
MOBILE	1204640	08270736	223	2008	BAYOU LA BATRE AL	1800	2/26/2026
MORGAN CITY	1217126	09270737	223	2009	BAYOU LA BATRE AL	1800	3/29/2027
MOTLEY (previous PIMLICO)	1166700	05278953	144	2005	DULAC LA	2000	8/5/2029
MR BENNETT	1144608	03273358	88	2003	ORANGE TX	960	7/3/2025
NAVASOTA	1214811	08270714	313	2008		3200	8/23/2028
NAVIGATOR (previous SAVAGE HERITAGE)	1232445	11282705	287	2011	MORGAN CITY, LA	2600	7/16/2025
NICEVILLE	1221092	09270738	223	2009	BAYOU LA BATRE AL	1800	2/25/2026
OLD GLORY	1208954	08282773	147	2008	HARVEY, LA	2200	5/16/2028
OLD PUSH	556544	75273243	55	1974	CHANNELVIEW TX	800	8/25/2028
ORION	632918	81273258	149	1981	LAKE ARTHUR LA (HULL)	960	9/19/2028
OSCEOLA (previous J.J. CENAC)	1231605	11191030	115	2011	HOUMA LA	3200	2/22/2027

PACESETTER	991123	93273244	52	1993	CHANNELVIEW TX	800	4/4/2029
PALO DURO	632405	80273269	131	1981	KROTZ SPRINGS LA	960	6/7/2029
PASS CHRISTIAN	1218798	09270739	223	2009	BAYOU LA BATRE AL	1800	7/27/2025
PATRIOT	1235336	11281474	178	2011	BAYOU LABATRE, AL	2000	2/4/2025
PEARL RIVER (previous FRITZ CENAC)	1242384	13252441	98	2013	BOURG LA	2000	7/13/2025
PECAN ISLAND (previous TUTTY CENAC)	1243601	14259995	98	2014	BOURG LA	2000	1/7/2027
PINTAIL	1225748	09273225	93	2009	DEMOPOLIS AL	1320	8/19/2029
POINT A LA HACHE (previous XAVIER CENAC)	1265682	15267001	285	2016	HOUMA LA	2000	4/11/2027
POINT COMFORT	1242166	12277280	177	2012	DULAC LA	2000	3/29/2025
POINT ISABEL	1200270	07272676	144	2007	DULAC LA	2000	5/6/2026
POINT MALLARD	1240393	12277394	177	2012	DULAC LA	2000	3/3/2025
PONTCHARTRAIN (previous INEZ CENAC)	1259051	15265302	99	2015	BOURG LA	2000	7/2/2026
PORT FADS (previous URSULA CENAC)	1263200	16268893	99	2016	BOURG LA	2000	4/4/2027
PORT FOURCHON (previous C.C. CENAC)	1244294	14260986	98	2014	BOURG LA	2000	9/29/2025
PORT MANSFIELD (previous JEAN PIERRE CENAC)	1262416	15266565	99	2015	BOURG LA	2000	4/9/2026
PORT NECHES	1239145	12278116	177	2012	DULAC LA	2000	7/7/2026
RALPH RENFRO (previous SAVAGE SPIRIT)	1243956	12282704	147	2005	HARVEY, LA	2600	1/1/2025
RANDY HOPSON (previous HIGMAN LEADER)	1256248	14260543	573 ITC	2014	BAYOU LA BATRE AL	3620	5/18/2027
RED FISH	1225042	17279088	167	2017	DULAC LA	2000	7/15/2025
RED RIVER	1247072	13276914	177	2013	HOUSTON TX	2000	4/23/2026
RIO GRANDE	1248275	13278114	177	2013	HOUSTON TX	2000	3/11/2029
ROAN (previous SAVAGE ELEVATE)	1247931	12282772	181	2013	AMELIA, LA	1200	11/4/2025
ROBERT G STONE JR	1189439	06270715	303	2006	HOUMA LA	2100	1/22/2026
RON NOKES	1308967	20283066	733	2021		4600	5/20/2027
ROY BAKER (previous OUTLOOK)	1239026	12284122	121	2012	BAYOU LABATRE, AL	2000	2/26/2026
SADINE	1236712	12244930	297	2012	CHANNELVIEW TX	2000	6/28/2028
SAINT CHARLES	1223316	09277951	167	2009	HOUMA LA	2000	2/8/2029
SAINT CRISPIN	627224	80273268	137	1980	KROTZ SPRINGS LA	1700	10/4/2028
SAMMY TATE (previous SAVAGE INGENUITY)	1243320	95273240	121	2012	BAYOU LABATRE, AL	2000	5/18/2027
SAN ANTONIO	1236027	11278017	177	2011	HOUSTON TX	2000	4/26/2029
SAN BERNARD	1235077	11279089	177	2011	HOUSTON TX	2000	3/27/2025
SAN MARCOS	1242397	12276687	177	2012	HOUSTON TX	2000	12/29/2026

SAN SABA	1250544	14278395	177	2014	HOUSTON TX	2000	3/7/2025
SANDPIPER	1237330	12278174	177	2011	DULAC LA	2000	4/10/2029
SANDRA LEIGH IVY	1292801	18272719	299	2019	HOUMA LA	2680	6/10/2025
SANDY POINT	1163278	YY153461	232	2004	HARVEY LA	2000	5/18/2027
SHELL BEACH (previous WEEZIE CENAC)	1243598	14257082	98	2014	HOUMA LA	2000	5/1/2025
SPINDLETOP	1233372	11279090	177	2011	DULAC LA	2000	6/9/2025
SPIRIT	643081	81273212	170	1981	BRIDGE CITY TX	1200	6/1/2027
SPIRIT (PROGRESSIVE)	1169863	05283015	147	2005	HARVEY, LA	1570	3/19/2025
ST ROSE	1251866	14279091	177	2014	DULAC LA	2000	4/3/2029
STALLION (previous EDWARD JULIEN)	1261999	15283113	112	2015	AMELIA, LA	1400	2/26/2025
STEVE HOLCOMB	1292075	19274453	299	2019	CHANNELVIEW TX	2680	5/28/2025
SUSAN ELIZABETH	967990	90273253	265	1990	THEODORE AL	2400	2/21/2025
SWEET LAKE (previous FONSE CENAC)	1243599	14258089	98	2014		2000	5/1/2025
TAMPA	1240073	12270742	171	2012	CODEN AL	1880	5/7/2025
TEXIAN	1258562	15281919	177	2015	DULAC LA	2000	7/20/2025
THIBODAUX (previous TEE CENAC)	1242383	13251747	98	2013	BOURG LA	2000	12/28/2025
THREE RIVERS	1250092	13272677	177	2013	HOUMA LA	2000	1/17/2029
TIMBALIER BAY (previous TOMMY CENAC)	1238760	12273926	262	2012	CORPUS CHRISTI TX	3200	4/12/2027
TOM GREEN (previous SEVERN)	1092256	99278553	144	1999	DULAC LA	2000	2/6/2025
TOM HENDERSON	1315976	YY274548	288	2021	CHANNELVIEW TX	2680	1/26/2027
UNCLE BUD	1027533	94276561	88	1994	PLAQUEMINE LA	1000	9/2/2025
UNCLE SAM	1242720	12283924	173	2012	HARVEY, LA	2000	8/7/2028
VERMILION BAY (previous PATRICK CENAC)	1233052	11273922	262	2011	CORPUS CHRISTI TX	3200	6/1/2026
WALLER (previous HIGMAN LEGACY)	1253955	YY258637	262	2014	BAYOU LA BATRE AL	3620	1/10/2027
WAYNE BETHEL	1260560	15266123	297	2015	CHANNELVIEW TX	2000	7/18/2028
WICHITA (WICHITA 2013)	1249708	14256957	388	2013		2400	3/25/2026
WIDGEON	1225745	08273226	89	2008	DEMOPOLIS AL	1320	5/23/2025
ZEPHYR	1320009	21286493		2021		6000	5/17/2027



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ABS TOWING VESSEL ANNUAL EXTERNAL SURVEY CHECKLIST			
Vessel Name:		Company:	
Date:		Report No.:	
Official No.:		Class No.:	
Hailing Port:		Year Built:	
Propulsion Type:		Hull Material:	
# of Engines:	# of Shafts:	Reg GT:	NT:
		ITC GT:	NT:
# Fuel Tanks:	Fuel Cap (gal):	Lube Oil Cap (gal):	Hydraulic <u>Oil</u> Cap (gal):
Route: <input type="checkbox"/> Rivers <input type="checkbox"/> Lakes, Bays, and Sounds <input type="checkbox"/> Great Lakes <input type="checkbox"/> Limited Coastwise <input type="checkbox"/> Coastwise <input type="checkbox"/> Oceans			
Service: <input type="checkbox"/> Line Haul <input type="checkbox"/> Canal <input type="checkbox"/> Locking River <input type="checkbox"/> Fleeting <input type="checkbox"/> Seagoing Tug <input type="checkbox"/> ITB <input type="checkbox"/> ATB <input type="checkbox"/> Harbor/Ship Assist/Escort <input type="checkbox"/> Other (specify, e.g., Emergency Assist)			
Location of Audit/Survey (Latitude/Longitude, River Mile, Geographic Name):			
Lat:		N/S, Long	E/W; River
Geographic Name:		MM	
Owner:		Operator:	
Address:		Address:	
Phone:		Phone:	
SURVEY RESULTS			
<input type="checkbox"/> Vessel has been surveyed and found to be in compliance with the applicable regulations for towing vessels and is fit for its route and service.			
<input type="checkbox"/> Vessel has been surveyed and found to be in compliance with the applicable regulations for towing vessels and is fit for its route and service, subject to the correction of non-conformities.			
<input type="checkbox"/> Vessel has been surveyed and found to not be in compliance with the applicable regulations for towing vessels. Vessel is not fit for its route and service. See report details for non-conformities.			
Surveyor's Name:		<u>Electronically Signed:</u>	Date:
Company Representative's Name:		<u>Electronically Signed:</u>	Date:
NOTES:			



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Legend (Route Applicability – see first column of checklist)				
All (unless specified otherwise)	Coastwise/Ocean	Coastwise/Ocean & Great Lakes	Great Lakes (only)	Rivers (only)
See Job Aid page ↓		CREDENTIALS, DOCUMENTS & RECORDS		
			Yes	No
46 CFR 42 & 45 46 CFR 136.250	14	Load Lines (when applicable)		<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
46 CFR 67.7 46 CFR 67.313 & 319 46 CFR 67.17 & .19 46 CFR 67.123 46 CFR 67.121	15	Documentation (vessel ≥ 5 NT) Valid/current Certificate of Documentation on board Proper/valid endorsement: <input type="checkbox"/> Registry <input type="checkbox"/> Coastwise <input type="checkbox"/> Other: Name and hailing port properly marked (at least 4in in height) Official number properly marked on interior structural member		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 15.535 46 CFR 15.812 46 CFR 15.820 46 CFR 15.815 33 CFR 101.514 46 CFR 10.203 46 CFR 10.301 33 CFR 104.215	16	Merchant Mariner Credential (MMC) Proper Officer & Route endorsement for vessel ≥ 26ft Proper endorsement as a Federal First Class Pilot or an individual “acting as” a Pilot & meets requirements in 46 CFR 15.812 (if applicable for area/route) Proper endorsement as a chief engineer (seagoing, documented ≥ 200 GT) Valid radar observer endorsement on credential or Certificate of Training Valid Transportation Worker Identification Credential (TWIC) for crew needing unescorted access Medical Certificate VSO Endorsement (see NVIC 21-14)		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
46 CFR 15.705 46 CFR 15.840 46 CFR 15.840 46 CFR 15.105 46 CFR 15.1103 46 CFR 15.1105 46 CFR 15.1109 46 CFR 15.1111 46 CFR 137.220 (m)(1)	17	Manning & Watchstanding 2 nd credentialed operator for voyages ≥ 12 hours; 3 rd for voyages > 600 NM Able Seaman (AB) – 2 watch system, 50% Deck Crew must be AB's Able Seaman (AB) – 3 watch system, 65% Deck Crew must be AB's STCW endorsements (applicable to ITVs ≥ 200 GT; NOT applicable for ITVs < 200 GT on near coastal domestic voyages) Familiarization & Basic Safety Training (Seagoing Vessels) Verify that the “STCW Principals of Watchkeeping” are being observed Verify crew has complied with rest period requirements in accordance with STCW 95 (Seagoing beyond Boundary Line) Vessel manned in accordance with the vessel's COL (if issued)		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
47 CFR 80.163 47 CFR 80.161 47 CFR 80.953 47 CFR 80.13 47 CFR 80.409	19	FCC Restricted Radiotelephone Operator permit or higher class license (if subject to Bridge-to-Bridge Act, i.e., ≥ 26ft) Marine Radio Operator permit of higher class license Inspection & certification of communications equipment FCC Ships Station License. MMSI: Call Sign: FCC Station Log (maintained by radio operator if subject to Bridge-to-Bridge Act, i.e., ≥ 26ft)		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.900 46 CFR 4.05-1	20	Marine Casualty Reporting (Operator aware of reporting requirements)		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
33 CFR 160.216 33 CFR 164.82	21	Hazardous Conditions Reporting (Operator aware of requirement)		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



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See Job Aid page ↓		CREDENTIALS, DOCUMENTS & RECORDS (Cont'd)		Yes	No	N/A
46 CFR 137.220(k) 46 CFR 140.505(e)	21	Sanitary Examination Examine Spaces to ensure they are clean and decently habitable (Crews Quarters, Toilet and Washing Spaces, Galley, Serving Pantries, Lockers)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.615 33 CFR 164.80	21	Examination & Tests Verify record of examinations & tests (Steering Systems, Signaling Whistle, Navigational Equipment, Communications, Navigation Lights, Towing Gear and Propulsion Control)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33 USC 1321(j)(5) 33 CFR 155.1045 33 CFR 138.15 & .30	22	Oil Pollution Prevention Records/Documents Vessel Response Plan (> 400 GT ITC or with oil as secondary cargo) Certificate of Financial Responsibility (> 300 GT; As of Oct 2008)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33 CFR 151.1510 33 CFR 151.2015 & 25 33 CFR 151.2060 33 CFR 151.2070	23	Ballast Water Management (equipped with ballast tanks) Ballast retained on board or treated using environmentally sound method Mandatory ballast water reporting requirements met Mandatory ballast water recordkeeping requirements met		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33 CFR 164.74(a)(3)	24	Records for Towline for Towing Astern (may be kept on board or kept available in the company office) A record of initial and retesting of towline breaking strength A record of visual inspections of towline, done at least monthly		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33 CFR 164.76 46 CFR 140.820(a) 46 CFR 140.820(b)	24	Record Keeping for Towing Gear – All Vessels Visual Examination of towing gear recorded Record of type, size, and service of equipment available for review		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.420(g)	25	Emergency Drills & Instruction (Record of emergency drills & Instruction that must include the date, description & personnel involved)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.410(d)	26	Safety Orientation (Required prior to getting underway first time on a particular towing vessel)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.415	27	Orientation (Visitors) Individuals that are not crewmembers provided vessel safety orientation		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.405(c)	27	Emergency Duties & Duty Stations (vessels with Alternating watches/Berthing) Crew emergency duties & duty stations posted at each operating station & in a conspicuous location in a space commonly visited by crew.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.400	27	Personnel Records (Crewmembers, positions, watch reliefs)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.500	28	Health & Safety Plan (no later than July 22, 2019) Health & safety plan on board, implemented, and crew compliance		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.515	28	Health & Safety Training Health & safety training performed and documented as required		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.510 46 CFR 140.505	29	Health and Safety Hazards Owner/Operator has implemented procedures to identify and mitigate health and safety hazards Do conditions exist that pose a health/safety hazard to crew, vessel, or environment		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.905 46 USC 11301	30	Official Logbook – required if: a) Voyage from a US port to a foreign port (except U.S. to Canada) b) >100 GT and on voyage between two US ports, one Atlantic and one Pacific		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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46 CFR 140.910	30	Towing Vessel Record (TVR) Vessel maintains a TVR or other record in accordance with TSMS (Not required for vessel working within Limited Geographic Area)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
See Job Aid page ↓		NAVIGATION SAFETY EQUIPMENT	Yes No N/A
46 CFR 140.705 33 CFR 164.72(b)(1) 33 CFR 164.72(b)(2) 33 CFR 164.72(b)(2)	31	Navigation Publications (towing on Western Rivers only) Charts or maps (for area of operation; current edition; extract acceptable) USCG Light List for area of operation ACOE Notices to Navigation (when available) or USCG Local Notice to Mariners River Stages or Water Surface Elevations	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.705 33 CFR 164.72(b)(1) 33 CFR 164.72(b)(2) 33 CFR 164.72(b)(2) 33 CFR 164.72(b)(2) 33 CFR 164.72(b)(2) 33 CFR 164.72(b)(2)	31	Navigation Publications (towing routes other than Western Rivers only) Charts or maps (adequate scale & detail for area of operation; current editions or currently corrected editions) USCG Light List for area of operation NIMA Notices to Mariners or USCG Local Notice to Mariners Tidal-current or river-current tables Tide Tables USCG Coast Pilot	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
33 CFR 161.4	32	VTS Rules (for VTS users only; vessels ≥ 26 ft; also included in Coast Pilot)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
33 CFR 83.01(g)	32	Inland Navigation Rules on Board (inland waters only; vessels ≥ 39.4 ft)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.710 33 CFR 164.72(a)(1)	32	Marine Radar (vessels ≥ 12 Meters (39.4 ft); meets Radio Technical Commission for Maritime Services (RTCM) standard)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.725(b) 33 CFR 164.72(a)(2)	33	Searchlight (directed from operating station & range 2 times length of tow)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.725(d) 33 CFR 164.72(a)(4)	33	Magnetic Compass (swing meter) – Illuminated (If towing on the Western Rivers may have illuminated swing-meter in lieu of magnetic compass)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.725(c) 33 CFR 164.72(a)(6) 33 CFR 164.41	33	Electronic Positioning–Fixing Device (if towing seaward of navigable waters of U.S. or > 3 NM from shore Great Lakes)	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
46 CFR 140.725(a) 33 CFR 164.72(a)(5)	34	Fathometer (not required for towing exclusively on Western Rivers)	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
46 CFR 140.725 (note) 33 CFR 164.46(b)	34	Automated Identification System (AIS) (vessels ≥ 26 ft & > 600 HP; and any other vessel pushing, pulling, or towing hazardous cargo) Programmed with vessel info	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 140.715 33 CFR 164.72 33 CFR 26.03(a)(3)	34	Communications (vessels NOT subject to Great Lakes Radio Agreement) VHF-FM Radio Radio connected to a functioning battery backup Handheld VHF-FM Radio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
47 CFR 80.308 47 CFR 80.955	35	Communications (vessels subject to Great Lakes Radio Agreement) VHF-FM Radiotelephones (vessels ≥ 26 ft to 123 ft = 1 radio required; ≥ 124 ft = 2 radios required)	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
Navigation Rule 24(i) 46 CFR 140.720 33 CFR 83.24(i)	37	Western Rivers Exception: White masthead lights are NOT required on vessels engaged in towing on Western Rivers above the Huey P. Long Bridge across the Lower Mississippi River, and the waters specified in 33 CFR 89.27	
Navigation Rule 23(a) 46 CFR 140.720 33 CFR 83.23 33 CFR 84.09	35	Navigation Lights & Shapes (without tow) White masthead light; 2 nd mast head light abaft/above if > 50 Meters Red & green side lights; fitted with black screens (> 20 Meters (65.6ft) White Stern Light	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



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See Job Aid page ↓		NAVIGATION SAFETY EQUIPMENT (Cont'd)	Yes No N/A
Navigation Rule 24(c) 46 CFR 140.720 33 CFR 83.24(c) 33 CFR 83.27(b) 33 CFR 83.30(d)(ii)	37	Navigation Lights & Shapes (if pushing ahead or towing alongside) Two white masthead lights Red & green side lights One white stern light (<i>International</i>); Two yellow towing lights in a vertical line (<i>Inland</i>) Three all-around lights in a vertical line (Red, White, Red). NOTE: If not Equipped an exception letter from USCG must be on board. Four Day Shapes (3 Balls, 1 Diamond) to exhibit all combinations of required signals	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Navigation Rule 24(a) 46 CFR 140.720 33 CFR 83.24(a)	37	Navigation Lights & Shapes (if towing astern) Two white masthead lights in a vertical line Third white masthead light above others (<i>when length of tow is > 656ft</i>) Red & green sidelights & white stern light A yellow towing light in a vertical line above white stern light A diamond shape where best seen (<i>when length of tow is > 656 ft</i>)	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
Navigation Rule 33 46 CFR 140.720 33 CFR 83.33 33 CFR 86.05 33 CFR 86.23	37	Sound Producing Devices A means of making an efficient sound signal (< 39.4 ft) Whistle audible ½ mile & 7.9" bell (39.4 ft – < 65.6 ft) Whistle audible 1 mile & 11.8" bell (≥ 65.6 ft – 328.1 ft)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
See Job Aid page ↓		LIFESAIVING EQUIPMENT	Yes No N/A
46 CFR 141.380(a) 46 CFR 141.380(b) 46 CFR 141.380(c) 47 CFR 80.1061(f) 47 CFR 80.1061(e)	38	Emergency Position Indicating Radio Beacon (EPIRB) if > 3NM Category 1, 406 MHz EPIRB Hydrostatic Release exp. Date: Float free bracket; set for automatic activation; unobstructed Vessel name marked on EPIRB Tested monthly; Test Date: Battery expiration date: NOAA Registration expiration Date: Beacon ID:	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
46 CFR 141.360(a)(2) 46 CFR 141.360(a)(3) 46 CFR 141.360(b)(2) 46 CFR 141.360(b)(1) 46 CFR 141.360(b)(5) 46 CFR 141.360(c)(2) 46 CFR 141.360(b)(3) 46 CFR 141.360(c)(1) 46 CFR 141.360(b)(4) 46 CFR 141.360(c)(1)	39	Ring Life Buoys Minimum of 2 lifebuoys, opposite sides of vessel, minimum 24 in. (<i>vessels ≥ 26 ft but < 79 ft</i>) Minimum of 4 lifebuoys, with 1 on each side of operating station, minimum 24 in. (<i>vessels ≥ 79 ft</i>) Number of ring life buoys on board: Ring life buoys stowed capable of being rapidly cast loose Ring life buoys in serviceable condition, approved under series 160.050/160.150 Orange in color if vessel is ocean or coastwise At least 2 lifebuoys have approved floating electric water light with lanyard attached to lifebuoys (3 to 6 feet long) Lifebuoys not permanently secured to vessel At least 1 lifebuoy fitted with buoyant lifeline (<i>at least 60 feet</i>) Each lifebuoy marked with vessel name If more than one lifebuoy is carried, at least one lifebuoy must not have a lifeline attached	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



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See Job Aid page ↓		LIFESAVING EQUIPMENT (Cont'd)	Yes	No	N/A
46 CFR 141.340(a)	40	Lifejackets Adequate number? (must have approved, appropriate sized lifejacket on board for each person, plus 1 per watch stander located at each watch station)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(f)(1)		Number of PFDs on board: Type I , Type II , Type III	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(f)(2)		Each lifejacket marked with vessel name (block capital letters)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340		31 sq. in. of Retroreflective material front and back in upper quadrant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(g)(1)		Lifejackets in serviceable condition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(g)(2)		Approved lights (attached to front shoulder & power source not expired)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(d)		Lifejackets have whistles secured by cord	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.340(h)		Lifejackets stowed in readily accessible location	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Lifejacket stowage positions marked	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.430	40	Work Vests Work vest approved type	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Equipped with light when worn at night (attached to front shoulder & power source not expired)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Work vest storage containers marked with the words "WORK VEST"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 141.350(a)	41	Immersion Suits (vessels operating north of 32 ° north latitude, or south of 32° south latitude; except Rivers and Limited Geographic Area)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 141.350(a)(1)		Adequate number? (must have approved immersion suit (appropriate size) on board for each person, plus 1 per watch stander located at each watch station, work station)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 199.70(c)(2)		Immersion suits stowed in readily accessible location	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 199.70(c)(2)		Stowage location marked with words "IMMERSION SUITS" or "ANTI-EXPOSURE SUITS" or IMO compliant marking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 199.70(d)		Immersion stowage container marked with quantity and identity	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 199.70(c)(3)		Immersion suits marked to identify vessel or person they belong to	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 199.70(c)(4)		Approved light (attached to front shoulder & power source not expired)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 199.70(c)(4)		have whistle secured by cord	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 141.375(a)	41	Visual Distress Signals 6 Day and 6 Night visual distress signals	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 141.375(a)		6 Day and 6 Night visual distress signals (waterways ≥ 3 NM from shore)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 141.375(a)		3 Day and 3 Night visual distress signals (waterways ≤ 3 NM from shore)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Distress signals stowed in either a portable watertight, bright colored container marked "DISTRESS SIGNALS" at operating station; or, exterior pyrotechnic locker, away from heat, near operating station	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		*Hand-Held red flare distress signals approved under approval series 160.021 or 160.121, and hand-held rocket-propelled parachute red flares under approval series 160.036 are acceptable as both day and night signals.			
		*Floating orange smoke signals approved under approval series 160.022, 60.122, or 160.157, and hand-held orange smoke distress signals, approved under approval series 160.037, are only acceptable as day signals.			
		*For Coastwise, Limited Coastwise, and Lakes, Bays, and Sounds routes, see Subchapter M Survey Job Aid			
46 CFR 140.435	45	First Aid Equipment Towing vessel equipped with industrial type first aid cabinet or kit (appropriate to size crew & operating conditions)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 140.435		Equipped with means to take blood pressure, splint broken bones and apply large bandages for serious wounds (oceans, coastwise, great lakes)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>



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See Job Aid page ↓		POLLUTION PREVENTION	Yes No N/A	
33 CFR 159.7(a) 33 CFR 159.65	48	Marine Sanitation Device (MSD) (vessels with installed toilets) MSD installed is appropriate for length of vessel and operating area Adequate supply of chemicals for Types I & II on board IAW manufacturer instructions	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
33 CFR 159.59 33 CFR 159.7(c)		Type I and II have proper certification label and operating placard Type III (with "Y" valve) properly secured	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
33 CFR 151.59 33 CFR 151.57	48	MARPOL Annex V (garbage pollution from vessels) Garbage Placard posted (vessels ≥ 26 ft) Waste Management Plan available and met (Oceangoing vessels ≥ 40 ft)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	
MARPOL Annex VI 40 CFR 94	51	MARPOL Annex VI (air pollution from ships; use checklist in App. A of Encl. 1 to CG-543 Policy Ltr 09-01 dtd 2/4/09)(Verify engine build date and tier compliance)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
33 CFR 155.820 33 CFR 156.150	49	Oil Pollution Prevention Records contain required info? (person in charge, hose & test data) Declarations of Inspections (DOI) for last 30 days (vessels with ≥ 10,500 gallon capacity)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
33 CFR 155.450 33 CFR 155.800 33 CFR 155.410 & 33 CFR 155.420 33 CFR 155.320 33 CFR 155.720/740 33 CFR 155.750		Oil pollution placard posted (vessels ≥ 26 ft) Hoses in oil service properly marked Pumping/piping/discharge system for oily mixtures (non-oceangoing > 100 GT & oceangoing 100 to 399 GT) Spill containment system at hose connections, vents & fills Oil transfer procedures available/posted (vessel with 10,500 gallon capacity) Oil transfer procedures contents complete (vessel with 10,500 gallon capacity)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
EPA 2013 VGP EPA VGP 1.5.1.1 or 1.5.1.2 EPA VGP 4.1.1		52	Incidental and Ballast Discharges (Vessels > 79 ft) NOI (Vessels ≥ 300 GT or 2,113 gallon ballast capacity) or PARI (Vessels < 300 GT and < 2,113 gallon ballast capacity) form submitted and copy retained Onboard vessel Records of Inspection (Once per week or per voyage, whichever more frequent) NOTE: A Small Vessel General Permit (sVGP) will be required for vessels less than 79 feet in length beginning December 18 th , 2017	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
See Job Aid page ↓		FIRE FIGHTING & PREVENTION EQUIPMENT	Yes No N/A	
46 CFR 142.315(a)		54	Certified rivers, lakes bays and sounds, < 3NM from shore on great lakes; OR Certified limited coastwise, coastwise, oceans or waters > 3NM from shore on Great Lakes (construction contracted for before 8/27/2003); OR Pushing barge ahead or hauling alongside restricted to "In fair weather only, within 12 miles of shore" must be equipped with either: B-V (160-B) semi-portable fire extinguishing system to protect engine room; OR Fixed fire extinguishing system to protect engine room (Not applicable for excepted vessels)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 142.315(b)		54	Certified limited coastwise, coastwise, oceans, or > 3NM from shore on great lakes (construction contracted for on or after 8/27/2003) must carry:	
46 CFR 142.315(b)	54	B-V (160-B) semi-portable fire extinguishing system to protect engine room; AND Fixed fire extinguishing system to protect engine room (Not applicable for excepted vessels)	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	



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<p><u>46 CFR 142.230</u></p>	<p>54</p>	<p>Fire Extinguishers – general Required number and type of hand-portable extinguishers on board? All required fire extinguishers CG or UL approved? CG <input type="checkbox"/> UL <input type="checkbox"/> All fire extinguishers in serviceable condition?</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 142.230</u></p>		<p># on board: B-I (10-B:C) B-II (40-B:C) B-III (120-B) B-IV (160-B) B-V (160-B)</p>	
<p><u>46 CFR 76.15</u> <u>46 CFR 95.16</u> <u>46 CFR 78.47-9</u></p>	<p>58</p>	<p>Fixed CO2 System <u>Fixed Clean Agent System</u></p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
		<p>Each carbon dioxide or clean agent fire extinguishing alarm must be conspicuously marked: "WHEN ALARM SOUNDS VACATE AT ONCE. CARBON DIOXIDE OR CLEAN AGENT BEING RELEASED."</p>	
<p><u>46 CFR 78.47-11(a)</u></p>		<p>Spaces storing carbon dioxide - "CARBON DIOXIDE GAS CAN CAUSE INIURY OR DEATH. VENTILATE THE AREA BEFORE ENTERING. A HIGH CONCENTRATION CAN OCCUR IN THIS AREA AND CAN CAUSE SUFFOCATION."</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 78.47-11(b)</u></p>		<p>Spaces protected by carbon dioxide - "CARBON DIOXIDE GAS CAN CAUSE INJURY OR DEATH. WHEN ALARM OPERATES OR WINTERGREEN SCENT IS DETECTED, DO NOT ENTER UNTIL VENTILATED. LOCK OUT SYSTEM WHEN SERVICING." The reference to wintergreen scent may be omitted for carbon dioxide systems not required to have odorizing units and not equipped with such units.</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 78.47-11(c)</u></p>		<p>(c) Spaces into which carbon dioxide might migrate - "CARBON DIOXIDE GAS CAN CAUSE INJURY OR DEATH. DISCHARGE INTO NEARBY SPACE CAN COLLECT HERE. WHEN ALARM OPERATES OR WINTERGREEN SCENT IS DETECTED VACATE IMMEDIATELY." The reference to wintergreen scent may be omitted for carbon dioxide systems not required to have odorizing units and not equipped with such units.</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 97.37-9</u> <u>46 CFR 76.15-60</u></p>	<p>58</p>	<p>Each carbon dioxide or clean agent fire extinguishing alarm must be conspicuously marked: "WHEN ALARM SOUNDS VACATE AT ONCE. CARBON DIOXIDE OR CLEAN AGENT BEING RELEASED." <u>* Odorizing unit "Wintergreen scent" is only required on systems installed or altered after July 9, 2013.</u></p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 142.220(a)</u> <u>46 CFR 142.220(b)</u></p>	<p>56</p>	<p>Fire Hazards Minimized Bilges and void spaces kept free from accumulating combustible and flammable materials/liquids Storage areas kept free from accumulating combustible materials</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 142.225(a)</u> <u>46 CFR 142.225(c)</u> <u>46 CFR 142.225(d)</u></p>	<p>56</p>	<p>Flammable/Combustible Product Storage Designated storage area or cabinet Storage cabinet (if used) MUST: Meets standards requirements Secured to vessel to prevent movement B-II (40-B:C) portable extinguisher must be located near the designated area (in addition to other extinguisher requirements)</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p><u>46 CFR 142.330(a)(1)</u> <u>46 CFR 142.330(a)(2)</u></p>		<p>Fire Detection (Not applicable for excepted vessels) All components Coast Guard approved or Listed by a NRTL as set forth in 29 CFR 1910.7 Installed, tested and maintained in accordance with manufacturer's manual</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>



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46 CFR 142.330(a)(3)	56	Arranged/Installed so fire in engine room automatically notifies operating Station	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.330(a)(4)		Control panel, audible & visible alarms at the operating station, two power sources, labels for all switches and indicator lights identifying their functions and maintained IAW manufacturer's manual	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.330(a)(8)		Vessel built before 1/18/2000 uses existing monitoring system with fire-detection capability that is operable and complies with rule.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.330(a)(7)		Verify proof of certification from Professional Engineer (P.E.) or class society	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
See Job Aid page ↓		EXISTING TOWING VESSEL CONSTRUCTION AND ARRANGEMENT REQUIREMENTS			
46 CFR 142.240	57	Inspection, Testing, Maintenance, and Records (<i>inspected, examined, tested and maintained in accordance with applicable references</i>) Fire detection system Fixed and/or semi-portable extinguishing system Portable fire extinguishers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325	60	Fire Pumps, Fire Mains, and Fire Hoses (<i>Not applicable for excepted vessels</i>) Towing vessel has either fixed or portable fire pump	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(e)(1)		Portable Fire Pumps Capable of producing minimum 80 gpm at no less than 60 psi	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(f)		Self-priming & power-driven, stowed outside of machinery space with hose & nozzle	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(e)(2)		Must have sufficient hose immediately available to attach to it so that a stream Of water will reach any part of the vessel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(a)(1)		Fixed Fire Pump Capable of producing 80 gpm at 50 PSI simultaneously from two highest hydrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(a)(2)		Capable of being energized from outside engine room & at pump	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(b)		All valves necessary for operation in open position; or capable of operation from remote valve	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(c)		Must have sufficient number of fire hydrants with attached hose to reach any part of the machinery space using a single length of fire hose	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.325(d)		Fire Hose Approved fire hose at each station (<i>minimum 1.5" diameter & 50' length</i>) Nozzle made of corrosion-resistant material capable of spray & straight stream	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.227	61	Fire Axe At least 1 fire axe readily accessible, exterior of vessel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.330(b)	61	Interior Smoke Alarms (<i>Not applicable for excepted vessels</i>) Berthing space & lounges have smoke alarms, either installed detection or individual battery operated to alert personnel, and are operational	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 142.330(c)	61	Galley Heat Detection (<i>NEW TOWING VESSELS ONLY</i>) (<i>Not applicable for excepted towing vessels</i>) Galley has heat detection system that sounds alarm at vessel operating station	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
See Job Aid page ↓		FIRE FIGHTING & PREVENTION EQUIPMENT (Cont'd)			
46 CFR 142.226(a)	61	Fire Fighting Outfit (<i>ocean & coastwise route; ≥ 79 ft without fixed system</i>) At least 2 firefighting outfits	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
46 CFR 142.226(b)		2 self-contained breathing apparatus (<i>minimum 30 minute air supply & full face piece</i>)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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46 CFR 137.220 (c)	69	Machinery, Fuel and Piping Systems Examine the condition of, and where appropriate, witness the operation of: (1) Engine control mechanisms, directional controls and emergency shutdowns (2) All essential machinery including generators and cooling systems (3) All fuel systems, including fuel tanks, tank vents, piping and pipe fittings (4) All valves in fuel lines, including local and remote operation (5) All overboard discharge and intake valves and watertight bulkhead pipe penetration valves (6) Means provided for pumping bilges (7) Machinery shut-downs and alarms	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.220(a) 46 CFR 143.220(b) 46 CFR 143.220(c)	70	Machinery Space Fire Prevention All seals & gaskets properly maintained to prevent flammable liquid leaks Piping & machinery components that exceed 428° F, including fittings, flanges, valves, exhaust manifolds & turbochargers properly insulated Flammable & combustible materials not stored in machinery spaces, unless stored in suitable container that meets requirements in 46 CFR 142.225	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.225(a) 46 CFR 143.225(b)	70	Control & Monitoring Requirements Vessel has means to monitor and control the amount of thrust, rudder angle, and (<i>if applicable</i>), direction of thrust at each operating station Vessel equipped with rudder(s) has means to monitor and control the position of the rudder(s) at each operating station	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.230(a) 46 CFR 143.230(a)(1) 46 CFR 143.230(a)(2) 46 CFR 143.230(a)(3) 46 CFR 143.230(a)(4) 46 CFR 143.230(a)(5) 46 CFR 143.230(a)(6) 46 CFR 143.230(a)(7) 46 CFR 143.230(b)(1) 46 CFR 143.230(b)(2) 46 CFR 143.230(b)(3) 46 CFR 143.230(b)(4) 46 CFR 143.230(c) 46 CFR 143.230(c)(1) 46 CFR 143.230(c)(2) 46 CFR 143.230(c)(3) 46 CFR 143.230(c)(4) 46 CFR 143.230(c)(5)	70	Alarms & Monitoring (<i>all vessels must have reliable means to notify if emergency condition exists, or essential system develops problems</i>) Following systems must be equipped with alarms: Main engine low lubricating oil pressure; Main engine high cooling water temperature; Aux generator engine low lubricating oil pressure; Aux generator engine high cooling water temperature; Bilge high levels; Low Hydraulic steering fluid levels, (<i>if applicable</i>); Low fuel level, (<i>if fitted with day tank</i>). Alarms must perform following functions: Visible & audible at each operating station; Have means to test actuation at each operating station; Continue until they are acknowledged; Not interfere with night vision at operating station. Following systems equipped with gauges visible at machinery location: Main engine lubricating oil pressure and main engine RPM; Main engine cooling water temperature; Aux generator engine lubricating oil pressure and aux generator engine RPM; Aux generator engine cooling water temperature; Hydraulic steering fluid pressure, (<i>if applicable</i>).	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.235(b) 46 CFR 143.235(b)(1) 46 CFR 143.235(b)(2) 46 CFR 143.235(b)(3)	71	General Alarms (Not applicable for excepted vessels) Each towing vessel must be fitted with a general alarm that: Is activated at each operating station to notify persons on board of emergency Capable of notifying in any accommodation, work space, and engine room Has installed, in the engine room and any other area where background noise makes a general alarm hard to hear, a supplemental flashing red light that is identified with a sign.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



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See Job Aid page ↓		MACHINERY AND ELECTRICAL SYSTEMS AND EQUIPMENT (Cont'd)	Yes	No	N/A
46 CFR 143.240	71	Internal Communications Two-way communications between pilothouse (wheelhouse) & engine room <i>(not required if more than one screw and independent pilothouse control for all engines)</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 143.245(a) 46 CFR 143.245(b)	71	Readiness & Testing Towing vessels must perform and record the following tests: a) Propulsion controls; ahead & astern at the operating station <i>(before vessel gets underway, but no more than once in any 24 hour period)</i> b) Steering controls at operating station <i>(before vessel gets underway, but no more than once in any 24 hour period)</i> c) Pilothouse alert system; required after allotted deferment time (5 years) from date of initial COI issuance. (weekly) d) All alternate steering/propulsion controls <i>(at least once every 3 months)</i> e) Power supply for alarm actuation circuits <i>(at least once every 3 months)</i> f) Communication – internal <i>(weekly)</i> g) General Alarm <i>(weekly)</i> h) Emergency lighting and power if vessel is equipped <i>(at least once every 3 months)</i> i) Storage batteries if vessel is equipped, for emergency light and power <i>(at least once every 3 months)</i> j) Alarm set points – IAW 46 CFR 61.40-10 <i>(Twice every 5 years, with no more than 3 year elapsing since last test)</i> k) Pressure vessel relief valves <i>(Twice every 5 years, with no more than 3 year elapsing since last test)</i> l) All other essential systems <i>(at least once every 3 months).</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 143.250(a) 46 CFR 143.250(b) 46 CFR 143.250(c) 46 CFR 143.250(d)	72	System Isolation & Markings <i>(electrical equipment, flammable or combustible liquid piping, seawater cooling, or fire-fighting systems)</i> Electrical equipment provided with circuit isolation and marked (§ 143.400) Electrical panels or enclosures with more than 1 source of power fitted with a sign warning of the condition and where to secure all sources Piping for flammable liquids, seawater cooling, or firefighting systems must be fitted with isolation valves clearly marked by labeling or color coding Piping system that penetrates the hull below the waterline fitted with accessible valve, as close to hull penetration as possible to prevent flooding. Valve clearly marked by labeling or color coding	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 143.255(a) 46 CFR 143.255(b) 46 CFR 143.255(c) 46 CFR 143.255(c)(1) 46 CFR 143.255(c)(2)	73	Fuel System Documented maintenance plan Continuous supply of clean fuel Fuel system uses filters and/or purifiers When filters are used: A supply of spare fuel filters must be provided on board; and Filters replaced in accordance with manufacturer's requirements.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46 CFR 143.260(b) 46 CFR 143.260(c) 46 CFR 143.260(d)	73	Fuel Shutoff <i>(Not applicable to excepted vessels)</i> Remote fuel shutoff valve fitted on any fuel line supplying fuel directly to an engine or generator Valve installed in fuel piping DIRECTLY outside fuel supply tank Valve operable from a safe place outside the space where valve is installed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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46 CFR 143.260(e)		Each remote valve control marked (1 inch letters) indicating purpose and the way to operate it	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.265(b) 46 CFR 143.265(c) 46 CFR 143.265(d)	73	Additional Fuel System Requirements (vessels built after 1/18/2000) (Not applicable for excepted towing vessels) No portable fuel systems for main operation of vessel Vent pipes for fuel tanks Fuel Piping	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.270	74	Piping Systems & Tanks Piping and tanks exposed to the outside of the hull are made of metal and maintained in a leak free condition	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.275	74	Bilge Pumps/Dewatering Capability Vessel has installed or portable bilge pump for emergency dewatering Installed bilge piping has check/foot valve in each bilge section Portable pump (if carried) has sufficient hose length and pumping capability	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.300(a) 46 CFR 143.300(a) 46 CFR 143.300(b) 46 CFR 143.300(c)	74	Pressure Vessels – Existing Vessel - installed before July 20, 2016 (Pressure vessels over 5 cubic feet volume; over 15 psi maximum allowable working pressure) Equipped with an indicating pressure gage in a readily visible location Equipped with 1 or more spring-loaded relief valves Pressure vessels externally examined annually Pressure vessel has stamp, nameplate, or some other means to indicate MAWP	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.400(a) 46 CFR 143.400(b) 46 CFR 143.400(c) 46 CFR 143.400(d) 46 CFR 143.400(e) 46 CFR 143.400(f) 46 CFR 143.400(g) 46 CFR 143.400(h) 46 CFR 143.400(i)	75	Electrical Systems Functions properly and minimizes system failures, fire hazards, & shock hazards Capable of carrying the electrical load of the vessel under normal operating conditions Electrical equipment is marked with its respective current and voltage ratings Individual circuit breakers on switchboards and distribution panels labeled with a description of the loads they serve Electrical connections are suitably installed to prevent from coming loose through vibration or accidental contact Electrical equipment and electrical cables are suitably protected from wet and corrosive environments Electrical components that pose an electrical hazard are in an enclosure Electrical conductors passing through watertight bulkheads are installed so the bulkhead remains watertight Connections of flexible cable plugs and socket outlets must be designed to prevent unintended separation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.410(a) 46 CFR 143.410(b) 46 CFR 143.410(c)	75	Shipboard Lighting Sufficient lighting suitable for marine environment provided within crew working and living areas Emergency lighting provided for all interior crew working and living areas Vessel equipped with at least 2 portable, battery powered lights located in the pilothouse and at access to engine room	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
46 CFR 143.415(a) 46 CFR 143.415(b)	75	Navigation Lights Towing vessels > 65 ft; navigation lights meet UL 1104 standards Towing vessels ≤ 65 ft; navigation lights meet 33 CFR 183.810 or UL 1104	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
See Job Aid page ↓ EXISTING TOWING VESSEL CONSTRUCTION AND ARRANGEMENT REQUIREMENTS			Yes No N/A
46 CFR 137.220 (b)	77	Hull Structure and Appurtenances Examine the condition of all accessible parts of the exterior and interior of the Hull, the watertight bulkheads, and weather decks	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



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<p>46 CFR 144.160(a) 46 CFR 67.121</p> <p>46 CFR 67.123(a)(b) 46 CFR 97.40-10 46 CFR 144.160(d) 46 CFR 144.160(e)</p> <p>46 CFR 144.160(f)</p>	<p>77</p>	<p>Vessel Markings Vessel official number marked on clearly visible interior structural part of the hull Vessel name and hailing port properly marked Vessel draft markings properly displayed Load line and Deck line markings properly displayed (<i>if applicable</i>) Watertight doors and hatches marked with "WATERTIGHT DOOR – KEEP CLOSED" or "WATERTIGHT HATCH – KEEP CLOSED" Escape hatches and Emergency exits marked with "EMERGENCY EXIT, KEEP CLEAR"</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p>46 CFR 144.320(a) 46 CFR 144.320(b)</p>	<p>77</p>	<p>Watertight or Weathertight Integrity (Must be in compliance July 20, 2016) Main deck must have sufficient freeing ports or scuppers allowing water to run Off the deck quickly without adversely affecting stability Closure devices provided for cabin or hull penetrations, which open to the exterior of the vessel and which may allow water to enter the vessel (<i>Devices must be suitable for the expected route</i>)</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p>46 CFR 144.415</p>	<p>78</p>	<p>Combustibles Insulated Engine exhaust, galley exhaust ducts, and similar ignition sources insulated with noncombustible insulation if less than 18 inches away from combustible material</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p>46 CFR 144.500 46 CFR 144.505</p>	<p>78</p>	<p>Emergency Escape Each space where crew may be quartered or normally employed has at least Two means of escape (<i>arrangements on existing vessel may be retained if impracticable or unreasonable to provide two means</i>) Two means of escape widely separated, and if possible, at opposite ends or Sides (<i>Note: See part 144.515 for exception requirements for one means of escape from a space</i>)</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p>46 CFR 144.600 46 CFR 144.605 46 CFR 144.610</p>	<p>78</p>	<p>Ventilation Each accommodation space is ventilated in a manner suitable for the purpose of the space Means provided for stopping each fan in a ventilation system serving machinery spaces and for closing, in case of fire, each doorway, ventilator, and space around funnels and other openings Vessel > 65 feet with overnight accommodations have a mechanical ventilation system unless a natural system will provide adequate ventilation in ordinary weather</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>
<p>See Job Aid page ↓</p>		<p>EXISTING TOWING VESSEL CONSTRUCTION AND ARRANGEMENT REQUIREMENTS (cont'd)</p>	
<p>46 CFR 144.700(a) 46 CFR 144.700(b) 46 CFR 144.710 46 CFR 144.720</p>	<p>78</p>	<p>Crew Spaces Crew accommodations and work spaces are of sufficient size, adequate construction, and with suitable equipment to provide for safe operation and the protection/accommodation of the crew Deck above crew accommodation spaces are located above the deepest load waterline Overnight accommodations provided for crewmembers when vessel is operated more than 12 hours in a 24 hour period (<i>unless crew is switched out ashore</i>) Crew accommodations help provide a suitable environment for sleep and off-duty rest</p>	<p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>



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<p>46 CFR 144.800(a)</p> <p>46 CFR 144.800(b)</p> <p>46 CFR 144.810</p> <p>46 CFR 144.820</p> <p>46 CFR 144.830</p>	<p>79</p>	<p>Rails and Guards</p> <p>Rails or equivalent protection installed near the outer edge of all decks Accessible to crew (<i>lifelines, wire rope, chains, bulwarks</i>)</p> <p>Hand grabs substituted where space limitations make rail use impractical</p> <p>Suitable storm rails of hand grabs installed in all passageways and at the deckhouse sides where persons on board have normal access (<i>Oceans/Coastwise only</i>)</p> <p>Exposed hazards such as gears and rotating machinery are protected by a cover, guard, or rail.</p> <p>Engine exhaust pipes within reach of personnel is insulated or otherwise guarded to prevent burns</p>	<table border="0"> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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<p>46 CFR 144.905(a)</p> <p>46 CFR 144.905(b)</p>	<p>79</p>	<p>Visibility</p> <p>Windows and other openings at the operating station are of sufficient size and properly located to provide a clear field of vision for safe operation in any condition</p> <p>Means provided to ensure that windows immediately forward of the operating station in the pilothouse allow for adequate visibility regardless of weather conditions (<i>windshield wipers, defoggers, clear-view, etc., for intended route</i>)</p>	<table border="0"> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>									
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																
<p align="center">HAZARDOUS CONDITIONS</p>																		
<p>33 CFR 160.111</p> <p>33 CFR 160.215</p>		<p>Do conditions exist that may pose a hazard to the vessel, crew, or environment?</p>	<p>Yes No N/A</p> <table border="0"> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																
<p align="center">TOWING SAFETY MANAGEMENT SYSTEM (TSMS)</p>																		
<p>46 CFR 137.220(a)</p>		<p>Vessel enrolled in a certified TSMS, and TSMS policies/procedures available</p> <p>Internal and External audits have been performed recordkeeping requirements are met</p> <p align="center">INTENTIONALITY LEFT BLANK</p>	<p>Yes No N/A</p> <table border="0"> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>									
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NOTES & RECOMMENDATIONS

The notes and recommendations on this page are not mandatory requirements but are recommended best practices

Surveyor's Name:		
Electronically Signed:		
Date:		
Vessel Representatives Name:		
Electronically Signed:		
Date:		



Kirby Inland Marine
Vessel Safety/Quality Internal Audit Checklist

Name of Vessel: _____

Official #: _____

Hailing Port: _____

Date Built: _____

Gross Tons: _____

Length: _____

Horsepower: _____

Audit Date: _____

Location: _____

Auditor Name: _____

Each item on the audit checklist will be signed off as either Satisfactory, Deficient, or N/A. Any items found to be "Deficient" the auditor will provide a comment or explanation describing the condition found, which may result in a finding. Findings will be documented on a Vessel Safety/Quality Internal Audit Report (QA-10-XX) with target completion dates for corrective action. Company-owned vessel audits are then recorded in Helm by Compliance.

Management personnel, including vessel Masters, responsible for the area involved in an audit are to ensure any finding corrective actions are addressed. Audit finding corrective actions are to be completed per C6.060: The Port Captain will follow up with the boat crew, when necessary, to ensure discrepancies have been corrected and verify corrective action completion by approving it in Helm prior to the next annual audit.

The Charter Vessel Owner will log and follow their process for tracking any findings for completion. A response will be submitted by the Charter Vessel Owner outlining the date the corrective action was implemented. This response will be sent to Kirby Logistics Management by the assigned target date. Charter Owners may deviate from this policy when permitted by Kirby Logistics Management. After receipt of the response, a Kirby Logistics Management Port Captain will board the audited vessel as additional verification, ensuring all items have been addressed to the satisfaction of Kirby.

Asterisk (*) denotes the usage of charter owned policies/procedures.

Auditee Signature: _____

Auditor Signature: _____

Vessel: _____

Auditor: _____

1.01 Verify crewmembers' credentials (Operating License, Radio License and Radar Endorsement for all Wheelmen, Merchant Mariners Credential - PIC, TWIC, and Benzene Card*):

SAT	DEF	N/A

Reference: 33 CFR 104.220; Company Policies; C6.003; C1.045

Check for Medical Certificate (required for MMC holders with issue date or renewal date after 1/24/14).

Ensure the MMC and med certs are signed by the crew member.

Name	Position	M/C	TWC	MMC	RROL	Benzene
------	----------	-----	-----	-----	------	---------

[illegible]

Certification and Documentation		Procedure Reference	SAT	DEF	N/A
1.02	Has the vessel been provided operating policies and are these being followed? General policy awareness and compliance. For example: Current policies posted: Core Values, Drug & Alcohol, Environmental, Quality, Safety, Security, Equal Opportunity, Duty to Report Violations, Employee Assistance Program and Duty List. Posted in common areas (i.e., Galley, berthing hallway, fridge magnet). Ensure the Sexual Assault and Sexual Harassment Policy and Reporting Guidelines are posted in each berthing area and toilet/shower room on the vessel. *General crew awareness and compliance.	Company Policies; C4.120 (SASH)			
1.03	Verify current revisions of TSMS procedures onboard; Cargo Handling Procedures, Vessel Operating Procedures, and DORM are available (in Helm). *Ensure crew can access and locate procedures in Helm.	Cargo Handling, Vessel Ops, Dock Operations Reference Manual			
1.04	Is the crew aware of the Vessel Plan for Success? When was the last time it was reviewed by the crew?	Safety Action Plan			
1.05	Is risk analysis being performed and is documentation available? Are crewmembers participating in Pre-Critical Task Conferences and are they documented in Helm? What is discussed during the PCTCs? When was the last PCTC conducted?	C1.170			
1.06	Does the Master (Captain and Relief Captain) review the "Safety Management System" and report to the operator on any deficiencies? Monthly Master review is documented in Helm showing both masters participation.	C6.002			
1.07	Are policies relating to work and rest periods in place and is there evidence of compliance? (Deck crew logged in Helm) (Wheelhouse logged in Atlas) Are crews knowledgeable of how many hours they can work in a 24-hr. period?	C2.020			
1.08	Has the operator's representative visited the vessel within the last six months? (Shore side representative - example: Port Captain)	B3.010; D12.06			
1.09	Is a recent operator's audit report available and is a close-out system in place for dealing with non-conformities (current within 365 days)? Are there any outstanding open corrective actions?	C8.010			
1.10	Are required drills and safety meetings completed and logged in Helm? Ensure they are current and logged (Helm). Verify full crew participation.	C1.005			
1.11	Have crew members new to the vessel completed a Vessel/Barge Orientation and is it being recorded in Helm? Were Vessel/Barge Orientation Checklists completed by all crews within the first hitch (Helm)? *Were Sub M safety orientation elements completed before the vessel got underway?	46 CFR 140.140; C5.010			

1.12	Are crew members familiar with the measures in place to prevent unauthorized boarding (Restricted Area signage, challenged by crew at boarding)?	C7.010			
1.13	Vessel Visitor Log available and utilized (security briefings, safety video for third party visitors)?*	C7.010			
1.14	"USCG MARSEC Level" placard is posted for current MARSEC Level in the Galley?	C7.010			
1.15	Are crew members familiar with the procedure for the storage, transfer, and disposal of waste? Are receipts maintained for each disposal of waste and DOI for fueling (30 days) documented in Helm?	C12.010			
1.16	Is a planned/preventative maintenance system being followed and properly documented? (Ensure maintenance needs are documented using the Machinery, Engine Room, Towboat Hull, or M&R tab in Helm.)	C9.010			
1.17	Is the General Arrangement Plan available and posted at each deck (to include fire extinguisher location)? Does the crew know from who to request revisions?				
1.18	Is an operational depth sounder provided? Are crewmembers knowledgeable of the Sounder Deployment and Removal procedure?	C2.095			
1.19	Are operational binoculars provided? Where are they kept?				
1.20	Pilothouse electronic crawl space (cleanliness, electrical/fire hazards, batteries secured/covered labeled/identified).	Best Practice; C2.030			
1.21	Is the crew aware of the Kirby Safety Action Plan? Can they identify key initiatives from the plan?	Safety Action Plan			

Roles and Responsibilities		Procedure Reference	SAT	DEF	N/A
2.01	Are crew members familiar with their basic duties and responsibilities?	C6.003; C6.005			
2.02	Is the Captain aware of his authority as Master/OOW?	C6.002			
2.03	Has the Master/OOW completed the Rose Point tutorial in the last 3 years?				
2.04	Did Captains and Relief Captains complete Orientation Checklists as required (Helm)? Are they familiar with when they need to be completed?	C5.040			
2.05	Are crews completing Health and Safety CBTs in a timely manner per the Training Matrices in procedure?	C5.020			
2.06	Are crew members familiar with the DPA, QI and CSO, their roles and how and when they are contacted?	Form VO-53			
2.07	Are crew members familiar with their Station Bill duties? Is crew familiar with Emergency procedures and where they are posted?	C6.003, C4.080			

Navigation and Comms		Procedure Reference	SAT	DEF	N/A
3.01	Are vessel Master navigation audits and shore side navigation audits completed at intervals not exceeding 12 months?	C6.008			

3.02	Verify orders on hand have been properly received (hard copy available w/crew member signatures). Note: Only required if assigned vessel has taken action to complete the orders. Review Transfer Plan, if applicable.	C10.020			
3.03	Is the vessel provided with adequate navigation instructions and procedures? Navigation Guide onboard and current?	C3.101; C3.200; C3.220; C3.205			
3.04	Are the air drafts clearly displayed in the wheelhouse?	C3.101			
3.05	Was a comprehensive passage plan available for the previous/current voyage and does it cover the full voyage from berth to berth?	C3.025			
3.06	Are OOW familiar with procedures for the testing of bridge equipment before departure? Evidence of completed Tests and Inspection logs in Helm?	C3.020			
3.07	If an electronic chart display information system is fitted, is it fully operational with current updates? If equipped with 1 Rose Point system, is a thumb drive installed to back up changes and publications? If equipped with 2 Rose Point systems, are both being updated and powered independently? (NVIC 01-16) When was the last Notice to Mariners download?	C3.026; C3.027			
3.08	Is crew knowledgeable of the BNWAS system and use? Evidence of checks in Helm (Tests and Inspections / BNWAS and VHF Systems Check) Is BNWAS operational when tested? Ensure motion sensors are not manipulated or falsely being tripped by any means other than designed.	C3.020; C3.045			
3.09	Is crew familiar with procedures to respond to a failure of the steering gear and are pump change over instructions posted and understood (loss of steering instructions posted in wheelhouse)?	C13.010; C4.080; VO-33			
3.10	Do personnel demonstrate evidence of adequate training in towing operations and emergency notification and response procedures? Crew members familiar with loss of steering and incapacitated wheelman procedures.*	C1.005; C13.010			
3.11	Is a check-off report turned in or documented in Helm, verifying that required navigation and communication equipment is present and in good working order? (For Kirby-owned vessels, nav and comms equipment verification is documented on the Helm Test and Inspections log, which is required to be completed before the vessel gets underway, but no more than once in any 24-hour period, and at each change of Master.)	C3.020			
3.12	Are required radiotelephone entries logged? (weekly and monthly entries logged) (47 CFR 80.405, 80.409(e) and (f)). Available in Helm (Tests and Inspections / BNWAS and Safety Communications Check / Safety and Lifesaving Gear-Monthly).	C3.020; C3.045			
3.13	Is the vessel equipped with a VHF radio in good working order? (33 CFR 164.72 (a)(3)) Is the vessel equipped with an additional VHF radio capable of connection to battery backup? Test. In addition to radios in wheelhouse (1) VHF radio must be available/designated in common area (lounge or galley) for 24hr radio monitoring.	C3.020			
3.14	Is the vessel crewed and operating according to the COI? Is the original Certificate of Documentation and/or Certificate of Inspection on board posted in a frame with a glass cover or other transparent material? (46 CFR 136.220) FCC license?	46 CFR 136.220			

3.15	Are OOW knowledgeable with No Sail Items procedure C3.040? Radars, BNWAS, Main engines, depth sounder, engine room machinery, etc.	C3.040			
3.16	Are searchlights installed and functional? (33 CFR 164.72(a)(2)) A minimum of 2 spare bulbs, if equipped with a halogen must be available, unless equipped with only Xenons.	33 CFR 164.72(a)(2)			
3.17	Is a handheld VHF radio on board and functional? Minimum of 2 that meet intrinsically safe standards. Only intrinsically safe radios shall be on board.	C2.060			
3.18	Are lookouts being utilized? If yes, when and are they being tracked? (Work/Rest Hours form)	C3.155			
3.19	Are vessels aware of the vessel specific plans? Grease Plan, Fuel Transfer Plan, Oil Change Plan				
3.20	Is there a deviation card for the magnetic compass on board? Not required for vessels operation only on the Wester Rivers (last deviation when installed)	33 CFR.164.11 (i); 33 CFR 164.72 (4)			

Firefighting, Emergency and Lifesaving Equipment		Procedure Reference	SAT	DEF	N/A
4.01	Are entries in Helm Fire Protection Equipment and Safety and Lifesaving Gear logs made monthly verifying that required firefighting and lifesaving equipment is present and in good working order?	* C1.080			
4.02	Are sufficient number of Coast Guard Approved hand-portable fire extinguishers and semi-portable fire extinguishing systems available and in good working condition? (46 CFR 142.230 and 142.315) Portable 40-B:C (minimum 10-B:C) fire extinguisher (properly mounted with appropriate Coast Guard Approved bracket, current tag and sealed) in the following areas: (1) Wheelhouse, (1) Passageways (1) Galley, (1) Near the entrance to areas where combustible materials are stored (paint lockers, forward hold). (4) 40-B:C portable extinguishers available for barge/spare inventory. (1) 40-B:C fire extinguisher for each 1,000 brake horsepower of the main engines to be placed in an accessible location in the upper engine room. In addition, a 160-B Semi-portable fire extinguisher welded or permanently attached (minimum 100lb CO2 or 50lb dry chemical) w/cover, must be made available if not equipped with a fixed CO2 system. CO2 system must have operating instructions in appropriate locations as well must have current testing (tag) and general condition must be acceptable.*	46 CFR 142.230; 142.315 C2.130			
4.03	Is a Coast Guard-approved ring buoy on board the vessel? (46 CFR 141.360) Number of ring buoys in accordance with COI located on opposite sides of the vessel (1) without life line and (2) floating electric water lights attached (3-6ft) with disconnecting clip. Reflective tape with vessel name marked (blocked capital letters) and approximately 60' life/throw line attached to the body of at minimum (1) buoy. Check condition/integrity. Is Crew knowledgeable of when and how to use the ring buoy? Are the batteries for the floating electric lights lithium batteries? Ensure batteries are within 1 year of installation. Date should be labeled/marked on the light housing. (as noted on the light hosing)	46 CFR 141.360			

4.04	Are fire hydrants with hose and nozzle marked and in good working condition? The fire main must have a sufficient number of fire hydrants with attached hose to allow a stream of water to reach any part of the machinery space using a single length of fire hose. Is a remote starter for fire pump installed? Available at fire pump and outside main engine compartment (main deck). Test for proper operation, and where is the evidence of testing maintained?*	C2.130			
4.05	Is a first aid or trauma kit available? Current First Aid Kit, Burn Kit, Bloodborne Pathogen Kit, Airway Kit, and Eyewash Station/Kit. If operating on oceans, coastwise, or Great Lakes routes has a means to take blood pressure readings, splint broken bones, and apply large bandages for serious wounds?	C1.080			
4.06	Medical First Aid Oxygen units are ready-for-use; the needle is in the green, unit is clean, and cylinders have hazard labels?*	C1.080			
	Make Ready 50 Point Inspection (required by Charter)*				
4.07	AED overall condition.* Serial # _____ Exp. _____	C1.080			
	(1) Completed monthly checks in Helm.				
	(3) Complete contents: CPR Pocket Mask, scissors, disposable razor, surgical gloves, cleaning pads, cotton pads and extra AED pads. Check expiration dates for alcohol wipes and AED pads.				
	(4) Ensure a lithium battery is installed in AED battery pack. (Lithium 9 volt battery and yellow battery pack - Check battery expiration dates. Lithium 9-volt battery expiration date should be the same as the AED pads, and match the dates in the Safety and Lifesaving Gear - Monthly Inspection in HELM). Expiration dates for the Lithium 9-volt, battery pack and AED electro pads should be labeled/marked on the outside housing of the AED or on the viewable side of the battery. Battery should not be removed from the AED.				
4.08	Are smoke alarms to protect all accommodation spaces installed and in good working condition? Available in sleeping quarters, in the passage ways outside of sleeping quarters, in lounge areas and in the galley and within a 10-year expiration date.	C1.090			
4.09	Overall condition of skiff. Ensure skiff box is available with the following contents: running lights, bailer, paddle, horn, and spare drain plug. Skiff motor bolted to transom. Rated capacity and/or weight limits on the USCG placard. Adequate boat lines available. Vessel's official number labeled on skiff with a "-1" at the end, no less than 3 inches in height. Check condition of the kill switch lanyard. Ensure it is in a usable condition: long enough to clip on the life vest, not dry rotted, would be functional when used.	C1.100; 33 CFR 173.13; 33 CFR 173.27			
4.10	Did the vessel crew conduct a satisfactory Fire Drill? See Fire Drill Report attached. If Fire Drill was not conducted due to extenuating circumstances, make note of that on the Fire Drill Report.	C13.010			
4.11	Is the Skiff Pre-Departure Form filled out as required in HELM? When was the last documented: _____	C1.100			

Environmental Controls		Procedure Reference	SAT	DEF	N/A
5.01	Is containment of fuel oil and bulk lubricating oil present and free of any trash (rags), obstructions, cracks or leaks? Fuel vent pipes must have 30x30 mesh flame screens installed.				
5.02	Is an oil spill contingency plan in place to address personnel responsibilities in case of fuel spill from the towing vessel? Are crewmembers aware of their responsibilities?	C14.010			
5.03	Is a spill kit sufficient for deck spills carried aboard the towing vessel? Ensure adequate number of kits. Quick Action Spill Kit: 1/2 full stay dry, (5) spill pads, (1) pair rubber gloves, and plastic garbage bags. Oil spill supplies: (2) 100 ct bale pads, (2) 100ft. spill boom, (2) 50lb bags of Oil Dry, (1) bundle of shingles or plug and wedge kit, water cut paste, gauge tape.	C12.010			
5.04	Drain/fill spigots capped or double valved (lube, fuel and hydraulic).				
5.05	Fuel transfer hose tagged with current test date (MAWP, Oil Service, ID#), if equipped. Does hose have cap/plug or equipped with back pressure shut-off valve?	C14.010			
5.06	Fuel tank sight glasses with valves closed (leaking). Magnetic sight glass (closed loop) valves must stay opened. Are high level fuel alarms installed?	C14.010			
5.07	Main bilge adequately clean. Ensure bilge overboard valve is equipped with a serialized break away seal installed with numbers and date of installation. (Documented in Helm Maintenance and Operations Requirements log)* Serial # _____	Weekly Pump Checklist			
5.08	Does the pumping system for discharging oily mixtures comply with regulation and procedures? Only applies to vessels greater than 100GT.	C12.010			

Machinery/ Engine Room / Towboat hull		Procedure Reference	SAT	DEF	N/A
6.01	Do propulsion systems including engines, reduction gears, clutches, controls, shafting, bearings show evidence of compliance with company maintenance program? Obvious and/or potential wear that could cause failure. How often are propulsion systems inspected? Evidence? Is crew aware of alternate steering and propulsion testing requirements? Tests and Inspections in Helm and Alternate Steering and Propulsion Checks inspection for specific vessels.	C9.005; C3.020; 46 CFR 143.245(b) Alternate Steering and Propulsion Control Checks-inspection			
6.02	Do steering systems show evidence of compliance with company maintenance program?	C9.005			
6.03	Do miscellaneous auxiliary systems show evidence of compliance with company maintenance program?	C9.005			
6.04	Are engine alarms installed and functional, or is an individual assigned responsibility to monitor the following systems? Verify responsibility, monitoring, and testing (Documented in Helm Quarterly Maintenance and Operations Requirements log)*	C9.010			

6.05	Is a general alarm installed and functional and audible in all compartments? <u>Verify responsibility, monitoring and testing.</u> Equipped with supplemental red strobe light installed in machinery spaces and in spaces general alarm cannot be heard. (Documented in Helm Weekly Maintenance and Operations Requirements log)*	C9.010; 46 CFR 143.235			
6.06	Utility crane, if equipped (cable, crane cable hook and safety latch, ferrule connection, slings, spreader bar, hydraulic hoses, operating instructions, and load rating indicator w/decal). Check for lubrication @ crane mount swivel point. Max load identified on crane. Hook and cable rates.				
6.07	Air compressors and tanks (relief valve, data plates legible). Compressed air supplies are labeled and have crows foot or quick connect fitting installed. Air hose must be in good condition with safety clips installed when in use.				
6.08	Shaft packing gland (double-nutted securely), if equipped.				
6.09	Batteries/charger(s) (secured, covered, cables).				
6.10	Work bench grinder and, if equipped, handheld grinder meets company policy requirements in C2.140.4.3.2? Are crew members familiar with policy?	C2.140			
6.11	Are watertight closures (doors, hatches, airports, windows, etc.) able to be secured and are watertight gaskets installed? Gaskets with minimum defect. Watertight doors labeled (1-inch lettering) "Watertight Door - Keep Closed" on both sides. Weathertight doors labeled (1-inch lettering) "Weathertight Door - Keep Closed." Weathertight can withstand water pressure from the outside. Watertight can withstand water pressure from both sides.	46 CFR 140.610			
6.12	Are piping systems labeled or color coded and diagrams maintained on board the vessel? Shall be labeled as well as color coded according to Company color scheme. Is the piping color code chart posted? (Gray Water label/decal is available in I-pro, start auditing January 2023)	C9.060 Paint Color Code List-Piping			
6.13	Fuel piping diagram posted (near or in engine spaces). Posted in common spaces is acceptable. Ensure the fuel piping diagram is posted with the vessel specific fuel/lube oil transfer procedures. To include lube transfer procedures. Are crew members familiar with boat specific procedures	(33 CFR 155.720) Vessel Specific transfer procedures			
6.14	Is the company lock-out/tag-out procedure in use aboard the vessel? Lock-out tags and repair tags available. Lockout - Tagout Master Log, available in Helm; printed and maintained onboard.	C1.150			
6.15	If fendering is fitted, is it in a satisfactory condition?				
6.16	All stairways and ladders must be unobstructed, non-skid installed (slip-resistant) with highlighted edges on steps. Highlighted edges should be painted white or yellow, or covered installed (Highlighted step edges are observations until added to procedure.)	Best Practice			
6.17	Shaft Alleys unplugged for de-watering	Best Practice Towboat Inspection- Daily			
6.18	Exhaust lagging in good condition. No missing or loosing lagging.	46 CFR 143.220 Best Practice			

6.19	Is the forward hold in satisfactory condition? Check for any leaks, hull corrosion. If safe to do so, check the area around the push knees. Ensure no flammable liquids are stored in forward hold.	Best Practice			
6.20	Overall condition of the water filtration system and water filters.	Best Practice			
6.21	Is there an 18" safety perimeter painted on the upper engine room grating (Fiddle) around the exhaust stack/manifold? Can be painted white or yellow, must be visible, and no equipment/gear stored within the perimeter.	C9.060			
6.22	Are operational instructions posted in a visible location for the alternate steering and propulsion controls located in the engine room? (Applicable only to those vessels equipped with the alternate steering and propulsion in the engine room)	Best Practice			

Boat and Barge Rigging		Procedure Reference	SAT	DEF	N/A
7.01	Are crews familiar with procedures for the safe use of wires, lines, chains, shackles, ratchets, winches, and capstans?	C2.040; C2.050; C2.075			
7.02	Are crews familiar with procedures that identify the minimum rigging requirements for the vessel? Verify minimum requirements are onboard/available (as per the Vessel Essential Inventory, VO-52-XX) or exemption letter onboard.*	C2.080 Form VO-52			
7.03	Is there a copy on board of the inspection and replacement program for rigging? Are crews familiar with it?	C2.080 Form VO-52			
7.04	Is the crew familiar with the rigging inspection and replacement program? How often is it inspected? Evidence?	C2.080 Form VO-52			
7.05	Is there a copy on board of the policy on the minimum specifications for each element of rigging? Are crew familiar with it?	C2.080; C2.040			
7.06	If separate push winches and wires are utilized, are they being properly maintained and are they in satisfactory working order (face rope tags should be permanently affixed or stowed on board with serial number legible and have date of manufacturing tag attached - max 30 months)? Are guards installed on gear/crank at dog? Are face ropes stowed on deck while not in use?* Are winches equipped with locking gear guards with a cut out to allow the dog to engage the cog without having to remove the guard?	C2.040; C2.050; C2.065			
7.07	Are the "Danger Zone" areas painted on the deck to identify the Line of Fire? Are crews familiar with these areas on deck? Does the crew understand the dangers presented in these areas? Verify white stripes painted with "DANGER ZONE" painted in black letters in areas on deck where lines, wires, ropes are utilized.	C9.060			

Other Safety and Equipment / Personal Protective Equipment		Procedure Reference	SAT	DEF	N/A
8.01	Paint and flammable liquid/material storage space adequate (gasoline stowed outside in approved containers). Storage cabinet meeting UL 1275 standards or made of steel with venting capabilities should be available and secured to prevent movement. Ensure (1) 40-B:C (min 10-B:C)	C1.110			

	portable fire extinguisher is mounted in the vicinity.				
8.02	Are hazardous materials and chemicals stored properly and containers labeled correctly per procedure?	C5.060			
8.03	Inspect Fall Arrest Protection. Standard forged stainless-steel eye bolts rated at 5000 lbs. should be used as anchor point with aluminum carabiners (similar rating). Check for excessive corrosion/wear. Replace, as necessary.* If equipped, the self-retracting lanyard must be stowed in the fully retracted position. When do you use?	C1.195			
8.04	Designated smoking area available with signage (50721)?	C1.210			
8.05	Stove/stove vent hood (clean with sea guard and vent filter).				
8.06	Appliances secured (refrigerator, stove, freezer, TV, microwave).				
8.07	Floor mats (rubber backed only). Available at doorways leading outside/inside.				
8.08	Adequate ventilation and heating.	46 CFR 144.600			
8.09	Head cleanliness (shower stall, non-skid deck, soap tray, slipping hazards, curtain, handrail).				
8.10	Bunk beds (Ladder for access to upper bunks fixed, fall protection, accessibility).				
8.11	Alcohol Breath Analyzer w/ annual inspection documentation.* Ser# _____ TD _____	C1.080			
8.12	Post-Accident kits (urine bottles - 1 per bunk).*	C1.080			
8.13	H2S monitor (conduct alarm test).* Are crew familiar with related procedure and use? Ensure H2S monitors are not past 24 months.	D02.23; H7.812			
8.14	Temperature Probe certificate w/current test date, if required (unit number, calibration/test date). Portable Temperature Display Units (PTDU) do not require calibration/test date/certificate.	D02.23			
8.15	PEG 400 stored indoors, container in good condition and label legible? PEG 400 does not expire; manufacturer recommends replacement after 2 years.	C1.130			
8.16	Oil sample bottles (min. 1 box).*	C9.010			
8.17	"Caution" barricade tape (1 roll).*				
8.18	Benzene regulated area cones (2).*				
8.19	Plug and wedge kit or bundle of shingles.*	C1.080			
8.20	Do crewmembers demonstrate an understanding and proper use of PPE? Is a proper inventory of PPE maintained onboard; labeled "PPE Storage"?	C1.060; VO-52			
	PPE Buckets/Bags: (1) per crewmember with complete contents available.*	C1.060			
	Water activated lights on work vests and PFDs; check expiration dates.	C1.060			
	Safety glasses - Qty - 12 Clear, Qty - 12 Tinted*	C1.060			

	Chemical splash goggles - Qty - 2 Spare*	C1.060			
	Work vests - Qty - 2 Spare*	C1.060			
	Ear muffs protectors - 2 pair (minimum)	C1.060			
	Hard hats - Qty - 2 Spare*	C1.060			
	Slicker suits - Qty - 2 Spare *	C1.060			
	Steel toed rubber boots - 2 Spare pair	C1.060			
	Chemical gloves - Qty - 4 ea. (M), (L), (XL)	C1.060			
	Leather gloves - Qty - 4 ea. (M), (L), (XL)*	C1.060			
	Full-face APR - Qty - 1 ea. (M), (L) (Not required on Florida Bunker boats)*	C1.060			
	Spare cartridges - 20 changes (Not required on Florida Bunker boats)*	C1.060			
	Impact Resistant Face Shields - Qty - 2	C1.060			
	Chemical Splash Face Shields - Qty -2	C1.060			
	Hard Hat Suspension - Qty - 2 Spare	C1.060			
	Ear Plugs - 1 Box	C1.060			
	Fall Arrest Harness - Qty -1	C1.060			
	Flashlights and headlamps - Qty - 2 Spare UL, FM or USTC tested and rated Class 1 Div. 1, approved as safe for hazardous conditions?	C1.060			
	Respiratory protection (special), if required.	C1.060			
	30-minute SCBA (Note inspection date), if required.	C1.060; D02.23			
	5-minute Escape Pak (Note inspection date), if required.	C1.060; D02.23			
8.21	Are batteries stored properly? Batteries should be stored in a cool, well-ventilated area. They should not be stored in close proximity of flammable materials. Charging should be conducted in the same area batteries are stored. Lantern batteries should be stored in the same way. Batteries should not be stored longer than shelf-life date stamped on the battery/package. Inspect batteries for damage. Damage includes but is not limited to cracks, missing springs, missing pieces, dents, deformed.	C0.010			

Decals Posted at Designated Areas *		Procedure Reference	SAT	DEF	N/A
9.01	"No Alcohol, Drugs or Firearms, Zero Tolerance, Unauthorized Persons, and Security Notice" posted at bow of main deck. (50763) Visible to anyone boarding via the bow.	Decal Booklet			
	"Wear Work Vest/ Headlamp on barge" posted at bow of main deck. (50767) Visible to anyone boarding via the bow.	Decal Booklet			
	"No Smoking" in engine room and skiff. (50719)	Decal Booklet			

"Discharge of Oil Prohibited", at bilge pump and bilge pump overboard discharge valve pipes, slop tank and at fueling stations. (50717)	Decal Booklet			
MARPOL signs in the area of trash collection. (50832)	Decal Booklet			
"No smoking in Bunkrooms", inside bunkrooms. (50722)	Decal Booklet			
"Hearing protection required" at entrances to engine room and near high noise areas. (50701)	Decal Booklet			
"Warning Hot Surface" on Day Tanks and/or where contents may be hot or when a tank may be under pressure. (50715)	Decal Booklet			
"Caution Microwave Radiation Area" (microwave oven only). (50710)	Decal Booklet			
"Caution Radiation Area" at access point to radar scanner. (50711)	Decal Booklet			
"Caution MSD System can contain: Calcium Hypochlorite" on MSD until. (50755)	Decal Booklet			
"Watch Your Step" discretionary where slips, trips and falls may be prevalent in the vicinity of stairways and ladders. (50705)	Decal Booklet			
"Caution Low Overhead" discretionary where headroom is judged to be insufficient. (50756)	Decal Booklet			
CO2 Warning, if applicable "Warning This space is protected by a CO2 fire extinguishing system" outside all access points to the engine room, if equipped (50725), or if equipped with a wintergreen scent. (50844)	Decal Booklet			
Wear work vest when outdoors on lower deck "All personnel must wear work vests on main deck" inside on each galley door. (50704).	Decal Booklet			
"Restricted Area Authorized Personnel Only" signs at access to wheelhouse and engine room to include second deck interior doors leading to wheelhouse. (50724).	Decal Booklet			
"High Voltage" on generators, <u>knife switches</u> , breakers, and distribution panels. (50758).	Decal Booklet			
"Emergency Use Only" at main bilge pump, at bilge pump discharge valve and at MSD bypass/overboard valve, if applicable. (50760)	Decal Booklet			
"Exhaust Blowers" at switch or power supply for blowers. (50761)	Decal Booklet			
"Fire Main Valve" at operating lever on main deck. (50762 & 50834)	Decal Booklet			
"Hydraulic Oil" on hydraulic oil reservoirs. (50740)	Decal Booklet			
"Eye Protection Required" near bench grinder, if equipped. (50723)	Decal Booklet			
"Sewage" on MSD unit. (50708)	Decal Booklet			
"Slop Oil" on slop oil tank near fill and at discharge connections. (50743)	Decal Booklet			
"Compressed Air" at air storage tanks and hose connections. (50757)	Decal Booklet			
"Caution Equipment Starts Automatically" at air compressors and water pumps. (50752)	Decal Booklet			
"Caution Equipment Starts Remotely" at hydraulic deck winches. (50785)	Decal Booklet			
Engine Room fixed CO2 firefighting system warning/instruction decals for the type of system installed, if applicable. (50727, 50726, 50728, & 50729)	Decal Booklet			
"When Alarm Sounds Vacate at Once Carbon Dioxide Being Released" at least two locations in the engine room. (50731)	Decal Booklet			

"No Smoking at Customers dock" inside of each galley door. (50720)	Decal Booklet			
"Fire Station" at each fire hose rack, P-K Control station or any other area designated as a recognized fire station. (50730)	Decal Booklet			
"Galley Stove Fire Alarm" adjacent to alarm for galley stove. (50713)	Decal Booklet			
"Automatic Fire Extinguisher Inside" outside of cabinet containing unit. (50733)	Decal Booklet			
"General Alarm" adjacent to bells/buzzers and switches connected to the General Alarm. (50714)	Decal Booklet			
"Fuel Transfer Station" in the vicinity of the fuel transfer hose reel. (50738)	Decal Booklet			
"Emergency Fuel Shutoff Valve" on house adjacent to fuel shutoff lever. (50737 & 50833)	Decal Booklet			
"ATTENTION the Captain has determined must face these steps" this or (50707) on each flight of steps. (50723)	Decal Booklet			
"WARNING Rotating Radar Scanner" visible while using ladder to top of wheelhouse. (50712)	Decal Booklet			
"No Smoking Flammable Materials" posted on paint locker and entrance to forward hold. (50718)	Decal Booklet			
"Safety Pays - Quick Action Spill Kit" to be placed on spill bucket kits (50703).	Decal Booklet			
"Personal Protection Equipment" to be placed on PPE buckets. (50702)	Decal Booklet			
"Diesel Fuel" at fuel fill ports, drains, vents, etc. (50759)	Decal Booklet			
"Lube Oil" on oil tank, at fill port and drain. (50742)	Decal Booklet			
"Potable Water" at water fill ports. (50746)	Decal Booklet			
"Exit" posted inside the engine room next to external doors leading outside of the boat. Posting at every external door leading outside the boat is optional. (50750)	Decal Booklet			
"Bilge Alarm" posted near the bilge alarm. (50736)	Decal Booklet			
"Sea Chest" posted near the sea chest. (50716)	Decal Booklet			
"Slop Oil Pump" posted near slop oil pump. (50744)	Decal Booklet			
"Lube Oil Pump" posted near lube oil pump. (50741)	Decal Booklet			
"Fire Pump" posted near fire pump. (50734)	Decal Booklet			
"Fuel Pump" posted near fuel pump. (50739)	Decal Booklet			
"Potable Water Pump" posted near potable water pump. (50745)	Decal Booklet			
"Bilge Pump" posted near bilge pump. (50754)	Decal Booklet			
"Bilge" posted at bilge discharge flange outside on main deck (50735).	Decal Booklet			
"Designated Smoking Area" posted at area designated for smoking. (50721)	Decal Booklet			
"Watch Ready" posted (50776): 1 sticker on each push knee; 1 sticker on the door going to the engine room; 1 sticker on the inside of each galley door; 1 sticker inside the wheelhouse.	Decal Booklet			
"Emergency Exit – Keep Clear" on doors identified as emergency exits and	Adding to			

	emergency hatches. (50809 or 50810)	Decal Booklet			
	"Skiff Operations – Pre departure Decal" posted in skiff. (50841)	Adding to Decal Booklet			
	"Watertight Door – Keep Closed" posted on both sides of doors with 4 dogs or more. (50806 or 50807)	Adding to Decal Booklet			
	"Weathertight Door- Keep Closed" posted on both sides of doors with less than 4 dogs. (50829 or 50828)	Adding to Decal Booklet			

ADDENDUM FOR VESSELS OTHER THAN RIVER ROUTES

This addendum applies to the following vessels:

- King/Tom Henderson/Dave Foreman – Limited Great Lakes
- Breton Sound/Osceola – Coastwise

Documentation and Navigation (Offshore)		Procedure Reference	SAT	DEF	N/A
10.01	ABS Loadline Certificate and Proper Markings				
10.02	Records for Towline and Towing Astern (records may be kept aboard or company file)	C9.050			
	(1) A record of initial and retesting of towline breaking strength				
	(2) A record of visual inspection of towline done at least monthly				

Exterior Decks		Procedure Reference	SAT	DEF	N/A
11.01	Life Raft overall condition; properly installed	C1.080			
	(1) Annual inspection - Expiration Date:				
	(2) Hydrostatic release - Expiration Date:	C1.080			
11.02	Inflatable life raft Identification Card is filled out with the vessel's information and found in the Identification Tube attached to the craft?	C1.080			
11.03	Approved placards or other instructions for launching and inflating inflatable life raft are posted on or near the life raft?	C1.080			
11.04	EPIRB properly stowed in a float-free bracket with vessel name marked or painted in clearly legible letters; overall condition (sticker from NOAA) (Does not apply to the King, Tom Henderson, or Dave Foreman)	C1.080			
	(1) Registration - Expiration Date:				
	(2) Battery - Expiration Date:				
	(3) Hydrostatic release - Expiration Date				
11.05	Flares onboard in date and near the vessel's navigating bridge in a bright colored portable watertight container clearly marked with "DISTRESS SIGNALS" in legible contrasting letters at least 0.5" high? Type may vary depending on route.	C1.080			
11.06	Inspection of Hawser Rack overall condition and housekeeping.				
11.07	Oceans & Coastwise routes, Is a readily accessible line throwing appliance with the ability to launch for in-date rockets (two with buoyant heads), along with approved auxiliary line at least 1500' long with a breaking strength of 900lbs? Are all onboard and operational? Crew knowledgeable of how to use?	C1.080			

11.08	For M/V King, Tom Henderson, & Dave Foreman only: -At least one appropriately-sized USCG approved immersion suit not older than 10 years from date of manufacture with record of successful pressure testing within last 3 years is available in staterooms for each person onboard with whistle and unexpired water-activated rescue light with vessel name stenciled in block capital letters? Additional number available on bridge or other manned watch stations?	C1.080			
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Towline and Terminal Gear (Offshore)		Procedure Reference	SAT	DEF	N/A
12.01	Towline overall condition	C9.050			
12.02	Towline as rigged is free of knots and spliced with thimble or poured socket.	C9.050			
12.03	Minimum nominal breaking strength of tow wire/towing hawser should be 2.5 time the certified or calculated bollard pull of the tug. If no certified bollard pull is available, it may be calculated using brake horsepower at max engine RPM times 27.5 lbs.	C9.050			
12.04	If towline is not galvanized, does it appear properly lubricated?	C9.050			
12.05	Terminal Gear overall condition	C9.050			
12.06	Connections are secured by at least 1 nut with cotter pin or their means.	C9.050			
12.07	Lead is appropriate to prevent sharp bends.	C9.050			
12.08	Towline is protected from chaffing.	C9.050			
12.09	Means of quick towline release.	C9.050			
12.10	Winch drum has a drum brake of adequate holding power.	C9.050			

Other Safety		Procedure Reference	SAT	DEF	N/A
13.01	Additional blood pressure cuff, splints, and large bandages available onboard?	C1.080			

FIRE DRILL REPORT

VESSEL:	DATE:
# PEOPLE OBSERVED:	START TIME:
PERSON IN CHARGE:	END TIME:
LOCATION OF SIMULATED FIRE:	TOTAL TIME OBSERVED:
SIMULATED FIRE DRILL CONDUCTED:	

	Question	Yes	No	N/A
14.01	Once indication and/or fire is located, did crew member/s make proper notifications and promptly sound the General Alarm. Proper way to report the incident: Location, type of fire/size, source etc...			
14.02	If within the capability of crewmember that discovered the incident, did they attempt to extinguish and/or isolate the fire?			
	(1) Was the portable fire extinguisher used properly?			
	(2) Was the correct fire extinguisher used for the type of fire?			
	(3) What types of extinguishing agents are used on the different types of fires?			
	(4) Did the crew know what PASS means? (PULL, AIM, SQUEEZE, SWEEP)			
14.03	Did all crew members don LIFE VEST and report to the designated muster location PER THE STATION BILL? Did crewmembers take an outside route? Did Tankerman bring radio tuned to Channel 7A?			
14.04	Once at the muster location, did wheelman establish comms and dispatch crew members to their pre-determined assigned locations to isolate, contain and secure the fire. Ensure wheelman has or is making the proper reports and establishes comms with team leader, person in charge, that the crew has been giving assigned duties/ jobs and are familiar with the isolation of fuel and blower shutdowns, vent closers, manning the fire hose station etc..			
14.05	Is proper feedback being utilized? Is the OOW aware of the location of all crew members and the status of the situation? Ensure that good communication is being utilized and maintained, train on proper feedback techniques and alternate communications.			
14.06	If it is determined that the fire cannot be extinguished with a portable extinguisher, is power, fuel, and ventilation supply areas secured as appropriate? If equipped, has fixed firefighting system CO2/ Water mist and/or 160-B semi-portable fire extinguisher been considered for use? Ensure that crewmembers are familiar with the activation process of the fixed system, and to properly deploy the 160-B portable unit.			
14.07	If fire is controlled or considered out did crew members know how to maintain isolation control of the affected area and set a reflash watch? Did the OOW make all the proper reports in accordance with the Vessel Operating Emergency Procedures. Review the Vessel Operating Procedure for Emergency Procedure Fire (C13.010 4.8).			

NOTES

If Fire Drill was not conducted, note the extenuating circumstances here.
