UnitedHealthcare®
EMPLOYER & INDIVIDUAL

3100 SW 145th Avenue
Suite 201
Miramar, FL 33027

September 19, 2022

Robert Gleason
Director of Purchasing, Broward County Government
115 S. Andrews Avenue
Room 212
Fort Lauderdale, FL 33301

RE: Formal Protest of GEN 2123334P1 – Group Vision Insurance

Dear Mr. Gleason:

On behalf of United Healthcare Insurance Company, we wish to file a formal protest regarding GEN 2123334P1 Group Vision Insurance award recommendation.

Our protest is based on the following 3 items:

1. **Humana's assertion of being a Local Business** - their Location Certification form indicates that Humana should be recognized as Option 1: The Vendor is a Local Business but does not qualify as a Locally Based Business or a Locally Based Subsidiary for local preference or location tiebreaker (the section below is from Humana's RFP submittal, page 25 of the PDF document located on Broward County's Purchasing RLI RFP Repository)
   https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html

   The undersigned Vendor hereby certifies that (check the box for only one option below):

   ☑ **Option 1:** The Vendor is a **Local Business**, but does not qualify as a **Locally Based Business** or a **Locally Based Subsidiary**, as each term is defined by Section 1-74, Broward County Code of Ordinances. The Vendor further certifies that:
   A. It has continuously maintained, for at least the one (1) year period immediately preceding the bid posting date (i.e., the date on which the solicitation was advertised),
   i. a physical business address located within the limits of Broward County, listed onthe Vendor's valid business tax receipt issued by Broward County (unless exemptfrom business tax receipt requirements),
   ii. in an area zoned for the conduct of such business,
   iii. that the Vendor owns or has the legal right to use, and
   iv. from which the Vendor operates and performs on a day-to-day basis business that is a substantial component of the goods or services being offered to BrowardCounty in connection with the applicable competitive solicitation (as so defined, the "Local Business Location").

   If Option 1 selected, indicate Local Business Location:

   3401 Southwest 160th Avenue
   Suite 300
   Miramar, Florida 33027

   We protest this award of Local Business (Option 1) for location tiebreaker consideration for Humana does not meet the criteria set forth in Section "iv. from which the Vendor operates and performs on a day-to-day basis business that is a substantial component of the goods or

services being offered to Broward County in connection with the applicable competitive solicitation (as so defined, the "Local Business Location")"

The RFP called for identification of the location from where all goods or services necessary to execute the Vision program for Broward County. These areas include Account Management, Corporate Executive Leadership, Member Services Call Centers, Mailing Rooms/Claims Processing Centers, Data Centers, and the Provider Relations Department.

Exhibit A illustrates the average monthly time per area of these services or goods being performed today by UHC for Broward County Government's Vision Program along with the location per the Humana RFP where these goods or services will be performed. We have also included the documentation per line of goods or services from the Humana RFP where the locations are listed in their RFP within the exhibits that follow.

Humana's RFP demonstrates that approximately 8% of the goods or services necessary to complete this solicitation would be performed by their "Local Business Location" listed as 3401 Southwest 160th Avenue, Suite 300, Miramar, FL 33027. This does not represent a "substantial component" and therefor they should not be recognized as a Local Business (Option 1) for location tiebreaker consideration.

UnitedHealthcare also has an office located in Broward County along with many employees across our enterprise who call Broward their home. We recognize the importance of our local businesses as we cover many of them on our various medical, dental and vision plans. We also applaud Broward County for creating an ordinance which helps those local companies have an advantage when doing business with Broward County and would not want to see a precedent set where companies who are not providing a substantial component of goods or services could utilize this for local preference or location tiebreaker.

2. **Humana being deemed as Responsive and Responsible** – Per item number 2 of Broward County's Submittal Instructions, the Vendor must submit a valid response by the date and time specified in the solicitation.

> **Y. Submittal Instructions:**
> 1. Broward County does not require any personal information (as defined under Section 501.171, Florida Statutes), such as social security numbers, driver license numbers, passport, military ID, bank account or credit card numbers, or any personal pin numbers, in order to submit a response for ANY Broward County solicitation. DO NOT INCLUDE any personal information data in any document submitted to the County. If any personal information data is part of a submittal, this information must be redacted prior to submitting a response to the County.
>
> 2. Vendor MUST submit its solicitation response electronically through Periscope S2G and MUST confirm its solicitation response in order for the County to receive a valid response through Periscope S2G. It is the Vendor's sole responsibility to assure its response is submitted and received through Periscope S2G by the date and time specified in the solicitation.

While the Humana RFP was submitted by the May 4th, 2022, 2 PM EST deadline through Periscope S2G, we would protest that this was not a valid response until May 5th, 2022, as this is the date indicated on all the signature pages within the submission by Ellen R. Sexton, Senior Vice President, Specialty (Exhibit G)

3. **Pricing Points Awarded to UnitedHealthcare** – based upon Broward County's formula below for points to be awarded for price, UHC should have been awarded 17.91 points instead of the 15.83 that was listed.

> **If the Evaluation Criteria includes a request for pricing, the total points awarded for price is determined by applying the following formula:**
> **(Lowest Proposed Price/Vendor's Price)**
> **x (Maximum Number of Points for Price)**
> **= Price Score**

Using the MetLife proposed price listed in their RFP of $2,444,290.68 as the Lowest Proposed Price divided by the UHC proposed price of $2,729889.84, you would come up with 0.89538. Multiplying this by the possible 20 points for Price, you obtain 17.91 points that should have been awarded.

Based on the facts listed above, we formally ask to have: 1) Humana's assertion of "Local Business" giving them location tiebreaker consideration removed, 2) Humana's RFP deemed not valid and 3) UHC's Price Points corrected to 17.91, for GEN 2123334P1 Group Vision Insurance.

Thank you for your consideration in this matter.

Sincerely,

Nicholas J. Zaffiris
Chief Executive Officer
Florida Markets

Exhibit A - Average Monthly Time per Area of Goods or Services Performed for Broward County Government's Vision Program

Area of Goods or Services	Goods or Services Performed	UHC Average Number of Encounters	Average Time Prorated Monthly (hours)	Location per Humana RFP where this will be performed	Backup Information Location
Local Account Management	Implementation / Annual Updates	One time per year	0.5	Wisconsin	Exhibit B
	Open Enrollment	One time per year	1.5	Broward County	
	Health Fairs	Ongoing	1.7	Broward County	
	Member Concerns	4 calls per month	1.0	Broward County	
Florida State Leadership	Review of overall program and training with staff	4 times per year	0.3	Jacksonville & Pasco County	Exhibit C
Corporate Executive Leadership	Contract review and execution	One time per year	0.2	Wisconsin & Kentucky	Exhibit D
Member Services Call Centers	Members calls answered on benefits, claims, providers, etc.	Ongoing	3.3	Tampa, Arizona, and Ohio	Exhibit E
Mail Rooms/Claims Processing Centers	Receiving of claims, appeals as well as mailing of ID cards, EOBs and reimbursements	Ongoing	28.6	Georgia and Ohio	Exhibit E
Data Centers	Processing of eligiblity files, setting up of webpage/app, and County specific premember website	Ongoing	0.2	Kentucky	Exhibit E
Provider Relations Department	Overall management of the Provider Network including recruitement, credentialing, terminations, updates/changes, appeals and questions from providers on member eligibility, benefits and claims	Ongoing	17.2	Ohio	Exhibit F
Total			54.4		
Amount by Local Office			4.2		
Percentage by Local Office			8%		

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit B—Locations of Goods or Services Being Performed—Local Account Management

- Implementation / Annual Updates—Jenny Anderson, Green Bay, Wisconsin
- Open Enrollment—Connie Oropesa and Jackie Martinez Sancho, Miramar, FL
- Health Fairs—Connie Oropesa and Jackie Martinez Sancho, Miramar, FL
- Member Concerns—Connie Oropesa and Jackie Martinez Sancho, Miramar, FL

Item below is located on PDF page 60, within the Evaluation Criteria Response Form

Evaluation Criteria Response Form
Group Vision Insurance

Phone: 305-626-5606

- **Job title and number of years of service with current organization and brief resume covering, at minimum, the last five years.**

  The following team members are assigned to work with the County:
  - Connie Oropesa, Client Executive, 25 years
  - Jenny Anderson, Installation Administration Professional, 16 years
  - Jackie Martinez Sancho, Director of Account Management, 26 years

  Please refer to Section II, Evaluation Criteria 1.2, Account Team Biographies for the biographies that include the number of years of service with Humana and a brief resume covering at least the last five years for each individual noted above.

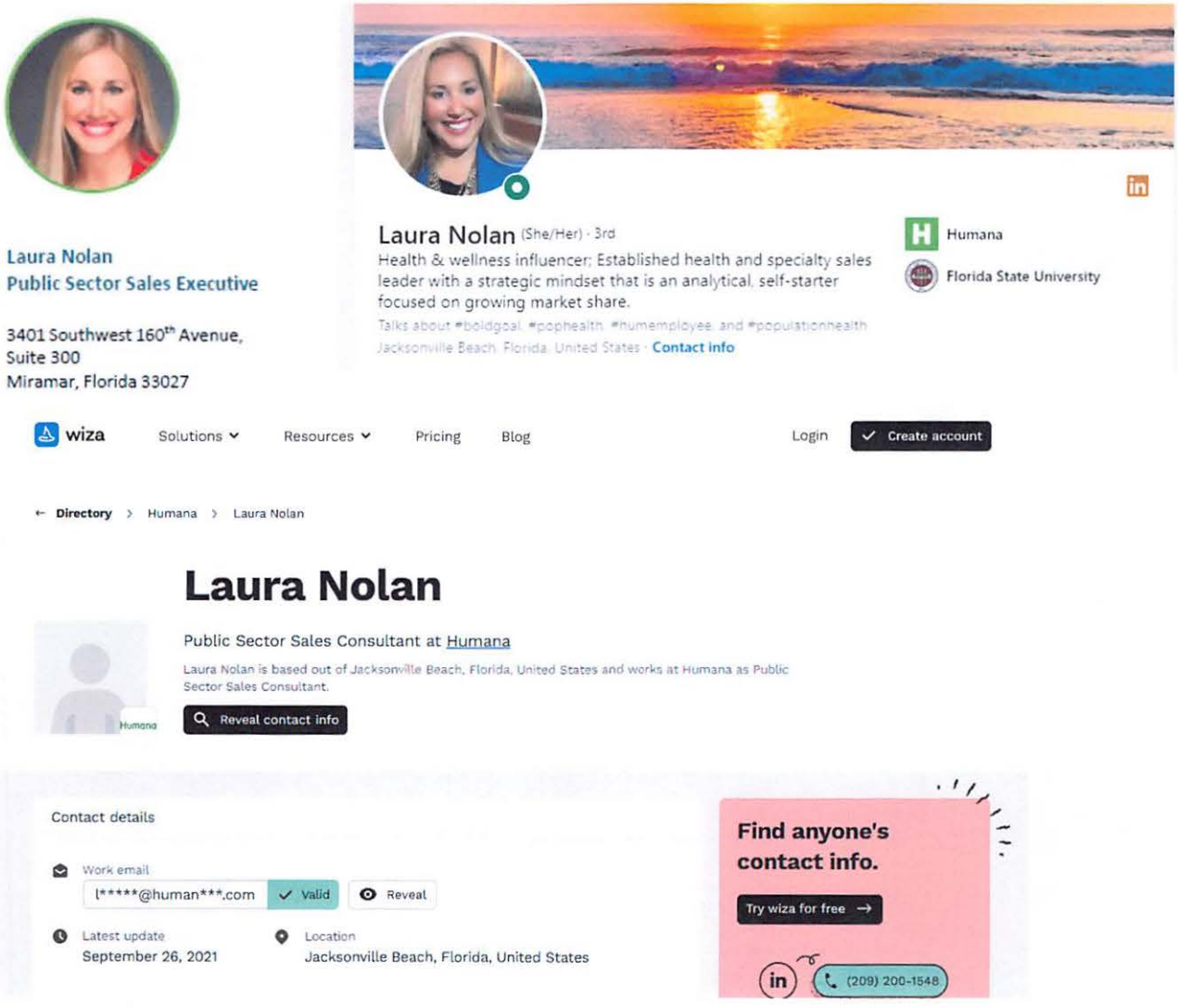
- **Location of the office from which the proposed Implementation Team will be working.**

  The assigned Account Management and Implementation team members, Connie and Jackie, are located at 3401 Southwest 160th Avenue, 3rd Floor, Miramar, Florida 33027. Jenny is located in Green Bay, Wisconsin.

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit C—Locations of Goods or Services Being Performed—Florida State Leadership

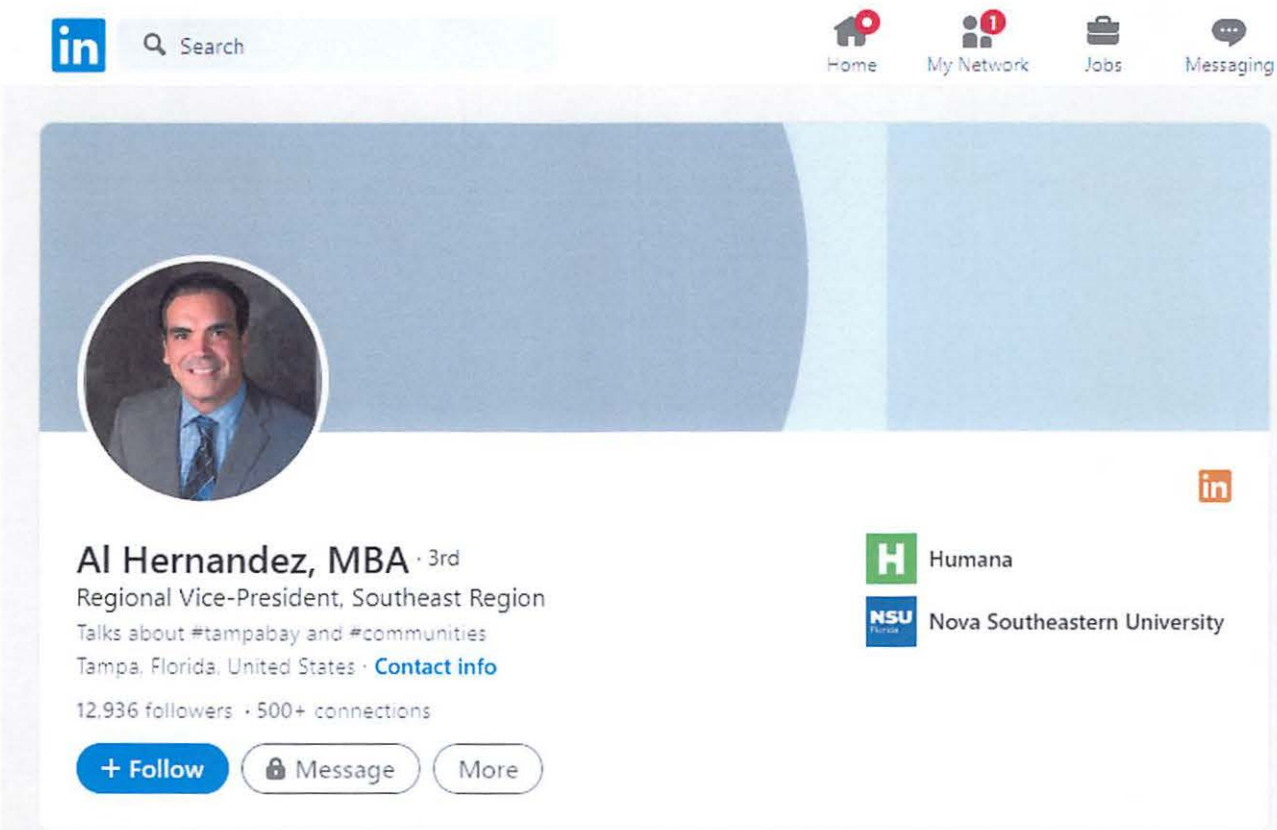
- Laura K. Nolan, Public Sector Sales Executive, Jacksonville, FL
    - Page 39 of the PDF within the Humana Offering Company Statement implies Laura's office as Miramar, FL (lower left)
    - Laura's LinkedIn states Jacksonville Beach, FL (lower right)
    - Laura's WIZA contact confirms Jacksonville Beach, FL (below)



All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit C (continued) —Locations of Goods or Services Being Performed—Florida State Leadership

- Al Hernandez, Market Vice President, Tampa, FL
    - Al's LinkedIn states Tampa, FL
    - Al's Pasco County School Board Election page states Zephyrhills, FL



www.alhernandex.org/alsstory

AL HERNANDEZ

alforpascoschools@gmail.com
(813) 304-6418
P.O. Box 864, Zephyrhills, Fl 33539

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit D—Locations of Goods or Services Being Performed—Corporate Leadership

- Ellen M. Sexton, Senior Vice President, Specialty — Depere, Wisconson
    - PDF page 42 within the Humana Offering Company Statement includes Ellen's signature implying the Miramar, FL office
    - Florida SunBiz records for Humana Insurance Company (FEIN 39-1263473) state Depere, Wisconsin

Page 4 of SunBiz record

Department of State / Division of Corporations / Search Records / Search by FEI/EIN Number /

Detail by FEI/EIN Number

Foreign Profit Corporation
HUMANA INSURANCE COMPANY

Filing Information

Document Number	P15803
FEI/EIN Number	39-1263473
Date Filed	09/02/1987
State	WI
Status	ACTIVE
Last Event	NAME CHANGE AMENDMENT
Event Date Filed	12/27/2001
Event Effective Date	12/31/2001

Principal Address
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Changed: 04/03/2003

Mailing Address
P.O. BOX 740026
LOUISVILLE, KY 40201-7426

Changed: 05/01/1996

Registered Agent Name & Address
CHIEF FINANCIAL OFFICER
200 E. GAINES ST.
TALLAHASSEE, FL 32399

Address Changed: 03/06/2014

Officer/Director Detail

Name & Address

Title DIRECTOR, PRESIDENT & CEO

BROUSSARD, BRUCE D
500 W. MAIN ST
LOUISVILLE, KY 40202

RUSCHELL, JOSEPH M.
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title SEGMENT PRESIDENT, GROUP & MILITARY BUSINESS

SCHICK, SUSAN D
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title SENIOR VICE PRESIDENT, SPECIALTY

SEXTON, ELLEN M
1100 EMPLOYERS BLVD.
DEPERE, WI 54115

Title CHIEF FINANCIAL OFFICER

DIAMOND, SUSAN M
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

COATS, CARALINE L
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

MOORE, MATTHEW G
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

RUIZ, STEVEN
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title SENIOR VICE PRESIDENT, MEDICARE DIVISION LEADER

FIELD, CATHERINE A
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title VICE PRESIDENT, EMPLOYER GROUP REGIONAL PRESIDENT

GASKILL, JEREMY L
500 WEST MAIN STREET
LOUISVILLE, KY 40202

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit D (continued) —Locations of Goods or Services Being Performed—Corporate Leadership

- All other corporate officers—Wisconsin & Kentucky
    - PDF page 32 within the VENDOR QUESTIONANAIRE AND STANDARD CERTIFICATIONS lists the name and title of each principal, owner, officer and major shareholder but does not list the location for these officers (below
    - Florida SunBiz records for Humana Insurance Company (FEIN 39-1263473) show all corporate officers in either Wisconsin or Kentucky

11. List name and title of each principal, owner, officer, and major shareholder:

    a) **Bruce D. Broussard, President and Chief Executive Officer**
    b) **Susan M. Diamond, Chief Financial Officer**
    c) **Susan D. Schick, Segment President, Group and Military Business**
    d) **Ellen R. Sexton, Senior Vice President, Specialty**

Page 4 of SunBiz record

Department of State / Division of Corporations / Search Records / Search by FEI/EIN Number /

Detail by FEI/EIN Number

Foreign Profit Corporation
HUMANA INSURANCE COMPANY

Filing Information

Document Number	P15803
FEI/EIN Number	39-1263473
Date Filed	09/02/1987
State	WI
Status	ACTIVE
Last Event	NAME CHANGE AMENDMENT
Event Date Filed	12/27/2001
Event Effective Date	12/31/2001

Principal Address
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Changed: 04/03/2003

Mailing Address
P.O. BOX 740026
LOUISVILLE, KY 40201-7426

Changed: 05/01/1996

Registered Agent Name & Address
CHIEF FINANCIAL OFFICER
200 E. GAINES ST.
TALLAHASSEE, FL 32399

Address Changed: 03/06/2014

Officer/Director Detail
Name & Address

Title DIRECTOR, PRESIDENT & CEO

BROUSSARD, BRUCE D
500 W. MAIN ST
LOUISVILLE, KY 40202

RUSCHELL, JOSEPH M.
500 WEST MAIN STREET
[illegible]

Title SEGMENT PRESIDENT, GROUP & MILITARY BUSINESS

SCHICK, SUSAN D
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title SENIOR VICE PRESIDENT, SPECIALTY

SEXTON, ELLEN M
1100 EMPLOYERS BLVD.
DEPERE, WI 54115

Title CHIEF FINANCIAL OFFICER

DIAMOND, SUSAN M
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

COATS, CARALINE L
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

MOORE, MATTHEW G
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title REGIONAL PRESIDENT

RUIZ, STEVEN
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title SENIOR VICE PRESIDENT, MEDICARE DIVISION LEADER

FIELD, CATHERINE A
500 WEST MAIN STREET
LOUISVILLE, KY 40202

Title VICE PRESIDENT, EMPLOYER GROUP REGIONAL PRESIDENT

GASKILL, JEREMY L
500 WEST MAIN STREET
LOUISVILLE, KY 40202

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit E—Locations of Goods or Services Being Performed

- **Member Services Call Centers—Tampa, FL, Tempe, AZ, Cincinnati, OH, and Mason, OH**
- **Mail Rooms / Claims Processing Centers—Cincinnati, OH, Mason, OH and Roswell, GA**
- **Data Centers—Louisville, KY and Simpsonville, KY**

PDF page 504 within the Payment Card Industry (PCI) Data Security Standard lists the majority of these locations

Part 2c. Locations

List types of facilities (for example, retail outlets, corporate offices, data centers, call centers, etc.) and a summary of locations included in the PCI DSS review.

Type of facility	Number of facilities of this type	Location(s) of facility (city, country)
Corporate Office	1	Louisville, KY, USA
Mailrooms	2	Cincinnati, OH, USA Roswell, GA, USA
Call centers	3	Tampa, FL, USA Tempe, AZ, USA Cincinnati, OH, USA
Data centers	2	Louisville, KY, USA Simpsonville, KY, USA

PDF page 53 within the Evaluation Criteria Response Form lists the Call Center as being Mason, OH

VENDOR'S RESPONSE to 2.1:

a. **Include location, hours of operation, and duties of any call centers.**

Humana's Customer Care center operates from our main location in Mason, Ohio. Our Customer Care specialists are available from 7:30 a.m. to 11 p.m., Eastern time, Monday through Friday, 8 a.m. to 11 p.m., Eastern time, on Saturday, and 11 a.m. to 8 p.m., Eastern time, on Sunday. Members can also receive assistance 24 hours a day, seven days a week through either our interactive voice response (IVR) system or our website, **Humana.com** (with the exception of scheduled maintenance times).

The Customer Care team and the website, **Humana.com,** provide exceptional service to members and providers. The combination of most extensive call center hours in the industry, automated features of the IVR system, and state-of-the-art website allows us to provide convenient options that address questions at almost any time of the day or night.

Humana Out-of-Network Vision Services Claim Form lists the Claims Center as being Mason, OH

OUT-OF-NETWORK VISION SERVICES CLAIM FORM

Humana.

Claim Form Instructions

To request reimbursement, please complete and sign the itemized claim form. Return the completed form and your itemized paid receipts to:

First American Administrators, Inc.
Attn: OON Claims, P.O. Box 8504, Mason, OH 45040-7111

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit F—Locations of Goods or Services Being Performed

- **Provider Relations—Mason, Ohio**

Humana's website lists that their Vison Provider Relations is through EyeMed, located in Mason, Ohio. Below is the Humana website screen shot instructing providers to go to EyeMed's website and then the EyeMed website screen shot showing Providers where to send claims appeals

Apply to Humana's vision network

Vision providers can apply to the Humana Vision Insight network through the website of our partner, EyeMed®. Visit EyeMed's website and select the "Complete our online interest form" button.

eyemed inFocus

Out-of-network claims appeals

General

If you are not satisfied with a coverage decision, you are entitled to a review (appeal) of the benefit determination. To obtain a review, you or your authorized representative should submit your request in writing to:

Provider Appeals Coordinator
EyeMed Vision Care
4000 Luxottica Place
Mason, OH 45040

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 16

AFFILIATED ENTITIES OF THE PRINCIPAL(S) CERTIFICATION

The completed form should be submitted with the solicitation response. If not submitted with solicitation response, it must be submitted within three business days of County's request. Failure to timely submit may result in Vendor being deemed non-responsive.

a. All Vendors are required to disclose the names and addresses of "affiliated entities" of the Vendor's principal(s) over the last five (5) years (from the solicitation opening deadline) that have acted as a prime Vendor with the County.

b. The County will review all affiliated entities of the Vendor's principal(s) for contract performance evaluations and the compliance history with the County's Small Business Development Program, including County Business Enterprise (CBE), Disadvantaged Business Enterprise (DBE) and Small Business Enterprise (SBE) goal attainment requirements. "Affiliated entities" of the principal(s) are those entities related to the Vendor by the sharing of stock or other means of control, including but not limited to a subsidiary, parent or sibling entity.

c. The County will consider the contract performance evaluations and the compliance history of the affiliated entities of the Vendor's principals in its review and determination of responsibility

The Vendor hereby certifies that: (select one)

☐ No principal of the proposing Vendor has prior affiliations that meet the criteria defined as "Affiliated entities"
☑ Principal(s) listed below have prior affiliations that meet the criteria defined as "Affiliated entities"

Principal's Name: **Humana Inc.**
Names of Affiliated Entities: **Humana Insurance Company**
Principal's Name:
Names of Affiliated Entities:
Principal's Name:
Names of Affiliated Entities:

Authorized Signature Name: **Ellen R. Sexton**
Title: **Senior Vice President, Specialty**
Vendor Name: **Humana**
Date: **May 5, 2022**

RFP page 16

By signing below, Vendor certifies that it is aware of the requirements of Section 26-125(d), Broward County Code of Ordinances, and certifies the following: (check only one below).

☑ Vendor certifies it has implemented, or will implement upon award of the contract, policies, practices, and procedures regarding inquiry into the criminal history of an applicant for employment, including a criminal history background check of any such person, that preclude inquiry into an applicant's criminal history until the applicant is selected as a finalist and interviewed for the position.

☐ Vendor is exempt from the requirements of Section 26-125(d) of the Broward County Code of Ordinances because Vendor is required by applicable federal, state, or local law to conduct a criminal history background check in connection with potential employment at a time or in a manner that would otherwise be prohibited by this section, or because Vendor is a governmental agency.

AUTHORIZED SIGNATURE/ NAME: **Ellen R. Sexton**

VENDOR NAME: **Humana**

TITLE: **Senior Vice President, Specialty**

DATE: **May 5, 2022**

RFP page 19

For all submittals over $100,000.00, the Vendor, by virtue of the signature below, certifies that it is aware of the requirements of Broward County's Domestic Partnership Act, Section 16-½ -157, Broward County Code of Ordinances; and certifies the following: (check only one below).

☑ 1. The Vendor currently complies with the requirements of the County's Domestic Partnership Act and provides benefits to Domestic Partners of its employees on the same basis as it provides benefits to employees' spouses

☐ 2. The Vendor will comply with the requirements of the County's Domestic Partnership Act at time of contract award and provide benefits to Domestic Partners of its employees on the same basis as it provides benefits to employees' spouses.

☐ 3. The Vendor will not comply with the requirements of the County's Domestic Partnership Act at time of award.

☐ 4. The Vendor does not need to comply with the requirements of the County's Domestic Partnership Act at time of award because the following exception(s) applies: **(check only one below).**

- ☐ The Vendor employs less than five (5) employees.
- ☐ The Vendor does not provide benefits to employees' spouses.
- ☐ The Vendor is a governmental entity, not-for-profit corporation, or charitable organization.
- ☐ The Vendor is a religious organization, association, society, or non-profit charitable or educational institution.
- ☐ The Vendor provides an employee the cash equivalent of benefits. (Attach an affidavit in compliance with the Act stating the efforts taken to provide such benefits and the amount of the cash equivalent).
- ☐ The Vendor cannot comply with the provisions of the Domestic Partnership Act because it would violate the laws, rules or regulations of federal or state law or would violate or be inconsistent with the terms or conditions of a grant or contract with the United States or State of Florida. Indicate the law, statute or regulation (State the law, statute or regulation and attach explanation of its applicability).

Ellen R. Sexton	Senior Vice President, Specialty	Humana	May 5, 2022
Authorized Signature/Name	**Title**	**Vendor Name**	**Date**

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 24

Name of Lobbyist: **George Platt**
Lobbyist's Firm: **LSN Partners**
Phone: **954-522-3588**
E-mail: **gplatt@lsppartners.com**

Name of Lobbyist:
Lobbyist's Firm:
Phone:
E-mail:

Ellen R. Sexton **Authorized Signature/Name**	**Senior Vice President, Specialty** **TITLE**
Humana **Vendor Name**	**May 5, 2022** **DATE**

County Commissioners

RFP page 28

True and Correct Attestations:
Any misleading, inaccurate, or false information or documentation submitted by any party affiliated with this procurement may lead to suspension and/or debarment from doing business with Broward County as authorized by the Broward County Procurement Code. The Vendor understands that, if after contract award, the County learns that any of the information provided by the Vendor on this was false, and the County determines, upon investigation, that the Vendor's provision of such false information was willful or intentional, the County may exercise any contractual right to terminate the contract. The provision of false or fraudulent information or documentation by a Vendor may subject the Vendor to civil and criminal penalties.

AUTHORIZED SIGNATURE/NAME: **Ellen R. Sexton**

TITLE: **Senior Vice President, Specialty**

VENDOR NAME: **Humana**

DATE: **May 5, 2022**

Revised May 1, 2021

Scrutinized Companies List Certification:
Any company, principals, or owners on the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List is prohibited from submitting a response to a solicitation for goods or services in an amount equal to or greater than $1 million.

The Vendor hereby certifies that: (check each box)

RFP page 33

☑ The Vendor, owners, or principals are aware of the requirements of Sections 287.135, 215.473, and 215.4275, Florida Statutes, regarding Companies on the Scrutinized Companies with Activities in Sudan List the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and

☑ The Vendor, owners, or principals, are eligible to participate in this solicitation and are not listed on either the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and

☑ If awarded the Contract, the Vendor, owners, or principals will immediately notify the County in writing if any of its principals are placed on the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List.

I hereby certify the information provided in the Vendor Questionnaire and Standard Certifications:

2/2022 BidSync p. 3

Broward County Board of County Commissioners GEN2123334F

Ellen R. Sexton *AUTHORIZED SIGNATURE/NAME	**Senior Vice President, Specialty** TITLE	**May 5, 2022** DATE

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 35

The Vendor attests to the following:

Item No.	Project Title	Contract No.	Department/ Division	Date Awarded	Prime: Paid to Date	CBE: Paid to Date
1.	**Group Dental Insurance - DHMO**	**GEN2116450P2**	**Benefits**	**01/01/2020**	**3,029,210.65**	
2.	**Group Dental Insurance - PPO**	**GEN2116450P2**	**Benefits**	**01/01/2017**	**4,920,605.03**	
3.						
4.						
5.						
6.						
7.						
				Grand Total	**7,949,815.68**	

Has the Vendor been a member/partner of a Joint Venture firm that was awarded a contract by the County?

Yes ☐ No ☑

If Yes, Vendor must submit a **Joint Vendor Volume of Work Attestation Form**.

Vendor Name: Humana

Ellen R. Sexton	**Senior Vice President, Specialty**	**May 5, 2022**
Authorized Signature/Name	**Title**	**Date**

RFP page 36

The Vendor attests to the following:

Item No.	Project Title	Contract No.	Department/ Division	Date Awarded	JV Equity Percent	Prime: Paid to Date	CBE: Paid to Date
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
				Grand Total			

Vendor is required to submit an executed Joint Venture agreement(s) and any amendments for each project listed above. Each agreement must be executed prior to the opening date of this solicitation.

Vendor Name: Humana

Ellen R. Sexton	**Senior Vice President, Specialty**	**May 5, 2022**
Authorized Signature/Name	**Title**	**Date**

RFP page 39 Date listed with signature on page 42

May 5, 2022

of your RFP. Please do not hesitate to call Laura Nolan at 502-476-7176 if you have any questions clarification regarding any aspect of this proposal. We look forward to meeting with the County tc how we can partner in achieving your vision benefits goals.

Sincerely,

Laura K. Nolan
Laura Nolan
Public Sector Sales Executive

Ellen Sexton
Ellen R. Sexton
Senior Vice President, Specialty

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 93

Please sign below (by signing or typing in you name) acknowledging the Negotiable Items Nos. 1-4.			
Authorized Signature:	Ellen R. Sexton, Senior Vice President, Specialty	Date:	May 5, 2022

Plan Design Questionnaire
Group Vision Insurance

RFP page 458

		☐ No	Best, Wal-Mart, LensCrafters, Target Optical, 4eyes, and Pearle Vision.

Please sign below (by signing or typing in your name)	
Authorized Signature:	Ellen R. Sexton, Senior Vice President, Specialty
Date:	May 5, 2022

RFP page 464

SEE SAMPLE BELOW

Please sign below (by signing or typing in your name)	
Authorized Signature:	Ellen R. Sexton, Senior Vice President, Specialty
Date:	May 5, 2022

RFP page 468

True and Correct Attestations:
Any misleading, inaccurate, or false information or documentation submitted by any party affiliated with this procurement may lead to suspension and/or debarment from doing business with Broward County as authorized by the Broward County Procurement Code. The Vendor understands that, if after contract award, the County learns that any of the information provided by the Vendor on this was false, and the County determines,upon investigation, that the Vendor's provision of such false information was willful or intentional, the County may exercise any contractual right to terminate the contract. The provision of false or fraudulent information or documentation by a Vendor may subject the Vendor to civil and criminal penalties.

AUTHORIZED SIGNATURE/NAME: Ellen R. Sexton

TITLE: Senior Vice President, Specialty

https://www.bidsync.com/DPXViewer/34_-_Location_Certification_Form_8989574.htm?ac=supresponse&auc=2079558&docid=8989679 3/5

5/4/22, 4:21 PM DPX Form

VENDOR NAME: Humana

DATE: May 5, 2022

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 474

☑ Principal(s) listed below have prior affiliations that meet the criteria defined as "Affiliated entities"

Principal's Name: Humana Inc.

Names of Affiliated Entities: Humana Insurance Company

Principal's Name:

Names of Affiliated Entities:

Principal's Name:

Names of Affiliated Entities:

Authorized Signature Name: Ellen R. Sexton

Title: Senior Vice President, Specialty

Vendor Name: Humana

Date: May 5, 2022

RFP page 482

☑ Vendor certifies it has implemented, or will implement upon award of the contract, policies, practices, and procedures regarding inquiry into the criminal history of an applicant for employment, including a criminal history background check of any such person, that preclude inquiry into an applicant's criminal history until the applicant is selected as a finalist and interviewed for the position.

☐ Vendor is exempt from the requirements of Section 26-125(d) of the Broward County Code of Ordinances because Vendor is required by applicable federal, state, or local law to conduct a criminal history background check in connection with potential employment at a time or in a manner that would otherwise be prohibited by this section, or because Vendor is a governmental agency.

AUTHORIZED SIGNATURE/ NAME: Ellen R. Sexton

VENDOR NAME: Humana

TITLE: Senior Vice President, Specialty

DATE: May 5, 2022

RFP page 484

☐ The Vendor is a governmental entity, not-for-profit corporation, or charitable organization.

☐ The Vendor is a religious organization, association, society, or non-profit charitable or educational institution.

☐ The Vendor provides an employee the cash equivalent of benefits. (Attach an affidavit in compliance with the Act stating the efforts taken to provide such benefits and the amount of the cash equivalent).

☐ The Vendor cannot comply with the provisions of the Domestic Partnership Act because it would violate the laws, rules or regulations of federal or state law or would violate or be inconsistent with the terms or conditions of a grant or contract with the United States or State of Florida. Indicate the law, statute or regulation (State the law, statute or regulation and attach explanation of its applicability).

Ellen R. Sexton	Senior Vice President, Speci	Humana	May 5, 2022
Authorized Signature/Name	**Title**	**Vendor Name**	**Date**

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 501

SECTION 4: ATTESTATION SECTION - ALL VENDORS MUST FULLY COMPLETE AND SIGN THIS SECTION.

I possess the authority to sign and act as an agent on behalf of this organization. I have read the above questionnaire in its entirety and responded in a truthful manner to

Vendor Name:	Humana
Printed Representative Name:	Ellen R. Sexton
Printed Representative Title:	Senior Vice President, Specialty
Signature:	Ellen Sexton
Date:	5/5/2022

☑ It has retained a lobbyist(s) to lobby in connection with this competitive solicitation and certified that each lobbyist retained has timely filed the registration or amended registration required under Broward County Lobbyist Registration Act, Section 1-262, Broward County Code of Ordinances.

It is a requirement of this solicitation that the names of any and all lobbyists retained to lobby in connection with this solicitation be listed below:

Name of Lobbyist: George Platt
Lobbyist's Firm: LSN Partners
Phone: 954-522-3588
E-mail: gplatt@lsppartners.com

RFP page 574

Name of Lobbyist:
Lobbyist's Firm:
Phone:
E-mail:

Ellen R. Sexton — **Authorized Signature/Name**
Senior Vice President, Specialty — **TITLE**
Humana — **Vendor Name**
May 5, 2022 — **DATE**

The Vendor hereby certifies that: (check each box)

☑ The Vendor, owners, or principals are aware of the requirements of Sections 287.135, 215.473, and 215.4275, Florida Statutes, regarding Companies on the Scrutinized Companies with Activities in Sudan List the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and

RFP page 553

☑ The Vendor, owners, or principals, are eligible to participate in this solicitation and are not listed on either the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and

☑ If awarded the Contract, the Vendor, owners, or principals will immediately notify the County in writing if any of itsprincipals are placed on the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List.

I hereby certify the information provided in the Vendor Questionnaire and Standard Certifications:

Ellen R. Sexton | Senior Vice President, Specialty | May 5, 2022

All items referenced in this document refer to the page numbers within the Humana Submittal PDF located on Broward County's Purchasing RLI RFP Repository) https://www.broward.org/Purchasing/RepositoryDocuments/GEN2123334P1%20%20Group%20Vision%20Insurance.html , unless otherwise noted.

Exhibit G (continued) — Humana RFP Submission Not Valid Until May 5th, 2022, due to signature date

RFP page 556

Item No.	Project Title	Contract No.	Department/ Division	Date Awarded	Prime: Paid to Date	CBE: Paid to Date
1.	Group Dental Insurance - DHMO	GEN2116458P2	Benefits	01/01/2020	3,029,210.65	
2.	Group Dental Insurance - PPO	GEN2116458P2	Benefits	01/01/2017	4,920,605.03	
3.						
4.						
5.						
6.						
7.						
				Grand Total	7,949,815.68	

Has the Vendor been a member/partner of a Joint Venture firm that was awarded a contract by the County?

Yes ☐ No ☑

If Yes, Vendor must submit a Joint Vendor Volume of Work Attestation Form

Vendor Name: Humana

Ellen R. Sexton — Authorized Signature/Name | Senior Vice President, Specialty — Title | May 5, 2022 — Date

RFP page 577

Vendor is required to submit an executed Joint Venture agreement(s) and any amendments for each project listed above. Each agreement must be executed prior to the opening date of this solicitation.

Vendor Name: Humana

Ellen R. Sexton — **Authorized Signature/Name** | Senior Vice President, Specialty — **Title** | May 5, 2022 — **Date**

Revised May 1, 2021